The Two Traditions of Meditation in Ancient India

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Preface to the second edition

*The Two Traditions of Meditation in Ancient India* has been out of print for a while. Reactions to the first edition have been varied, ranging from positive to critical. It is clear that these reactions are determined, at least to a large extent, by the positions of the scholars concerned with regard to the question of what can be expected from research into earliest Buddhism. The brief discussion that follows of some of the criticisms that have been expressed against the first edition, is therefore more than just a defence of this book; it is meant to be a contribution to a more general discussion regarding the aspirations and possibilities of scholarship in this particular field of study.

Lambert Schmithausen has recently (1990) distinguished three positions held by scholars of Buddhism with regard to the question whether and to what extent the early Buddhist texts can be regarded as faithfully preserving the doctrine of the Buddha himself at least in essence. They might be presented as follows: (i) stress on the fundamental homogeneity and substantial authenticity of at least a considerable part of the Nikāyic materials; (ii) scepticism with regard to the possibility of retrieving the doctrine of earliest Buddhism; (iii) cautious optimism in this respect. This book takes position (iii). This position is to be preferred to (ii) for purely methodological reasons: only those who seek may find, even if no success is guaranteed.¹

The danger of position (i) is that it may raise a hypothesis into a principle. And once the homogeneity of the early Buddhist texts is taken as point of departure rather than as a hypothesis to be tested against the evidence, one is in the same situation as the Christian church, which managed to obstruct progress in Biblical studies for many centuries, precisely because it insisted on the fundamental homogeneity of its scripture.² This parallelism becomes almost complete, once the further

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². I refer here to Gusdorf, 1988.
requirement is added that the early Buddhist texts have to be interpreted in the light of the later tradition.³

It would be unfair to those who uphold position (i) to put too much emphasis on the parallelism with the unfortunate history of Biblical studies. We must assume that they look upon their position as, in their eyes, the best hypothesis available, which they are ready to abandon at any time, if only good enough evidence were forthcoming. The present book concentrates on contradictions and inconsistencies. Upholders of position (i) - such as R. Gombrich (1990) - argue that some lack of homogeneity is only to be expected in the early Buddhist texts, even on the assumption that all of them go back to the Buddha himself. No far-reaching conclusions should therefore be drawn from ‘inconsistencies’ and ‘contradictions’, especially not where these latter occur in descriptions of such notoriously elusive ‘things’ as meditational states. Similar problems about ‘contradictions’ are voiced by D. S. Ruegg (1989: 9 n.9) who, while specifically referring to the first edition of the present book, complains that the “treatment of the relevant material is not infrequently based on unexplicated or unexamined (and anything but self-evident) presuppositions about ‘contradictions’ in the tradition”.

It seems that the main arguments of this book have escaped Gombrich and Ruegg. They may escape other readers too. For this reason these main arguments will be once more presented in this Preface, but in an abbreviated and differently arranged form. This new presentation will, I hope, show that the criticisms mentioned above are not applicable to this book. Details and references will be found in the main body of the book.

The point of departure is the undeniable fact that even the oldest Buddhist texts we have do not date back, in their present form, to the period of the Buddha. Linguistic considerations alone suffice to show that “all Buddhist texts, as they are read today, are not only heavily influenced by linguistic developments known to be much later than early days of Buddhism, but also reformulated perhaps, and certainly recast from one language into another before they reached their present linguistic shape” (Hinüber, 1991: 184). There is therefore no guarantee

³ As is proposed by R. Gombrich (1988: 21; cp. 1990: 11-12).
whichever that all these texts represent the teachings of the Buddha, and it is at least conceivable that some of their contents are non-authentic.

How can we imagine non-authentic views and practices to have found their way into the canonical collections, primarily the collections of Sutras? This is not difficult. It is at least conceivable that in the process of collecting some texts or passages were included that contained elements that derived, ultimately, not from the teaching of the Buddha, but from other religious groups and ideals current at the time.

The preceding remarks concern conceivable events; no evidence has yet been presented that they actually took place. Suppose they did take place. How could we ever discover the non-authentic elements in the Buddhist texts? In general this would be difficult or even impossible. Elements that were not part of the teaching of the Buddha but were not rejected either, might find their way in - after or even before the death of the Buddha - without anyone ever noticing, least of all the modern scholar. Perhaps the only hope ever to identify non-authentic elements in the Buddhist texts is constituted by the special cases where elements which are recorded to have been rejected by the Buddha, yet found their way into the texts, and, moreover, are clearly identifiable as belonging to one or more movements other than Buddhism.

This gives us what might turn out to be an objective criterion for identifying foreign intrusions into the Buddhist texts: An element that is (i) rejected at some places in the Buddhist texts, (ii) accepted at others, and (iii) known to fit at least some non-Buddhist religious movements of the time, such an element is very likely to be a non-authentic intrusion into the Buddhist texts. As we have to work with only limited evidence, I would not know what better criterion there could be in the circumstances. Unfortunately, the importance of this criterion seems to have escaped all of my critics.

Of course, having a criterion in theory is one thing, applying it to the texts, quite another. This book tries to apply this criterion to the one aspect of Buddhism - perhaps the only one - where it seems to work: that of meditation. Much of the book is dedicated to the presentation of the meditational and ascetic practices and related ideas found in early Jainism and other non-Buddhist religious movements of early India. Since no one has criticized this presentation, whereas several scholars
have expressed doubts with regard to the ‘inconsistencies’ and ‘contradictions’ in the Buddhist texts (see above), I shall concentrate on the latter. I shall briefly discuss some examples, all of them taken from the main body of the book:

1. The Mahāparinirvāṇa Sūtra, in its various recensions, records a discussion of the Buddha with someone called Putkasa (in Sanskrit) or Pukkusa (in Pāli). The Buddha here boasts that once, in a violent thunderstorm when lightning killed two farmers and four oxen nearby him, he did not notice it. It is known that abilities of this kind were sought after by certain non-Buddhists. Another Buddhist Sūtra (the Indriyabhāvanā Sutta of the Pāli canon and its parallel in Chinese translation), on the other hand, ridicules such ‘cultivation of the senses’ which leads to their non-functioning; the Buddha is here reported to say that if this is cultivation of the senses, the blind and deaf would be cultivators of the senses.

The passages here mentioned may not logically contradict each other, yet they come about as close to that as one could hope for in this type of texts: on one occasion the Buddha disapproves of the practice that aims at the complete suppression of all sense-activities, on another he boasts about his attainments in this direction. This situation calls for a solution. One solution would be to think that the Buddha changed his mind about this practice. A more plausible explanation is that a practice that was respected among non-Buddhists came to be ascribed to the Buddha, either before or after his death. This latter explanation implies that the practice concerned is not authentically Buddhist.

2. A Sūtra of the Majjhima Nikāya (the Cūladukkhakkhandha Sutta) as well as its parallels in Chinese translation describe and criticize the Jainas as practising ‘annihilation of former actions by asceticism’ and ‘non-performing of new actions’. This can be accepted as an accurate description of the practices of the Jainas. But several other Sūtras of the Buddhist canon put almost the same words in the mouth of the Buddha, who here approves of these practices (see note 8 to chapter 2, below). Did the Buddha first hold one opinion, then to change his mind? Or did he not know how to describe his experiences? Obviously it is far more
plausible that, again, practices that were widely accepted outside the Buddhist fold, but not inside it, found their way in.

The argument here summarized is again presented, in a but slightly different form, by no one else than Ruegg, apparently without realizing it, in the very same book in which he dismisses my arguments. This situation is extraordinary enough to warrant quoting the passage concerned at length (Ruegg, 1989: 142-143):

Now, in some old Buddhist canonical texts also there are in fact found certain references to the idea that liberation from Ill (duḥkha) results from, and consists in, the non-production of any future karman at all and from the ending, often through austerities (tapas), of any existing bad karman. This idea is there usually ascribed to the Nigantha Nataputta (Nirgrantha Jñātṛputra), in other words to Mahāvīra and the Jainas. We also read that immobility of body and renunciation of speech bring Ease (sukha). Moreover, in a couple of Buddhist canonical texts the idea that no new karman at all should be generated, and that any existing karman should be ended, has even been connected with the Buddha himself in a sermon he once addressed to a Nirgrantha and in another one he addressed to Vappa, a disciple of the Nirgranhas.

The connection of such a teaching with the Buddha himself seems nevertheless to be rare. When it does occur, it is evidently to be explained by the fact that his auditor was a Nirgrantha and that the teaching was thus intended as an introductory salvific device, a circumstance that would lend support to Kamalaśīla’s statement denying that such relinquishment of all activity was the Buddha’s own teaching. In the majority of other places where it has been mentioned in the Pāli canon, this doctrine has in fact been severely criticized. It is patently inconsistent with such basic principles of Buddhist doctrine as the four correct efforts (sammauppadhāna/samyakprahāṇa) ...
basing himself on the inconsistencies whose very existence he had attributed to my ill-founded presuppositions. In the situation it is no doubt kindest to Professor Ruegg to assume that he dismissed my book without having read it.

3. The Vitakkasanthāna Sutta of the Majjhima Nikāya and its parallels in Chinese translation recommend the practising monk to ‘restrain his thought with his mind, to coerce and torment it’. Exactly the same words are used elsewhere in the Pāli canon (in the Mahāsaccaka Sutta, Bodhirājakumāra Sutta and Saṅgārava Sutta) in order to describe the futile attempts of the Buddha before his enlightenment to reach liberation after the manner of the Jainas. Once again it is hard to see a better explanation than that these Jaina practices had come to be accepted by at least some Buddhists.

It would be unrealistic to expect that all ‘contradictions’ in the Buddhist canon are quite as explicit as the ones mentioned above. This does not however mean that they are any less real. Consider the following:

4. Four states of meditation are often enumerated in the Buddhist Sūtras in varying contexts, but almost always together. They are: 1) the Stage of Infinity of Space; 2) the Stage of Infinity of Perception; 3) the Stage of Nothingness; 4) the Stage of neither Ideation nor Non-Ideation. The texts say little by way of explanation of these stages, but the names make clear that they together form a list of graded exercises aimed at the cessation of all ideations. This aim conforms very well with the aims we have to ascribe to the early Jainas and those of similar convictions. Moreover, the Jaina scriptures describe ‘reflection on infinity’ as one of the accompaniments of ‘pure meditation’. These stages are denounced elsewhere in the Buddhist canon, be it indirectly: The Buddha is said to have had two teachers before his enlightenment: Āraḍa Kālāma and Udraka the son of Rāma. From the former he learned the Stage of Nothingness, from the latter the Stage of neither Ideation nor Non-Ideation. However, the Buddha left these teachers, because he came to believe that these Stages would not lead him to his goal.
Here the question seems justified: do these stages lead to the goal or do they not? Various answers can be imagined, such as, “they do to some extent, but not all the way”, “the Buddha had second thoughts about the usefulness of these stages”, etc. But I insist that there is a problem here that demands an answer, and not just a manifestation of my “unexplicated or unexamined (and anything but self-evident) presuppositions about ‘contradictions’ in the tradition”, as Ruegg would have it. Criticism of this kind, which refuses to study arguments, is not only counter-productive, it constitutes one of the greatest enemies of scholarship which, as Gombrich rightly points out, should at least try to progress by argument. Returning to the Stage of Nothingness and the Stage of neither Ideation nor Non-Ideation, it will hardly be necessary to add that in my opinion they comply with the criterion of foreign intrusion into the Buddhist texts formulated above.

The conclusion that the above four meditational Stages were not accepted in earliest Buddhism finds support in an altogether unexpected quarter; for a detailed presentation of the argument I must refer the reader to BSOAS 48, 1985, pp. 305 f. Among the early (Abhidharmic) mātrikās, one seems to have been considered particularly important. It occurs a number of times in the early texts, but not always in exactly the same form; to an original enumeration of merely mental characteristics, meditational states came to be added. But initially the meditational states thus added did not contain the four Stages discussed above, even though these Stages, collectively known as ‘the Formless States’, are very prominent in the Buddhist scriptures as we have them. The most plausible explanation is again that the Formless States were not accepted during the earliest period of Buddhism.

5. The Buddhist texts are not of one mind concerning the time when liberation is reached. A great number of passages emphatically states that liberation is reached in this life, i.e., well before death. This is hardly surprising, for the Buddha himself is agreed to have passed many years teaching after his moment of liberation. Yet other passages speak about

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4. This article has been criticized by R. M. L. Gethin (1992: 281). Be it noted that this criticism – whatever its worth – does not affect the argument here presented.
liberation as taking place at death. As in all the preceding cases, there is here a contradiction in the texts. Various solutions are conceivable, such as “the Buddha didn’t know”, “he expressed himself variously”, “he changed his mind”, “some are liberated at death, others in life”, etc. Indeed, anyone with some imagination can add to this list of possibilities almost indefinitely. However, we know that among many non-Buddhists liberation took place at death, and that many Buddhist texts emphatically hold the opposite opinion. It is no doubt superfluous to add that an intrusion of foreign ideas seems to me most plausible here, too.

These examples should suffice to induce critics, at last, to read this book, rather than presenting their a priori reasons for thinking that the effort made in it cannot possibly lead anywhere. Scholarship should and indeed can only progress by argument, and this implies also: trying to understand someone else’s arguments. Those who are not willing or able to do this, would have done better to ignore the book,\(^5\) rather than pronounce facile judgments about it.

The first edition of this book was published in 1986, by Franz Steiner Verlag Wiesbaden GmbH, Stuttgart. The preparation of this second edition has permitted me to correct a number of, usually minor, mistakes, and make other improvements. For ease of comparison, the page numbers of the first edition are indicated in the margin in the present edition. The help provided by Yves Ramseier in the preparation of this edition is here gratefully acknowledged.

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\(^5\) This is done in some recent surveys of Buddhism, such as Harvey, 1990; and Klimkeit, 1990.
Acknowledgements to the first edition

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Introduction

The main aim of the present study is to find out what early Buddhist meditation was by ascertaining what it was not. The results are therefore largely negative, but not any less interesting.

The fact is that everyone who wishes to form an opinion on early Buddhism has to choose from a bewildering mass of often contradictory statements in the Buddhist canon. This choice is in danger of being arbitrary, for little is known about the relative chronology of the different parts of the canon. There can be no doubt that the canon – including the older parts, the Sūtra- and Vinaya-Piṭaka - was composed over a long period of time. Only by assuming this can we make sense of its often glaring contradictions. But which parts are the oldest?

In the following pages I shall try to answer this question in so far as it concerns Buddhist meditation by a method which, to my knowledge, has never yet been employed. At a number of places the Buddhist canon criticizes alternative practices which are claimed by others to lead to the highest good. These alternative practices can be identified in the early scriptures of Jainism and Hinduism. The idea behind this method is that those alternative practices, even when they are described and approved of in other parts of the Buddhist canon, cannot be considered to be
authentic to Buddhism; they must be looked upon as later borrowings from outside. Traces of earliest Buddhism therefore must be sought among the practices which are opposed to those alternative ones.

Does this deny the possibility that early Buddhism shared certain features with the other religious movements that existed in India in its time? Clearly not! We do not wish to exclude features from early Buddhism simply because they are present elsewhere. We wish to exclude such features only if other, contrasting or even contradictory, features exist in the early Buddhist scriptures which are explicitly preferred to the former ones in those scriptures.

Why should features which are peculiar to Buddhism have greater likelihood to belong to early Buddhism than features which also occur elsewhere? This is partly a matter of definition. By ‘early Buddhism’ we mean the beginning of the tradition peculiar to Buddhism. The question will remain whether all these peculiar features came more or less at the same time and can therefore be ascribed to a single founder of this tradition, i.e., to the historical Buddha. All we can say is that the Buddhist tradition clearly points to such a person. Moreover, it is known that religious traditions tend to be conservative. They may inadvertently borrow elements from outside; they may also develop and undergo modifications. They will not as a rule introduce complete novelties. This privilege is reserved for the founder of such a tradition.

The execution of the above program will enable us to reach a better understanding of early Buddhist meditation. It also allows us to obtain more insight into the alternative, non-Buddhist, practices, especially of the early period. The circumstance that the two traditions intermingled at a rather early date had hidden from previous investigators the ideas underlying the non-Buddhist practices. It also obscured the influence which these ideas had on virtually all systems of Hindu philosophy.

A few words must be said about methodology. This book presents a theory about what early Buddhism - or rather, certain aspects of it - was and what it was not. That is to say, this book does not merely reproduce the texts on which it is based, and is not simply the result of ‘just reading the texts’ (if such a thing is at all possible; cf. Bronkhorst, 1986: Introduction). In a way it contains more than what can be found in the texts. In return, it explains contradictions and other features of the texts.
which would otherwise remain obscure. There is no way to prove that the theory presented in this book is right. But this does not by itself detract from its value. A great deal, if not all, we know about the world is of such a theoretical nature.

Such a starting point has consequences for those who wish to disagree with my theory. It will not just be enough to say that it has not been proved. It may be more worthwhile to try and show that the theory does not fit certain facts. Criticism of this kind, though not without value, will at best bring us back to the situation where the contradictions in the Buddhist canon are, again, unexplained. Really constructive criticism of my theory will present an even better theory.
Part I: Two traditions of meditation.

I. The ascetic practices of the Bodhisattva

1.1. At three places\(^6\) in the Majjhima Nikåya of the Påli Buddhist canon an episode is found in which the Buddha describes how he, before his enlightenment, tried out two methods which he then discovered did not lead to the desired end. The two methods are ‘meditation without breath’ and ‘reduced intake of food’. The episode reads\(^7\) in the Mahåsaccaka Sutta:\(^8\)

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\(^7\) References to other parts of the Påli canon where identical or closely similar passages occur are given to the left of the passages concerned.

\(^8\) (p. 242, l. 23:) tassa mayham aggivessana etad ahosi: yan nûnåham dantehi dantam âdhåyå jivhåyå tålum åhacca cetaså abhiriggenheyyam abhisanîpåeyyan ti / so kho aham aggivessana dantehi dantam âdhåyå jivhåyå tålum åhacca cetaså cittam abhiriggenheyyam abhisanîpåemi abhisanîpåem / tassa mayham aggivessana dantehi dantam âdhåyå jivhåyå tålum åhacca cetaså cittam abhiriggenhatho abhinipålayato abhisangayato kacchehi sedå mucchanti / seyyatha pi aggivessana balavå puriso dubbalataram purisam sîse vå gahetvå khandhe vå gahetvå abhiriggenheyya abhisanîpåeyya, evam eva kho me aggivessana dantehi dantam âdhåyå jivhåyå tålum åhacca cetaså cittam abhiriggenhatho abhinipålayato abhisangayato kacchehi sedå mucchanti / äraddham kho pana me aggivessana viriyam hoti asallam, upaṭṭhitå sati asammuṭṭhå, sàradhho ca pana me kâyo hoti appatippasaddho ten'eva dukkhappadhånena padhåbhivutthassa sato / evarùpå pi kho me aggivessana uppåna dûkkha vedanå cittam na pariya ṭaya tiṭṭhati /

(p. 243, l. 4:) tassa mayham aggivessana etad ahosi: yan nûnåham appånakam jhånam jhåyeyyan ti / so kho aham aggivessana mukhato ca nåsato ca assåsapassåse uparundhim / tassa mayham aggivessana mukhato ca nåsato ca assåsapassåsesu uparuddhesu kaṇnasotehi våïnañam nikkkhamantånam adhimatto saddo hoti / seyyatha pi nàma kanñmareggaggarîyå dhamamånañå adhimatto saddo hoti, evam eva kho me aggivessana mukhato ca nåsato ca assåsapassåsesu / uparuddhesu kaṇnasotehi våïnañam nikkkhamantånam adhimatto saddo hoti aràddham kho pana me aggivessana viriyam hoti assalînam, upaṭṭhitå sati asammuṭṭhå, sàradhho ca pana me kâyo hoti appatippasaddho ten'eva dukkhappadhånena padhåbhivutthassa sato / evarùpå pi kho me aggivessana uppåna dûkkha vedanå cittam na pariya ṭaya tiṭṭhati /

(p. 243, l. 18:) tassa mayham aggivessana etad ahosi: yan nûnåham appånakam yeva jhånam jhåyeyyan ti / so kho aham aggivessana mukhato ca nåsato ca kanñato ca assåsapassåse uparundhim / tassa mayham aggivessana mukhato ca nåsato ca kanñato ca assåsapassåsesu uparuddhesu adhimattå våtà muddhånam úhanantå / seyyatha pi aggivessana balavå puriso tiñhena sikhareṇa muddhånam abhimanteyya, evam eva kho me aggivessana mukhato ca nåsato ca kanñato ca
assåsapassåsesu uparuddhesu adhimattå váá muddhånam ühananti / áraddham kho pana me aggivessana viriyam ... na pariýãlayá titthati /

(p. 243, l. 32:) tassa mayhm aggivessana etad ahosi: yan nünãham, appãnakãm yeva jhãnam jháyeyyan ti / so kho aham aggivessana mukhato ca násato ca kannato ca assåsapassåse uparundhîm / tassa mayhm aggivessana mukhato ca násato ca kannato ca assåsapassåsesu uparuddhesu adhimattå sîse sîsavådanå honti / seyyathá pi aggivessana balavá purıśo dalhena varatrãkhandena sîse sîsavåtham dadeyya, evam eva kho me aggivessana mukhato ca násato ca kannato ca assåsapassåsesu uparuddhesu adhimattå sîse sîsavådanå honti / áraddham kho pana me aggivessana viriyam ... na pariýãlayá titthati /

(p. 244, l. 9:) tassa mayhm aggivessana etad ahosi: yan nünãham, appãnakãm yeva jhãnam jháyeyyan ti / so kho aham aggivessana mukhato ca násato ca kannato ca assåsapassåse uparuddhesu / tassa mayhm aggivessana mukhato ca násato ca kannato ca assåsapassåsesu uparuddhesu adhimattå váá kucchîm parikantanti / seyyathá pi aggivessana dakkho goghåtako vå goghåtako evam eva kho me aggivessana adhimattå váá kucchîm parikantanti / áraddham kho pana me aggivessana viriyam ... na pariýãlayá titthati /

(p. 244, l. 23) tassa mayhm aggivessana etad ahosi: yan nünãham appãnakãm yeva jhãnam jháyeyyan ti / so kho aham aggivessana mukhato ca násato ca kannato ca assåsapassåse uparuddhesu / tassa mayhm aggivessana mukhato ca násato ca kannato ca assåsapassåsesu uparuddhesu adhimattå káyasimim ñáho hoti / seyyathá pi aggivessana dve balavanto puriså dubbalataram purisam nábhåhåså gahetvå ángåbhåsåü saññåpâyåm sampåråpâyåm, evam eva kho me aggivessana mukhato ca násato ca kannato ca assåsapassåsesu uparuddhesu adhimattå káyasimim ñáho hoti / áraddham kho pana me aggivessana viriyam ... na pariýãlayá titthati /

(p. 245, l. 37:) api 'ssu mam aggivessana devatå disvå evam áhamsu: kálañkato samano gotamo ti / ekaccå devatå evam áhamsu: na kálañkato samano gotamo, api ca kálañ karotiti / ekaccå devatå evam áhamsu: na kálañkato samano gotamo na pi kálañ karoti, araham samano gotamo, viharo tv eva so araham evarõpõ hotiti /

(p. 245, l. 6:) tassa mayhm aggivessana etad ahosi: yan nünãham sábbaso áhårupacchédåya patipajjeyyan ti / atha kho mam aggivessana devatå upasåkamittå etad avocum: mä kho tvam mãõså sábbaso áhårupacchédåya patipajjî, sace kho tvam mãõså sábbaso áhårupacchédåya patipajjessasi tassa te mayam dèbbam ojam lomaküpehi aghoharissåna, tåya tvam yåpessåså / tassa mayhm aggivessana etad ahosi: aham c'eva kho pana sábbaso ajåddhukåm patjåneyya'ym imâ ca me devatå dibbam ojam lomaküpehi aghohareyyåm tåya cáham yåpeyyåm, tam mama assa muså ti / so kho aham aggivessana tá devatå paccåkkhiññåmi, halan ti vadåmi /

(p. 245, l. 17:) tassa mayhm aggivessana etad ahosi: yan nünãham thokåm thokam áhåråm áhåreyyåm pasåtam pasåtam, yådi vå muggåyåsåm yådi vå kulåthåyåsåm yådi vå kålåyåyåsåm yådi vå hårènåkåyåsåm ti / so kho aham aggivessana thokåm thokam áhåråm áhåresem pasåtåm pasåtåm, yådi vå muggåyåsåm yådi vå kulåthåyåsåm yådi vå kålåyåyåsåm yådi vå hårènåkåyåsåm / tassa mayhm aggivessana thokåm thokam áhåråm áhårayåto pasåtåm pasåtåm, yådi vå muggåyåsåm yådi vå kulåthåyåsåm yådi vå kålåyåyåsåm yådi vå hårènåkåyåsåm, adhimatåkasiññåm patto kåyo hoti / seyyathá pi nåma åsåtkåpåbbåmi và kålåpåbbåmi và evam eva 'ssu me aigåpaccåcångåmi bhavånti tåy ev appåhårâtåya, seyyathå pi nåma ottåhåpådam evam eva 'ssu me ånisådam hoti tåy ev appåhårâtåya, seyyathå pi nåma våtånàva'li evam eva 'ssu me piþhåñåtåko umåtåvanåto hoti tåy ev appåhårâtåya, seyyathå pi nåma jaråsålàga gopånåsåyo olåggåvålågå bhavånti evam eva 'ssu me phåsålyyo olåggåvålågå bhavånti tåy ev appåhårâtåya, seyyathå pi nåma gambhåre udåpåne udåkatåråkå
Then, Aggivessana, I thought: ‘Let me, closing my teeth, pressing my palate with my tongue, restrain my thought with my mind, coerce and torment it’. Then indeed, Aggivessana, closing my teeth and pressing my palate with my tongue, I restrained my thought with my mind, coerced and tormented it. While I, Aggivessana, closing my teeth and pressing my palate with my tongue, restrained my thought with my mind, coerced and tormented it, sweat came from my armpits. Just as when, Aggivessana, a strong man, taking a weaker man by his head or taking him by his shoulder, may restrain, coerce and torment him, just so indeed, Aggivessana, while I, closing my teeth and pressing my palate with my tongue, restrained my thought with my mind, coerced and tormented it, sweat came from my armpits. But, Aggivessana, my energy was aroused, not shrinking, my mindfulness was alert, not distracted, but my body was impetuous, not calmed, while I was harassed by that painful exertion. Even

9. MN 1.21, 117, 186 have: “my energy was aroused, not shrinking, my mindfulness was alert, not distracted, my body was calmed, not impetuous,...” This justifies the translation ‘but’ for ca.
such a painful experience, Aggivessana, when it happened to me, did not completely take hold of my mind.

(p. 243, l. 4:) Then, Aggivessana, I thought: ‘Let me perform meditation without breath’. Then indeed, Aggivessana, I stopped breathing out and breathing in, both through the mouth and through the nose. When, Aggivessana, my breathing out and breathing in had been stopped, both through the mouth and through the nose, there came about the extremely strong noise of winds which went out through my ears. Just as when an extremely strong noise comes about when the bellows of a smith are blown, just so indeed, Aggivessana, there came about the extremely strong noise of winds which went out through the ears, when my breathing out and breathing in had been stopped both through the mouth and through the nose. But, Aggivessana, my energy was aroused, not shrinking, my mindfulness was alert, not distracted, but my body was impetuous, not calmed, while I was harassed by that painful exertion. Even such a painful experience, Aggivessana, when it happened to me, did not completely take hold of my thought.

(p. 243 l. 18): Then, Aggivessana, I thought: ‘Let me perform meditation fully without breath’. Then indeed, Aggivessana, I stopped breathing out and breathing in through mouth, nose and ears. When, Aggivessana, my breathing out and breathing in had been stopped through mouth, nose and ears, extremely strong winds shook up my head. Just as when, Aggivessana, a strong man may destroy a head with the sharp edge of a sword, just so indeed, Aggivessana, extremely strong winds shook up my head, when breathing out and breathing in had been stopped through mouth, nose and ears. But, Aggivessana, my energy ... did not completely take hold of my mind.

(p. 243, l. 32:) Then, Aggivessana, I thought: ‘Let me perform meditation fully without breath’. Then indeed, Aggivessana, I stopped breathing out and breathing in through mouth, nose and ears. When, Aggivessana, my breathing out and breathing in had been stopped through mouth, nose and ears, there came about extremely strong headaches in my head. Just as when, Aggivessana, a strong man may place a turban on a head with a
strong strip of leather, just so indeed, Aggivessana, there came about extremely strong headaches in my head when breathing out and breathing in had been stopped through mouth, nose and ears. But, Aggivessana, my energy did not completely take hold of my mind.

(p. 244, l. 9:) Then, Aggivessana, I thought: ‘Let me perform meditation fully without breath’. Then indeed, Aggivessana, I stopped breathing out and breathing in through mouth, nose and ears. When, Aggivessana, my breathing out and breathing in had been stopped through mouth, nose and ears, extremely strong winds cut my belly all around. Just as when, Aggivessana, a skilled butcher or apprentice of a butcher may cut a belly all around with a sharp butcher’s knife, just so indeed, Aggivessana, extremely strong winds cut my belly all around. But, Aggivessana, my energy ... did not completely take hold of my mind.

(p. 244, l. 23:) Then, Aggivessana, I thought: ‘Let me perform meditation fully without breath’. Then indeed, Aggivessana, I stopped breathing out and breathing in through mouth, nose and ears. When, Aggivessana, my breathing out and breathing in had been stopped through mouth, nose and ears, there came about an extremely strong heat in my body. Just as when, Aggivessana, two strong men, taking a weaker man by both his arms, may burn and roast him on a pit of burning coal, just so indeed, Aggivessana, there came about an extremely strong heat in my body when my breathing out and breathing in had been stopped through mouth, nose and ears. But, Aggivessana, my energy ... did not completely take hold of my mind.

(p. 244, l. 37:) The gods moreover, Aggivessana, seeing me spoke thus: ‘The recluse Gotama is dead’. Some gods spoke thus: ‘the recluse Gotama is not dead, but he is dying’. Other gods spoke thus: ‘The recluse Gotama is not dead, nor is he dying, the recluse Gotama is an arahant, that condition is exactly the one of an arahant’.

(p. 245, l. 6): Then, Aggivessana, I thought: ‘Let me completely abstain from taking food’. Then indeed, Aggivessana, the gods,
approaching me, said this: ‘Don’t you, Sir, completely abstain from taking food. If indeed, Sir, you will completely abstain from taking food, then we shall feed you divine nutritive essence through the pores of your skin, and thereby you will stay alive’. Then, Aggivessana, I thought: ‘If I promised to completely abstain from taking food, these gods would feed me divine nutritive essence through the pores of my skin, and thereby I would stay alive; thus I would [speak] untruth’. Then indeed, Aggivessana, I rejected those gods, and said ‘enough’.

(p. 245, l. 17:) Then, Aggivessana, I thought: ‘Let me take food little by little, drop by drop, soup of kidney-beans, or soup of vetch, or soup of chick-peas, or soup of peas’. Then, Aggivessana, while I took food little by little, drop by drop, soup of kidney-beans, or soup of vetch, or soup of chick-peas, or soup of peas, my body became extremely thin. Just like the joints of the āsūtika or the joints of the kāla, my limbs, great and small, became just so on account of taking so little food. Just like the foot of a camel, my behind became just so on account of taking so little food. Just like a line of balls, my backbone became similarly bent up and bent down, on account of taking so little food. Just as the supporting beams in an old shed are breaking off and falling to pieces, just so my ribs were breaking off and falling to pieces on account of taking so little food. Just as in a deep well the glitter of water is seen, deep and low-lying, just so the glitter of my eyes was seen, deep and low-lying in the sockets, on account of taking so little food. Just as a bitter gourd, cut off while still unripe, becomes shrivelled and withered on account of wind and heat, just so the skin of my head became shrivelled and withered on account of taking so little food. Then indeed, Aggivessana, [thinking:] ‘I shall touch the skin of my belly’, I got hold of my backbone, [thinking:] ‘I shall touch my backbone’, I got hold of the skin of my belly, since, Aggivessana, the skin of my belly had become stuck to my backbone on account of taking so little food. Then indeed, Aggivessana, [thinking:] ‘I shall defecate or urinate’, I fell down, head forward, at that very place, on account of taking so little food. Then indeed, Aggivessana, soothing this my body I rubbed over
my limbs with my hand. While I, Aggivessana, rubbed over my limbs with my hand, the hairs, having fetid roots, fell down from my body on account of taking so little food.

(p. 246, l. 12:) People moreover, Aggivessana, seeing me spoke thus: ‘The recluse Gotama is black’. Some people spoke thus: ‘The recluse Gotama is not black, the recluse Gotama is brown’. Other people spoke thus: ‘The recluse Gotama is not black, nor is he brown, the recluse Gotama has a fair skin (maṅguracchavi)’. So much, Aggivessana, the colour of my skin, [though] fully clean and fully pure, had become destroyed on account of taking so little food.

(p. 246, l. 20:) Then, Aggivessana, I thought: ‘The recluses or Brahmins of the past who experienced painful, sharp, severe sensations [which were] due to [self-inflicted] torture,[11] [experienced] this much at the most, not more than this. Also the recluses or Brahmins of the future who will experience painful, sharp, severe sensations [which will be] due to [self-inflicted] torture, [will experience] this much at the most, not more than this. Also the recluses or Brahmins of the present who experience painful, sharp, severe sensations [which are] due to [self-inflicted] torture, [experience] this much at the most, not more than this. But indeed I do not attain, through these severe and difficult practices, excellence in knowledge and insight which is truly noble and transcends the human condition. Could there be another road toward enlightenment?’

This episode contains two features which suggest that non-Buddhist, most probably Jaina, practices are described:

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10. See below, point (iv).
11. opakkamika. The parallel passages in the Mahāvastu (II, p. 130) and Lalitavistara (p. 263) have ātinopakramika; see also Mahāvastu II, p. 121-23, Lalitavistara p. 246-48.
(i) After the “meditation fully without breath”, some gods think that Gotama is dead, others that he is dying, others again observe that “that condition is exactly the one of an arahant”. Obviously Gotama’s condition is not “exactly the one of an arahant” in the Buddhist sense of this word. Here the term arahant is reserved for those who have followed to the end the road to salvation taught by the Buddha, as also for the Buddha himself after his enlightenment. The practices described in the present passage are without value for the attainment of (Buddhist) salvation, and to be discarded by Buddhist arahants. However, this same term (or its equivalent, in Sanskrit arhat, in Ardha-Māgadhī araha, arihanta) was also used by the Jainas, and perhaps the Ājivikas (see Basham, 1951: 56, 140), to designate those who have reached the highest stage possible while still embodied as human beings. Both the Jainas and the Ājivikas are known for their inclination towards asceticism, so that we must conclude that the gods used the word arahant in the sense current among these religious wanderers.

(ii) The reduced intake of food is preceded by the intention to completely abstain from taking food. The reduced intake of food, with all its horrors, is therefore no more than a second choice. The story loses much of its force by the fact that the exalted initial intention comes to nothing. Why then was it added? The question resolves itself once we assume that our episode is directed against the Jainas, among whom the

12 A few possible exceptions occur in the Pāṭika Sutta (nr. 24) of the Dīgha Nikāya (III, 7, 10, 11), where the term is used - by Sunakkhadatta, who has left the Buddhist order - in connection with certain ascetics. It is hard to decide if the term is used here, for once, in its literal sense (‘deserving, respectable’), or if it is used to indicate the foolishness of Sunakkhadatta, who indeed is repeatedly called moghapurisa ‘foolish man’ in that Sūtra. T.W. and C.A.F. Rhys Davids’ (1921: 3-6) contention that in the Pāṭika Sutta as well as in our Mahāsaccaka Sutta the term is used in its supposedly pre-Buddhistic sense (“we may take it that ... the word ... had come to be popularly applied, not only to priests and kings, but also to ascetics”) is unacceptable, the more so since this part of the Mahāsaccaka Sutta cannot be very early; see below, § 1.4. Some more places where arahant may be used in its literal sense have been noted by Franke (1913: 300-301). See further Horner, 1936: 77-95.

13 Also the Vṛātyas used the term; see Weber, 1876: 85.

14 It is not present in the parallel passage in the Mahāsīhanāda Sutta of the Majjhima Nikāya (I.80).
most respected way of dying is by voluntary starvation.\textsuperscript{15}

The following feature points in the same direction:

(iii) The phrase “painful, sharp, severe sensations [which are] due to [self-inflicted] torture” (\textit{opakkamikå dukkhå tippå ka†ukå vedana}) occurs, apart from this episode, in two and only two other contexts in the P\={a}li canon, both times in connection with Jainas (Niga\={n}tha; see below): in the \textit{Devadaha Sutta} (nr. 101 of the \textit{Majjhima Nikåya}, vol. II, p. 218-19) and in the \textit{C\={u}ladukkhakkhandha Sutta} (nr. 14 of the \textit{Majjhima Nikåya}, vol. I, p. 92).

Perhaps we may add:

(iv) The reduced intake of food is said to evoke three kinds of reactions from onlookers. Some say that Gotama is black, others that he is brown, others again that he has a fair skin (\textit{ma\={n}guracchavi}). The exact significance of \textit{ma\={n}guracchavi} is not known. It occurs always\textsuperscript{16} (DN I. 193; 242; MN I. 429; II. 33; and here) in the company of \textit{kåla}, “black”, \textit{såma} “brown”. The three terms seem intended to cover among them the whole range of colours a human being can have: in three of the five cases they enumerate the varieties of complexion that an unknown beautiful girl can have, so that “having a fair skin” seems to be a reasonable translation. In the circumstances, only the first two terms are appropriate.

\textsuperscript{15} Cf. Schubring, 1935: 182-83; Kamptz, 1929; and ch. III below. Perhaps we may look at the following as a confirmation that our episode is directed against the Jainas: the gods assure Gotama that they will keep him alive in a way which is familiar from the Jaina scriptures. They want to feed Gotama divine nutritive essence through the pores of his skin (\textit{lomakåpesu}). Feeding of this kind (\textit{lomåhåra}) is known from the (late) \textit{Pa\={n}navambhû Sutta} (ch. 28, § 1859-61). “Here we learn that infernal beings, celestial beings and one-sensed beings undertake feeding through skin (1859-60). The two-sensed up to the five-sensed human beings undertake the feeding through skin as well as mouth (1861).” (\textit{Pa\={n}navambhû}, part 2, Intr. p. 396-97). Cf. \textit{S\={u}trak\=anta\=\=ganiryukti} p. 228-29, \textit{ga\=thås} 171f.

\textsuperscript{16} That is to say, in the P\={a}li canon. Prof. K.R. Norman informs me that \textit{ma\={n}guracchavi} occurs with \textit{kåla} and \textit{odåta} at Vism 184 and Sp 238, and observes that it “presumably represents a colour (half-way) between black and white”, perhaps ‘(dark) brown’. Norman further suggests a connection with \textit{ma\={n}gula} / \textit{ma\={n}guli}, which seems to be used only in a bad sense. It seems however dubious to attach too much worth to the opinions of the commentators, who may often, like us, have tried to make sense of the material before them and may occasionally have failed to draw the correct conclusions. Moreover, \textit{ma\={n}gura} may be connected with \textit{ma\={n}kura}, which has been preserved by the Sanskrit lexicographers in the sense ‘mirror’; this suggests ‘shining’ for \textit{ma\={n}gura}. 
The third one may have been added\(^{17}\) under the influence of and in order to ridicule the belief which survived among the Digambaras, that Mahāvīra shone like a crystal (Jaini, 1979:35; cf., e.g., Raviśeṇa’s *Padmapurāṇa* II.92 (vol. I, p. 18)). [This idea is not totally foreign to the ancient Buddhist scriptures. Sn 548 describes the Buddha as ‘golden coloured’ (*suvannavāna*), Sn 550 as ‘shining like the sun’ (*ādicco va virocas*), Sn 551 as ‘whose skin resembles gold’ (*kañcanasannibhattaca*); see also Th 818f. See however ch. X below.]

However, it is not impossible that the disagreement among the onlookers does not concern the present colour of Gotama, but rather his original colour which had now become unrecognizable.\(^{18}\)

1.2. The episode on meditation without breath and reduced intake of food occurs in the *Ekottara Āgama* preserved in Chinese, as well. It reads (T.125, p. 670c18-671b4):\(^{19}\)

(670c18:) Then I thought: ‘Why should I still eat? I can completely abstain from taking food’. Because this thought arose in me, the gods came to me and said: ‘Do not now stop eating. If you’ll stop eating, we’ll prolong and preserve your life with the pure force of nectar’. Then again I thought: ‘What reason is there now to stop eating, [since] it will instigate the gods to give me nectar. I would deceive [others and myself]’. At that time I thought: ‘Now I can eat a residue of sesamum and rice’. Then I ate per day one [seed of] sesamum and one [grain of] rice. My body became deteriorated and weak, and my bones were joined together. A sore grew on top of my head, so that the skin [of my head] fell down of its own, piece after piece, and my head resembled a broken bottle-gourd. [The sore] did not leave my

\(^{17}\) It is hard to believe that *maṅguracchavi* was added by the redactors of the Pāli canon in their efforts to unify the texts, since the *Mahāvastu* (II, p. 126-30) and the *Lalitavistara* (p. 255) use the corresponding term *madguracchavi* in the same context.

\(^{18}\) This was pointed out to me by Prof. Schmithausen in a written communication.

\(^{19}\) Prof. E. Zürcher was kind enough to lend assistance in reading this passage. The responsibility for the translation remains however mine.
head intact. At that time I was like this: a sore grew on top of my head, so that the skin [of my head] fell down of its own, piece after piece, all because I did not eat. And just like stars which are seen [reflected] in deep water, so were my eyes at that time, all because I did not eat. My body resembled an old cart which breaks down. It was entirely destroyed and could not support and obey me. And my two buttocks were like the foot of a camel. When I put my hand on my belly, I got hold of the bones of my spine; and when I placed my hand on my spine, I got hold of the skin of my belly. My body was emaciated and weak, all because I did not eat. At that time, when I ate one [seed of] sesamum and one [grain of] rice and considered it my food, I did not in the end derive any benefit [from it]. And I did not attain to the most honourable dharma. When I wished to defecate or urinate, then I fell over on the earth and could not myself stand up and sit down.

(671a7: ) Gods, seeing me, thought this, saying: ‘This recluse (śramaṇa) Gautama, he has come to extinction’. But there were some gods who said: ‘This recluse, his life has not yet ended, [but] today his life will certainly end’. Other gods again said: ‘This recluse is not at the end of his life. This recluse is really an arhat. The dharma of a sage [called] arhat contains this painful practice’. At that time I still was conscious and knew the factors that came to me from outside.

(671a12:) Then again I thought: ‘Now I can enter into meditation without breath’. I then entered into meditation without breath, and counted my exhalations and inhalations. Counting my exhalations and inhalations, I noticed that there was air coming out from my ears. The sound of [this] wind resembled the roll of thunder.

(671a15:) Then again I thought: ‘Now I close my mouth and block my ears, [so that] my breath [can] not escape’. When my breath [could] not escape, the air inside came out from my hands and feet. Truly, I did not let my breath go out through my ears, nose and mouth. The inner sound [resulting from this] resembled the roar of thunder. Yet my consciousness revolved [through all

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20 Unclear.
this] along with my body. 17

(671a19:) Then again I thought: ‘I ought to enter into meditation without breath once more’. I then completely blocked all apertures [of my body]. Having blocked all exhalations and inhalations, I then suffered pain in my forehead. As if a man, taking hold [of me], pierced my head with a drill, so did I have extremely painful headaches. At that time, like before, I retained consciousness.

(671a23:) Then again I thought: ‘Now again I can sit down and meditate [such that] my breath cannot go out or in’. Then I blocked my exhalations and inhalations. Thereupon all my breaths gathered together in my belly. The breaths which then whirled around had extremely few points of support. 21 Just as when a skilled butcher slaughters a cow with a knife, so did I suffer extremely severe pains. And as when two strong men together hold one weak man and toast him before a fire, [so that] he suffers extreme pains which he cannot bear, so did I [suffer such pains]. These severe pains cannot be wholly described. Yet I retained consciousness.

(671a29:) On that day, while I sat in meditation, my body did not have a human colour. At that occasion there were people who, seeing me, said: ‘The colour of this recluse is extremely black’. There were other people who, seeing me, said: ‘The colour of this recluse resembles green’.

(671b3:) Monks (bhikṣu), you should know that in the six years that I did these painful practices I did not attain to the most honourable dharma.

The episode from the Ekottara Āgama and the one from the Majjhima Nikāya clearly come from a common source. It seems a priori likely that the former is a later version, for the Ekottara Āgama is said to have been profoundly influenced by Mahāyāna, and to contain an “abundance of composite Sūtras, artificially forged together by placing one after the other Sūtras or portions of Sūtras borrowed from other canonical texts”

21 Unclear.

The episode in the *Ekottara Ágama* reverses meditation without breath and reduced intake of food. Reduced intake of food comes here first, and this has given rise to an absurdity. At the beginning of his reduced intake of food the future Buddha decides not to undertake a complete fast, because the gods would keep him alive, would not let him die. But at the end of the reduced intake of food the gods are made to think that Gautama has died, or is about to die, without their having done a thing to prevent this. This inconsistency is absent from the Pāli version where these thoughts on the part of the gods occur after Gautama’s meditation without breath. We may assume that the story got muddled up in the course of the longer tradition which underlay the version in the *Ekottara Ágama*.

The statement at the end of the episode in the *Ekottara Ágama* that these painful practices were performed for six years is another indication that this is a later stage in the development of the story. The Pāli canon does not, to my knowledge, indicate anywhere how long the future Buddha tried alternative methods. In the later literature, however, it is often said that it lasted six years.

The *Ekottara Ágama* version of our episode preserves, in spite of its lateness, the two main indications that it originally dealt with non-Buddhist, probably Jaina, practices:

(i) The gods call Gautama an *arhat*

(ii) The future Buddha intends to fast to death but abandons this idea.

The third indication which we might expect, viz., something corresponding to *maṅguracchavi*, is not found in the *Ekottara Ágama*.

One thing is lacking in the *Ekottara Ágama*. The Pāli version introduces the description of meditation without breath with an account of the Bodhisattva’s attempt to “restrain my thought with my mind, [to] coerce and torment it”. This is the only part of the whole episode which can properly be called a description of meditation. It is absent from the

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22 E.g., Aśvaghosha’s *Buddhacarita* 12.95; *Lalitavistara* p. 250, 256, 257, 259, 260, 264, 265; *Mahāvastu* II, p. 241. It is also mentioned in the introduction to the *Jātakas* (*Ja* I.67), which is late. For a comparative study of all these and other versions of our story, see Dutoit, 1905.
The explanation of this absence lies no doubt in the circumstance that the practice to “restrain one’s thought with one’s mind, to coerce and torment it” - here criticized - was taken over by the Buddhists themselves at an early date. This is most clearly shown by the fact that almost the same words which are used in the autobiographical account of the Buddha to ridicule this practice, are used elsewhere in the Majjhima Nikāya (I. 120-21; similarly MĀc p. 582c7-10) to recommend that same practice. Even the accompanying simile is there. This explains sufficiently the omission in the Ekottara Āgama.

1.3. The Ekottara Āgama gives no real context to the autobiographical account which contains our episode. Only an introduction accompanies it, which reads (p. 670c2-3): “Thus it has been heard. At one time the Buddha was in a grove outside the city of Vaiśāli. Then the world-honoured one spoke to the monks: ‘Formerly, when I had not yet attained enlightenment,23 ...’.” Following this comes the autobiographical account which contains our episode and which reaches up to the end of this unit.

The Majjhima Nikāya gives the episode in three different contexts, one of which is of particular interest to us. The Mahāsaccaka Sutta may well contain the original context of the episode; at the very least it shows that early in the Buddhist tradition there was a clear awareness that our episode served the purpose of criticizing others, i.e., Jainas, for which a suitable context was created. The following points go to show this:

(i) The Mahāsaccaka Sutta mainly describes a conversation between the Buddha and Saccaka Nigaṇṭhaputta, alias Aggivessana. The Nigaṇṭhas of the Pāli canon are - as has been shown by Jacobi (1895: xivf.) - the Jainas. Saccaka is called ‘Nigaṇṭhaputta’, i.e., ‘son of a Nigaṇṭha’, which indicates that he was a Jaina.24

(ii) Saccaka points out that there are two extremes into which certain recluses and Brahmans fall. Some are devoted to the cultivation of the body, at the expense of the cultivation of the mind. Others are devoted to

23 Lit. ‘the way of a Buddha’.
the cultivation of the mind, at the expense of the cultivation of the body. Both suffer the horrible consequences of this omission because they fail respectively to cultivate the mind or the body. Saccaka specifies that the disciples of the Buddha are devoted to the cultivation of the mind, at the expense of the cultivation of the body. Those who are devoted to the cultivation of the body, at the expense of the cultivation of the mind, are, apparently, Nanda Vaccha, Kisa Saṅkicca, and Makkhali Gosāla. These three persons are mentioned at the beginning of a passage which gives an enumeration of ascetic practices. These practices fit very well with what we know about the Jainas (Jacobi, 1895: xxxi), yet neither Nigaṇṭha Nātaputta, i.e. Mahāvīra, nor his followers are here mentioned. The reason seems clear: Saccaka, himself a Jaina, cannot ascribe to the Jainas the extreme of only cultivating the body at the expense of cultivating the mind. The tenor of Saccaka’s exposition indicates that others such as Nanda Vaccha, Kisa Saṅkicca, and Makkhali Gosāla - all of whom are normally associated with the Ājīvakas (Basham, 1951: 27-30) - are guilty of this extreme, while the Jainas give mind and body their proper share. It is certainly significant that this same enumeration of ascetic practices occurs often in the Pāli canon (see Franke, 1913: 135n.1), but never in connection with these three persons!\(^\text{25}\) Note that according to the composer of this part of the Mahāsaccaka Sutta the episode of meditation without breath and reduced intake of food is not directed against the Ājīvikas. (MN I. 237-39, esp. p. 238, 1. 12-28).

Perhaps the following point should be added:

(iii) Towards the end of the Sūtra (MN I. 249-50) Saccaka directs a final criticism at the Buddha. The Buddha, he points out, sleeps sometimes by day. This criticism makes sense against the background of the Jaina rule that monks should abstain from sleeping by day (Jaini, 1979: 251; cf. Āyār. 106 (1.3.1.1): sutta amuṇī munīpo sayā jāgaraṇti “The unwise sleep, the sages always wake” (tr. Jacobi, 1884: 28); Sūy. 585 (1.14.6);

\(^{25}\) Jacobi (1895: xxxi-xxxii), not taking into account the context, mistakenly thinks that this passage is “most easily ... accounted for by our assuming that the original Nigaṇṭhas ... were not the section of the church, which submitted to the more rigid rules of Mahāvīra, but those followers of Pārśva, who, without forming a hostile party, yet continued ... to retain within the united church some particular usages of the old one.”
The other two Sūtras of the Majjhima Nikāya provide no context worth the name. The autobiographical account containing our episode is given in the Bodhirājakumāra Sutta in reply to the faulty observation that “happiness should not be reached through happiness, happiness should be reached through hardship” (see however note 5 to ch. II). Here the features which point to specific non-Buddhistic, probably Jaina, practices remain unexplained. In the Saṅgārava Sutta the autobiographical account follows the Buddha’s statement that he has achieved perfection of wisdom in this world (dīthadhhammadābhiñāvovasānapāramippatta) by having recognized the dhamma himself (MN II.211). This is hardly a fitting context for our episode.

However, in all the three Sūtras our episode is part of the same autobiographical account, portions of which do not appear to make sense in the Mahāsaccaka Sutta. One of those portions seems to fit much better in the Saṅgārava Sutta. This is the story of the Bodhisattva’s training under Āḷāra Kāḷāma and Uddaka the son of Rāma, which he then discarded as useless. This story has nothing to do with the point which the Buddha wants to make to Saccaka. It is, on the other hand, a suitable introduction to the message which the Buddha wants to get across in the Saṅgārava Sutta, viz., that he reached his goal all alone.27 One gets the impression that the long autobiographical account which is repeated in three contexts, contains some portions which at an earlier time occurred separately in those different contexts.

Be this as it may, the autobiographical account in the Mahāsaccaka Sutta contains some further portions which do not make sense in the conversations with Saccaka, and which may therefore be later additions. They are the following:

(i) Immediately after the account of the training under Āḷāra Kāḷāma and Uddaka the son of Rāma, the Buddha describes how three similes occurred to him which, briefly stated, showed him that no progress would be possible as long as desire for the objects of the senses were not

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26 The idea is also found in Brahmanical sources, e.g. ÅpDhS 1.2.24.
27 This story occurs again in the Ariyapariyesana Sutta (MN I. 163-67).
abandoned (MN I. 240-42). This description serves no purpose in the reply to Saccaka.

(ii) At the end of the Mahāsaccaka Sutta (MN I. 250-51) Saccaka contrasts the composed behaviour of Gotama with the evasive reactions of the six heretics, which include, as ever, Nigaṇṭha Nātaputta. Since there is no mention in the text that Saccaka was converted to Buddhism, he was still a follower of Nigaṇṭha Nātaputta. This episode is therefore inexplicable in this context.

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If we remove the portions indicated above from the Mahāsaccaka Sutta, we are left with what may be called the ‘Original Mahāsaccaka Sūtra’. It is very likely that it once had an existence of its own, while additions were made to it later. From the beginning this Original Mahāsaccaka Sūtra must have contained the episode on meditation without breath and reduced intake of food. This episode itself may or may not have existed before the composition of the Original Mahāsaccaka Sūtra.

1.4. Something can be said about the date of composition of the episode on meditation without breath and reduced intake of food. It must have been well before the final redaction of the Pāli canon, because, as we have seen, the Original Mahāsaccaka Sūtra suffered a number of additions. The Pāli canon was written down in the first century B.C.28 Our episode must be much earlier than this.

One feature of our episode allows us to tentatively push this date back considerably. The Bodhisattva, we know, abandoned his intention to fast to death. The author of the episode really did not have much choice here, for if he had let the Bodhisattva die as a result of these hardships, the latter could not have reached enlightenment in the same life. Embarrassment could however have been avoided by placing the episode in an earlier existence of the Bodhisattva. In that case the

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Bodhisattva could finish his fast to death completely. Why was this not done?

Stories about previous existences of the Buddha are a late feature of the canonical literature. Very few of them occur in the collections of Sūtras (Kūṭadanta Sutta: DN I. 134-43, cf. DĀc p. 98b-100b; Mahāsudassana Sutta: DN II. 169-98, cf. DĀc p. 21b-24b, MĀc p. 515b-518b; Mahāgovinda Sutta: DN II. 220-51, cf. DĀc p. 30b-34a; Makhādeva Sutta: MN II. 74-82, cf. MĀc p. 511c-515a, EĀc p. 806c-810a; Ghaṭikāra Sutta: MN II. 46-49, 54, cf. MĀc p. 499a-503a; see Winternitz, 1920: 91f.; Bareau, 1980: 5). A whole collection of such stories (the Jātakas) came to be accepted in the Pāli canon. We may assume that this happened before the time that these Jātakas (Lüders, 1941: 136f.; but cf. Lamotte, 1958: 444-45) were depicted at Buddhist monuments, especially in Bhārhat. These sculptures may be dated between 150 and 100 B.C. (Barua, 1934: 29-37; Rowland, 1967: 88). It seems that we must date our episode long before this time, i.e., in the third century B.C. at the latest (cf. Bareau, 1980: 5-6).

This conclusion seems supported by the fact that many Jātakas contain verses in the new Āryā metre (Alsdorf, 1967: 23-51) and must therefore perhaps be dated before the supposed migration of Pāli to Ceylon, in the middle or second half of the third century B.C. (Alsdorf, 1965: 70; 1967: 5). This last consideration is however weakened by the possibility that the early Pāli works which originated after this date may also have been composed on the mainland, not in Ceylon; cf. Frauwallner, 1971: 105-06.

With regard to the above conclusion some caution must be exercised. It is likely that some kind of tradition regarding the pre-enlightenment hardships of the Buddha existed prior to the composition of our episode (see below). This may have prevented the transposition of

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29 A possible objection would be that the Bodhisattva is said to abandon a full fast merely to indicate that he would be kept alive by receiving divine food through his pores. This point of view does not however seem to do full justice to our episode.

30 It is not likely that our episode was part of the original Skandhaka which Frauwallner (1956b: 67) dates a century after the death of the Buddha. Mukherjee (1966: 130-32) argues convincingly that the original Skandhaka may not have contained any biographical material regarding the period preceding the enlightenment of the Buddha.
this episode to an earlier life of the Bodhisattva even at a time that stories about such earlier lives started playing a role.

The episode on meditation without breath and reduced intake of food does not belong to the earliest layer of Buddhist literature. There is reason to believe that its composer made use of already existing passages (‘pericopes’), which may have been more or less freely floating.

The Pāli account of meditation fully without breath contains four comparisons:

(i) “Just as when a strong man may destroy a head with the sharp edge of a sword, just so indeed extremely strong winds shook up my head”

(ii) “Just as when a strong man may place a turban on a head with a strong strip of leather, just so indeed there came about extremely strong headaches in my head”

(iii) “Just as when a skilled butcher or apprentice of a butcher may cut a belly all around with a sharp butcher’s knife, just so indeed extremely strong winds cut my belly all around”

(iv) “Just as when two strong men, taking a weaker man by both his arms, may burn and roast him on a pit of burning coal, just so indeed there came about an extremely strong heat in my body.”

These comparisons also occur in the Ekottarāgama version, even though there (i) and (ii) have been condensed into one:

(i)-(ii) “As if a man, taking hold of me, pierced my head with a drill, so did I have extremely painful headaches”

(iii) “Just as when a skilled butcher slaughters a cow with a knife, so did I suffer extremely severe pains”

(iv) “And as when two strong men together hold one weak man and toast him above a fire, so that he suffers extreme pains which he cannot bear, so did I suffer such pains.”

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31 Jha (1979: 276) observes: “The traditional scholars from South India very often say: kimartham śirovētana-prāṇyāmanah?” What could be the connection?
These four\textsuperscript{32} comparisons must have occurred in the original version of our episode.

But the same comparisons occur in the exact words elsewhere in the Pāli canon and always in connection with a sick person: MN II. 193; SN IV. 56; AN III. 379-80. There can be no doubt that the comparisons fit a sick person much better than one engaged in meditation fully without breath. The important role allotted to wind in Indian medical treatises is well-known.\textsuperscript{33} Further, it is difficult to see why meditation without breath should bring about the extreme heat of the fourth comparison, which appears to describe fever, which is connected with bile (\textit{pitta}) and not wind (see note 28). It is however clear how the four comparisons could come to be transferred from a sick person to one engaged in meditation fully without breath; the first and the third mention wind, and winds are not allowed to leave the body in this kind of meditation.

Another apparently borrowed part in the episode is the description of the horrible effects of the future Buddha’s reduced intake of food, which occurs in both the Pāli and the Chinese versions. It occurs again in the \textit{Mahāsīhanāda Sutta} of the Majjhima Nikāya (nr. 12, MN I.80) and, in a somewhat different form, in the \textit{Shēn mao hsi shu ching} (originally \textit{Romaharṣaniya Sūtra}, cf. Lévi, 1932: 158n5; T. 757, p. 598a 25f.).\textsuperscript{34} In both these Sūtras it is part of an account of the extreme ascetic practices which the Bodhisattva tried out. These practices include much besides fasting, but no meditation with or without breath. Since it is hard to see in what other context this part could originally have existed, we may assume that some sort of tradition regarding the pre-enlightenment hardships of the Buddha existed prior to the composition of our

\textsuperscript{32} Four, not three. The Pāli version must be closer to the original because two of its comparisons make a mention of winds, which the whole passage really is about. The mention of winds cannot be an adjustment après coup, for the four comparisons were taken from another context. See below.

\textsuperscript{33} According to Agniveśa’s \textit{Caraka Samhitā}, Sūtras\textsuperscript{a} 20.11 (p. 113), headache (\textit{śiroc}) and belly-ache (\textit{udarāveṣṭah}; the commentator Cakrapāṇidatta explains: \textit{udarasyāveṣṭanam ivodarāveṣṭah}) are caused by wind (\textit{vāta}). This corresponds to comparisons (i)-(iii). Heat (\textit{dāha}), on the other hand, is caused by bile (\textit{pitta}); see Sūtras\textsuperscript{a} 20.14 (p. 114).

\textsuperscript{34} The \textit{Romaharasaniya Sūtra} in its Chinese version is clearly influenced by our episode. It includes the remarks by onlookers regarding Gautama’s black or brown colour (p. 598b24) and is aware of the feeding of \textit{ojas} through the pores (p. 599a24).
1.5. The most interesting result of the above observations is that, probably in the third century B.C., a Buddhist gave a description of a non-Buddhist, probably Jaina, method of cultivating the mind, called ‘meditation’ (*jhāna / dhyāna*). Stripped from obvious exaggerations and repetitions it presents this picture: Among the non-Buddhists (Jainas), meditation was a forceful effort to restrain the mind and bring it to a standstill. Along with it, but perhaps only in a more advanced stage of meditation, breathing is stopped.

This form of non-Buddhist meditation is contrasted with Buddhist meditation in the *Mahāsaccaka Sutta*, and probably also in the Original *Mahāsaccaka Sūtra* which may have constituted the original context of our episode. The Bodhisattva is said to recall the First *Dhyāna* in a passage which appears to contain very old elements (Horsch, 1964; Barea, 1963: 47-48, 52-53). It reads (MN I. 246-47; cf. T. 1428, p. 781a4-11):36

> Then, Aggivessana, I thought: ‘I remember, indeed, that [once], during the work of my father the Sakka, while sitting in the cool shade of the rose-apple tree, separated from desires, separated from bad things (*dhamma*), I reached the First *Dhyāna*, which is accompanied by thought and reflection, born from separation, consists of joy and bliss, and remained [there]. Could this perhaps be the road toward enlightenment?’ Then, Aggivessana, following this memory I had this knowledge: ‘This is really the road toward enlightenment’.

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35 This tradition, too, may have been strongly influenced by Jaina and similar practices. See Bollée, 1971; Verclas, 1978: 156-60.

36 *tassa mayham aggivessana etad ahosi: abhijñāṇaṁ kho paññāham pitu sakkassa kammante sīvaya jambuucchāyaya nisimmo vīvicc’eva kāmehi vīvica akusalehi dhammehi savitakkam saviccāram vivekaṁ paṁsukham pathamaṁ *jhānam* upasampajja vihaṁrū, siyā nu kho eso maggo bodhāyanti / tassa mayham aggivessana satāṁsāri viññānanahosi: eso va maggo bodhāyanti / tassa mayham aggivessana etad ahosi: kin nu kho aham tassa sukhassa bhāyaṁī yan tāṁ sukham aṭṭhatī eva kāmehi aṭṭhatā akusalehi dhammehi ’ti / tassa mayham aggivessana etad ahosi: na kho aham tassa sukhassa bhāyaṁī yan tāṁ sukham aṭṭhatī eva kāmehi aṭṭhatā akusalehi dhammehi ’ti /
enlightenment’. Then, Aggivessana, I thought: ‘Indeed, I do not fear that bliss, a bliss which is apart from desires, apart from bad psychic states’.

One cannot fail to be struck by the relaxed and friendly atmosphere which emanates from this passage, and which contrasts with the violent spirit ascribed to Jaina meditation.

In the opinion of the author of the Original Mahāsaccaka Sūtra Buddhist meditation consists of the so-called Four Dhyānas. This is shown by the fact that the autobiographical account in the Mahāsaccaka Sutta concludes with a description of the final enlightenment of the Buddha which follows his ascent through the Four Dhyānas. They are described as follows (MN I. 247):37

Then indeed, Aggivessana, having taken ample food, and having recovered strength, being separated from desires, separated from bad things, I reached the First Dhyāna, which is accompanied by thought and reflection, born from separation, and consists of joy and bliss, and resided [there]. Even such a blissful experience, Aggivessana, when it happened to me, did not completely take hold of my mind. As a result of appeasing thought and reflection I reached the Second Dhyāna, which is an inner tranquillization, a unification of the mind, free from thought and reflection, consisting of joy and bliss that is born from concentration (samādhi), and resided [there]. Even such a blissful experience, Aggivessana, when it happened to me, did not completely take hold of my mind.

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37 so kho ahaṃ aggivessana oṭṭikam āhāram āhāretvā balam gahetvā vivicc’eva kārmehi viviccā akusalehi dhammehi savitakkam savicāraṃ vivekaṃ pītasukham pathanām jhānaṃ upasampajja vihāsīm / evarūpā pi kho me aggivessana uppannā sukhaṃ vedanā cittam na pariyoḷāya tiṣṭhati / vitakkavicāraṇām vūpasamā ajjhatham sampasādanam cetaso ekoddhāram avitakkam avicāram samādhiyam pītasukham dutiyaṃ jhānaṃ upasampajja vihāsīm / evarūpā pi kho me aggivessana uppannā sukhaṃ vedanā cittam na pariyoḷāya tiṣṭhati / pītīyā ca virāgā upekkhako ca vihāsiṃ sato ca sampajjano, sukhaṇī ca kāyena patisanvedesīm yan taṃ ariyā acikkhanti : upekkhako satinā sukhavihārito tatiyaṃ jhānaṃ upasampajja vihāsīm / evarūpā pi kho me aggivessana uppannā sukhaṃ vedanā cittam na pariyoḷāya tiṣṭhati / sukkassa ca pahāna dukkhassa ca pahāna pubbeva somanassadomanassānaṃ atthagamā adukkhāṃ asukhum upekkhāti pītasuddhiṃ catutthham jhānaṃ upasampajja vihāsīm / evarūpā pi kho me aggivessana uppannā sukhaṃ vedanā cittam na pariyoḷāya tiṣṭhati /
As a result of detachment from joy, I remained indifferent, attentive and mindful. I experienced with my body the bliss which the noble ones describe [in these terms]: ‘indifferent, with attentiveness, residing in bliss’; thus I reached the Third Dhyāna and resided [there]. Even such a blissful experience, Aggivessana, when it happened to me did not completely take hold of my mind. As a result of abandoning bliss, and abandoning pain, as a result of the earlier disappearance of cheerfulness and dejection, I reached the Fourth Dhyāna, which is free from pain and bliss, the complete purity of equanimity and attentiveness, and resided [there]. Even\textsuperscript{38} such a blissful experience, Aggivessana, when it happened to me, did not completely take hold of my mind.

When we compare what we learned about non-Buddhist meditation with this description of the Buddhist Four Dhyānas (which is standard, and recurs numerous times in the Buddhist canon; see Schmithausen, 1981: 203-04), we notice many differences. The one that is emphasized by the author of the Original Mahāsaccaka Sūtra is that Buddhist meditation\textsuperscript{39} is a pleasant experience,\textsuperscript{40} accompanied by joy (pīti) and bliss (sukha), or bliss alone, in all but its highest stages, whereas non-Buddhist meditation is not described as pleasurable.

\textsuperscript{38} This sentence is here rather absurd, and shows the unifying, but non-understanding hand of a redactor.

\textsuperscript{39} By this I mean, of course, the Four Dhyānas.

\textsuperscript{40} Note that SN I.1 claims that Nirvāṇa is reached without effort; cf. Karunaratne, 1976.
II. Further Buddhist criticism of alternative practices.

2.1. More information about the Jainas that is of interest to us can be gathered from various places in the Buddhist canon. Of particular interest is MN I. 92-95 (cf. T. 55, p. 850c-851a; MĀC p. 587b13f.; EĀC p. 744a27f.) where the Buddha is in conversation with the Sakka named Mahānāma:

At one time, Mahānāma, I resided in Rājagaha on the mountain Gijjhakūṭa. At that time there were many Niganthas on the black rock on the slope of [the mountain] Isigili. Atising, standing erect, refusing to sit down, and they experienced painful, sharp, severe

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41 T. 55 (p. 850c4) has ‘standing on their knees’, EĀC (p. 744b1) ‘squatting on the heels’.
sensations [which were] due to [self-inflicted] torture. Then, Mahānāma, having arisen in the evening from my retirement, I went to the black rock on the slope of [the mountain] Isigili where those Nigaṇṭhas were; having gone there I said to those Nigaṇṭhas: ‘Why, dear Nigaṇṭhas, are you standing erect, refusing to sit down, and do you experience painful, sharp, severe sensations [which are] due to [self-inflicted] torture?’ When this was said, Mahānāma, those Nigaṇṭhas said to me: ‘Friend, Nigaṇṭha Nāthaputta, who knows all and sees all, claims complete knowledge and insight [saying:] “Always and continuously knowledge and insight are present to me, whether I walk, stand still, sleep or be awake.” He (i.e., Nigaṇṭha Nāthaputta) says: “Formerly, Nigaṇṭhas, you performed sinful activities; you must exhaust that [sinful activity] by means of this severe and difficult practice. Being here and now restrained in body, speech and mind, amounts to not performing sinful activity in the future. Thus, as a result of the annihilation of former actions by asceticism, and of the non-performing of new actions, there is no further effect in the future; as a result of no further effect in the future there is destruction of actions; as a result of the destruction of actions there is destruction of suffering; as a result of the destruction of suffering there is destruction of sensation; as a result of the destruction of sensation all suffering will be exhausted.” And this [word of Nigaṇṭha Nāthaputta] pleases us and is approved of by us, and therefore we are delighted. ... Happiness, dear Gotama, should not be reached through happiness, happiness should be reached through hardship. If happiness should be reached through happiness, dear Gotama, king Seniya Bimbisāra of Magadha would reach happiness.

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43. See note 6 to ch. I, above.
44. The Jaina text Sūyagāḍa 230 (I.3.4.6) criticizes some who say that happiness is reached through happiness (īham ege u bhūsanti sāram sālena vijjati). Śīlāṅka (p. 64) identifies these as ‘Buddhists etc.’ (śākyādayaḥ).
45. The Ekottara Āgama completely reverses the situation and makes the Buddha say that happiness can only be reached through hardship, not through happiness (EĀC p. 744b9-10, 20-21). This must be due to outside influence; see § 1.2 above.
[hereafter, because] king Seniya Bimbisāra of Magadha lives in greater happiness than the venerable Gotama.’ [The Buddha replies:]

‘With respect to this I should be asked: “Who of the [two] venerable ones lives in greater happiness, King Seniya Bimbisāra of Magadha or the venerable Gotama?” ... Therefore, dear Nigaṇṭhas, I shall ask you [a question] which you may answer as seems right to you. What do you think, dear Nigaṇṭhas, is king Seniya Bimbisāra of Magadha able to experience unalloyed happiness for seven (six ... five ... four ... three ... two ... one) nights and days [at a stretch] without moving his body and without saying a word?’ ‘No, friend.’ ‘But I, dear Nigaṇṭhas, am able to experience unalloyed happiness46 for one (two ... three ... four ... five ... six ... seven) night and day [at a stretch] without moving my body and without saying a word. What do you think, dear Nigaṇṭhas, who lives in view of this in greater happiness, king Seniya Bimbisāra of Magadha or I ?’ ‘In view of this the venerable Gotama lives in greater happiness than king Seniya Bimbisāra of Magadha’.

We observe that here again the painful practices of the Jainas are contrasted with the happiness of the Buddhists. Unfortunately the contrast is not validly illustrated, because the Buddha himself – who has already reached the goal – is said to be happy, and those who have not yet reached the goal but are practising in the right way are not mentioned.

Nevertheless, this passage contains one more piece of information about the Jainas as viewed by the Buddhists. The Jainas, we read, were “standing erect,47 refusing to sit down”. We may look upon this as an expression of their desire for ‘non-performing of new actions’ and ‘annihilation of former actions by asceticism’.48

46. EĀc p. 744b4-15 seems to miss the point and makes the Buddha boast of being able “to sit cross-legged for seven days and nights without stirring the body”; not mentioning happiness.

47. Or ‘standing on their knees’ and ‘squatting on their heels’ in the Chinese parallels.

48. These words are again ascribed to Nigaṇṭha Nāṭhaputta and his followers at AN I. 220-21; MN II.214; cf. SĀc p. 147c8f.; MĀc p. 442c2f.
The emphasis on bodily practices among the Jainas is explicitly mentioned in the Upāli Sutta/Sūtra (MN no. 56, I.371f.; MĀc no. 133, p. 628a f.). The Nigaṇṭha Dighatapassi tells the Buddha that of the three kinds of bad activities - of body, speech, and mind - bodily activities are the worst. The Buddha, on the other hand, is of the opinion that mental bad activities are the worst.

2.2. The Indriyabhāvanā Sutta of the Majhima Nikāya (III. 298f.; cf. SĀc p. 78a22f.) criticizes such ‘cultivation of the senses’ (indriya-bhāvanā) as leads to their non-functioning. Uttara explains, at the request of the Buddha, that his teacher Pārāsariya teaches such cultivation of the senses that “one sees no form with the eye, hears no sound with the ear” (MN III.298: ...cakkhunā rūpaṃ na passati, sotena saddam na suñjati). The Buddha responds that then the blind and deaf will have cultivated the senses (bhāvītindriya), because they do not see with the eye, nor hear with the ear. The Buddha then explains to Ānanda that the best cultivation of the senses (anuttara indriyabhāvanā) consists in equanimity (upekkhā) with respect to what is experienced through the senses.

2.3. The main conclusions to be drawn from the material presented in chapters I and II are as follows. Certain non-Buddhist ascetics, in particular the Jainas, performed practices which are described as ‘non-performing of new actions’ and ‘annihilation of former actions by asceticism’. The ‘non-performing of new actions’ implied apparently

It is noteworthy that almost the same words are placed in the mouth of the Buddha at AN I.221, II.197-98 (cf. MĀc p. 434b23; SĀc p.147c27): so navaṁ ca kammaṁ na karoti, puraṇaṁ kammaṁ phussa phussa vyantikaroti; the effects of activities are now said to wear out with death (AN II. 198-99; MĀc p. 434c5f.). At Ud 21, similarly, we are confronted with a monk “in a cross-legged position, with body erect, mindful and conscious, and bearing without a murmur, acute, piercing and terrible pains, the result of deeds done in the past” (pallankam abhujitvā ujam käyaṁ puruddhaya puraṇakammavipakajāna dukkham tippana kharana katukam vedanāṁ adhihāsento sato sampajāno avihānām; tr. Strong, 1902: 27). At AN V.292, 294, 297, 298 (cf. MĀc p. 437b26f.) the Buddha is made to declare “that of intentional deeds done and accumulated there can be no wiping out without experiencing the result thereof, and that too whenever arising, either in this same visible state or in some other state hereafter” (nāham bhikkhave sañcetanikānaṁ kammānaṁ katānaṁ upacitānaṁ appatisamvidvā vyantibhāvanā vadāmi, tāṁ ca kho dītthe va dhamme upapajjam vā apare vā pariyaśe; tr. Woodward, 1936: 189, 191). In all these cases we can be sure of outside influence on Buddhism. See ch. VII, below.
such feats of motionlessness as standing erect without ever sitting or lying down. The accompanying feelings of displeasure are probably what is meant by ‘annihilation of former actions by asceticism’.

These practices on the part of the Jainas and other non-Buddhist religious ascetics were, in the view of the Buddhists, accompanied by others, of equally negative intent. One of these is the abstention from all food, until its inevitable result, death. Another one is described as ‘meditation without breath’. The meditation-part of this practice consisted in a complete restraint of all mental processes. Along with this went an attempt to stop breathing.

One more practice was described and assigned to non-Buddhists. Here the attempt is made to halt the functioning of the senses in such a way that “one sees no form with the eye, hears no sound with the ear”.

The common denominator in all these practices is easily discerned. All of them aim at non-activity of a part, or of the whole, of the aspirant. Given the fact that many of the religious movements in the time of the Buddha and later strove to discard the evil consequences of activity (karman), this goal should not surprise us.

It is perhaps more surprising that the early Buddhists are against all these practices. In some cases they contrast the non-Buddhist practices aiming at non-activity with what are, in their opinion, the practices to be performed in their stead. Rather than fasting, restraining the mind and stopping the breath, one should perform the Four Dhyānas. And rather than aiming at the non-functioning of the senses, one should remain equanimous in the face of the experiences they offer.
Part II: The main stream.

III. Early Jaina meditation.

3.1. Probably the earliest surviving detailed description of the road leading to liberation in the Jaina scriptures is Āyāraṃga (Āyār.)

1.8(7).7.2-8 / 228-53:

jassa pañ ṇ bhikkhussa evam bhavati ’se gilāmi ca khalu ahām iñāmni samae īnam sarīram ānuṇyvenaṃ parivahitaśe’ se ānuṇyvenaṃ āhāram samvakṣetā kaśā ya patanuś kiccā samāhīyacce phalagāvayaṭṭhi utthāya bhikkhū abhinivvudacce ... tānām jācitā, tānām jācitā se tām āyāc egaṃtam avakkamejā, egaṃtam avakkametti ... tānām samtharejā, [tānām samtharejā] etthā vi samae kāyaṃ ca jogaṃ ca iriyāṃ ca paccakkhaṇṇā / ... /228//
anuṇyvena vimohārim jāim dhīraṃ samāsaja /
vasaṃpito matimaṃ savvaṃ paccā apelisam /229//
duvihām pi viittā (so Schubring; Jambuvijaya reads vidittā) pañ bhuddā dharmamasa pāraṃ /
anuṇyve samkhā ṇ ārambhā ya tiuttati /230//
kaśā ya payanuś kicca appāhāro titikkhae /
aḥa bhikkhū gilācējā ahaṭrasseva amtiyāṃ /231//
jiyāyam nābhikamkhejā marañṇāṃ no vi patṭhae /
duhato vi na sajgējā jyivē marane tahā /232//
majjhātho niyirapēthi samāhīm anupālae /
antō bahūṃ viyosaṭa ajjhathāṁ suddham esae //233//
jan kumcvakkamam jāne ākkhemassa appapo /
tasseva aṃtarraddhā ḍhippaṃ sikhīyejā pāndēte //234//
ūme aḍuvaṃ ranme thamdiḷam padidejī ḍa "appāpanām tu vināya tānaṃ samthare muṇi //235//
appāpanā tu viṁnaya tānaṃ samthare muṇi //235//
anāḥaṭa tuvaṭṭhejā puttho tatthā hīyāṣe /
ṇāṭīvelaṃ uvaṭare maṇussehīṃ vi puṭṭhaṃ //236//
saṃsappagā ya je pāṇa je ya udha-m-ahēcarā /
bhumjamte maṃsasomyaṃ na che na pamajjāe //237//
pāṇa dehaṃ viṃsamūnti thānuṭo na vi ubbhame /
āsavētha vivitthēthi tiṃpamano ’dhiyāṣae //238//
gaṃthēthi vivitthēthi ayukālaṃ pāκae /
paṭṭighatātaragām cetāṃ daviyaṃsā viyāntato //239//
avyam se avare dhamme nāyaputtena sāhite /
āyavajjam piḍiyaṃ vijahejā tiḍha tiḍha //240//
hariesu na nivajjējā thamḍilam muṇinā sae /
viyosaṭa anāḥaṭa puṭṭhō tattrā ’dhiyāṣae //241//
imdhēm uḷiṃ samyām sāhare muṇi /
ṭhāvī se agarahi acalē je saṅhēre //242//
abhiṉkame paḍikkame samkucaḥ pasārae /
kāyāḥaṇaṇaṭṭhāṇaḥ etthām vā vi acetane //243//
parikkame parikilante aduvaṭṭhe abhyate /
ṭhāṇe paṭikilante niśeṣeja ya antaso //244//
āśīṃ ṇeḷiṣam maraṇaṃ imdīyaṇi samīrate /
When a monk thinks: ‘I am indeed tired of carrying around this body in these circumstances’, he should gradually reduce his food; having gradually reduced his food and diminished his passions, his body being prepared, standing like a plank, his body pacified, ... he should ask for grass; having asked for grass and received it, he should go away to a lonely place; having gone away to a lonely place ... he should spread the grass; and having spread the grass, at that occasion, he should reject body, activity, and movement ... (228).

The firm ones, having reached the [ways of] liberation, powerful and wise, knowing all that is excellent, (229)

Having conquered the twofold (birth and death?), the awakened ones have gone to the other shore of the doctrine. And one rids oneself of activity when he has thought [about this] in due order. (230)

50 The meaning of the passage is not always clear. The translation often follows Schubring, 1926: 111-15, and also owes much to the advice of Dr. H. Tielen. The suggestions of N. Balbir (Bulletin d'études indiennes 4, 1986, p.23*) have been gratefully incorporated.

51 The remainder of this passage consists of verses which have been added to explain “body, activity and movement”. See Schubring, 1926: 113 n.3.

52 Schubring takes vasumanto maimanto to be nom. sing., but there is nothing against it being nom. plural (Pischel, 1900: § 396, pp. 324-25). On vasum(t) < Skt. *vasamat, see Norman, 1976:49.
(1) Having diminished his passions he bears with little food. In case the monk gets ill in the presence of food, (231) He should not long for life, nor strive after death; he should not be attached to either, life or death. (232) Impartial, intent on the destruction of activity (niṣjarā) he should preserve his concentration. Renouncing internally as well as externally he strives after a pure heart. (233) Whatever means he may know to secure his life [for another while, let the wise one quickly avail of that for an intervening period.53 (234) Having looked for a place in a village or in the wilderness,54 and knowing it to be with little life, the monk should spread out the grass. (235) He should lie without food; when affected [by discomfort] in that [position] he should bear it. He should not go beyond the boundary [which he has set himself], even when he has been affected55 by things human. (236) He should not hurt nor rub away living creatures which creep on the ground, or fly high or low, and eat his flesh and blood. (237) Creatures injure his body, yet he should not walk from his place. Being pained by all kinds of outside influences, he should bear [it all], (238) going to the other shore of his span of life, [free] from all kinds of knots. This is well-accepted by the self-controlled and understanding person. (239)

(2) The following is another practice taught by the son of Nāyā (= Mahāvīra). One should abandon movement in the threefold three ways, except for [keeping] himself [alive]. (240)

53 Śilāṅka (p. 194) and Schubring (1926: 114 n. 1) point out that this extension of life is meant to make the monk ready for the death he has chosen.
54 On the opposition between ‘village’ (grāma) and ‘wilderness’ (arāṇya) in Vedic literature, see Sprockhoff, 1981: 32-43.
55 puṭṭhavām; cf. Pischel, 1900: § 396.
He should not sit down on green plants, but lie on the bare ground after inspecting it; renouncing, taking no food, he should bear [discomfort] when affected [by it] in that [position]. (241)
While feeling aversion to his senses, the monk may take [as much food] as is appropriate.  
Nevertheless, he is blameless who is motionless and concentrated. (242)
He may step forward and backward, contract and stretch [his limbs], in order to keep body [and soul] together; or, alternatively, he [may become] unconscious in that same position. (243)
He may walk around when tired, or [remain] standing as before. When tired of standing he may finally sit down. (244)
While sitting he directs his senses to the excellent death [which he is going to die]. In case he stumbles upon a termite hill [for support], he should search for something different. (245)
He does not lean on something from which something avoidable could originate. He should pull himself up from there and bear all that affects him. (246)

(3) This one is [even] more intent (āyatatāre) [on reaching the goal] who keeps to the following. While controlling all his limbs, let him not move away from his place. (247)
This is the best practice, better than the preceding. Having cleansed [the place] for a short time, the Brahmin should remain there standing. (248)
Having reached a place free from living beings, he should place himself there. He should renounce his body; thinking ‘there are no afflictions in my body, afflictions and troubles [last] as long as life’, he should bear them, being restrained, realizing that they lead to the destruction of the body. (249-50)
He should not be attached to desires for transitory things, even when [they become] more numerous. He should not nourish wishes and greed, since he is looking for the unchanging character. (251)

56 samiyaṃ = samyak. See Schubring, 1910:105.
[A god] may offer him eternal things, but he should not trust this divine trick. Brahmin, recognize this, shaking off all that is inferior. (252)

Not stupefied by all things he reaches the other shore of his span of life. Knowing that endurance is highest, each of the [three ways] of liberation is good. (253).

Here we find a description of a voluntary starvation to death, accompanied by an as complete as possible restraint with regard to all activity and movement. It is the culmination of a life of training and preparation.58

The emphasis on restraint of activity and movement should not surprise us. We read repeatedly in the Āyār. that suffering is the result of activity (ārambha, kamma): “knowing that all this suffering is born from activity” (1.3.1.3 / 108 and 1.4.3.1/140; ārambhajan dukkham ināṁ ti niṭṭaccā); “no action is found in him who has abandoned activity, the condition [for rebirth] originates on account of activity, (1.3.1.4 / 110; akammassa vavahāro na vijjati, kammunā uvādhī jāyati).

The most obvious remedy against such a situation is to abstain from activity: “therefore he who does not act has ceased [from activity]; he who has ceased from that is called ‘homeless’” (1.1.5.1/40; taṃ je no karae esovarate, etthovaræ esa anagāre tti pavuccati); “free from activity he knows and sees, he does not long for [anything] because of his insight; he is called ‘homeless’” (1.2.2.1 / 71; esa akamme jāñati pāsati, paḍīlehae ṃavakaṃkhati, esa anagāre tti pavuccati); “But he is wise and awakened [who] has ceased from activity. ... Looking at those among the mortals in this world who are free from activity, having seen the result connected with activity, he who really knows turns away from that [activity]” (1.4. 4. 3 / 145; se hu pannānaṃante buddhe ārambhovaræ ... 

57 Prof. Tatia draws my attention to Yogasūtra 3.51 and the Bhāṣya thereon, where the gods are made to say to the yogin, among other things: “Have entrance to this high-place which is unfading and ageless and deathless and dear to the gods.” (pratipadyatām idam aksayam ajaram amarasthunāṃ devānam priyām; tr. Woods, 1914: 286.)

58 In these respects the above description contrasts with the later canonical descriptions of voluntary death contained in the Pāṇḍāyas. This has been pointed out by Caillat (1977).
All this gives us a clear and intelligible picture of the way to liberation in early Jainism. Activity being the source of all unhappiness,\(^{60}\) the attempt is made to put a stop to activity.\(^{61}\) This is done in a most radical way. The monk abstains from food and prepares for death in a position which is as motionless as possible.

The passage translated above does not say a word about meditation (\(jḥāṇa\) / Skt. \(dhyāṇa\)). This does not mean that nothing is said about the mental attitude of the monk. The monk is supposed to have diminished his passions, he should not long for life or death, must preserve his concentration and strive after a pure heart, etc. It is easy to guess that in the mental realm as in the bodily, cessation of activity is sought, but no detailed information is given in the \(Āyāraṃga\).

3.2. For such information we turn to a slightly younger text, the \(Uttarajjhayaṇa\), chapter 29. This chapter deals with the effects of a number of practices. Some of these are comparable with what we learned in the preceding section, others throw additional light on it.

Comparable with our earlier findings are the following statements:

“\(\text{What does the soul produce by renouncing activity? By renouncing activity it produces a state without activity. By being without activity the soul does not bind new \(karman\) and destroys the \(karman\) that was bound before}\)”. (29.37 / 1139; \(jogapaccakkhāṇēṇam ... jīve kaṃ jaṇayai? jogapaccakkhāṇēṇam ajogattam jaṇayai / ajojī ṇaṃ jīve navaṃ karman na bandhai, puvvabaddhaṃ nijjare\) “By renouncing food it stops the many hundreds of existences (which it would otherwise be doomed to live)” (29.40 / 1142; \(bhattapaccakkhāṇēṇam aṇegāṁ bhavasayāṁ nirumbhai\). “By the possession of right conduct [the soul] produces the

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\(^{59}\) This v.l. \(datṭhūṇa\) seems to make more sense than \(datṭhum\), which Schubring (1926: 89 n. 4) takes as “grammatisch ungenau für \(pāsai\) od. dergl.”

\(^{60}\) Injury to living beings seems to be the intermediate link between activity and the resulting unhappiness. This explains the always repeated emphasis in the Jaina scriptures on abstention from injury.

\(^{61}\) This is perhaps most concisely expressed at Sūy. 1.15.7 / 613: “For him who does not act there is no new \(karman\)” (\(akuvvato ṇavam natthi kammam\). Old \(karman\), be it noted, is cut off by asceticism (Uttar. 29.27 / 1129) as well as by non-activity (Uttar. 29.37 / 1129; see below).
state [of motionlessness] of the king of mountains. Having reached the state [of motionlessness] of the king of mountains, the homeless [monk] destroys the four parts of karman which [even] a kevalin possesses. After that [the soul] becomes perfected, awakened, freed, completely emancipated, and puts an end to all suffering” (29.61/1163; caritta-
sampannayāe  nam selesībhavaṃ janayaī / selesīm padivanne anāgāre
cattāri kevalikammamse khavei / tao pacchā sījhaī bujhaī muccai
parinivvai savvadukkhāṇam antaṃ karei /.) These passages confirm our
idea that liberation is effected by bringing all activity to a standstill.

The culmination of this process is described in Uttar. 29.72 / 1174:
Then having preserved his life [long enough], the remainder of
life being less than the time of a muhūrta, he stops [all] activities
and enters pure meditation (sukkajjhāna) in which only subtle
activity remains and from which one does not fall back; he first
stops the activity of his mind, then of his speech and body, then
he puts a stop to breathing out and breathing in. During the time
needed to pronounce hardly five short syllables the homeless
[monk], being in pure meditation in which [all] activity has been
cut off and from which there is no return, simultaneously destroys
the four parts of karman [which remain]: pertaining to experience,
span of life, name and lineage.

Here we meet with the term ‘pure meditation’ (sukkajjhāna / Skt.
śukladhyāna). It is clear from the text that in this stage of pure meditation
little or no activity remains. Initially only subtle activity remains, later all
activity is cut off. The text adds, almost superfluously, that the monk
stops the activities of his mind, speech and body, and even stops
breathing. All this is exactly what we had expected on the basis of the
supposition that early Jainism strives to obtain complete inactivity. This
inactivity includes, we now know for certain, cessation of the mental
processes. Let us however note that meditation, i.e. the attempt to stop

62 ahāyaṃ pālattā antomuhuttdhāvasesāue joganiroham karemāne suhumakiriyaṃ
appadivāi sukkaṃjhamañ jhāyamāne tappadhamayāe maṇajogam nirumbhāi,
vajjogam nirumbhāi, kāyajogam nirumbhāi, anāpānaniroham karei,
iśpancahrassakkaruccānaddhāe ya nam anāgare samucchinakiriyaṃ aniyattāṃ
sukkaṃjhamañ jhāyamāne veyañjjiṣaṃ ahāyaṃ nāmaṃ goyaṃ ca ee cattāri
kammamśe jugavaṃ khavei.
the mental processes, constitutes here no more than one relatively minor aspect of the road to liberation.

3.3. A more detailed description of ‘pure meditation’ is found in the no doubt later Thānānga Sutta (Thañ,) which, like the Aṅguttara Nikāya of the Pāli canon, classifies and orders subject matters on the basis of the number of their subdivisions. At Thañ. 4.1.69-72 / 247 we read:63

Pure meditation is of four kinds and has four manifestations: 1. in which there is consideration of multiplicity and changes of object; 2. in which there is consideration of oneness and no change of object; 3. in which activity has become subtle and from which there is no return; 4. in which [all] activity has been cut off and from which one does not fall back. These are the four characteristics of pure meditation: absence of agitation, absence of delusion, discriminating insight, renunciation. These are the four supports of pure meditation: forbearance, freedom, softness, straightness. These are the four reflections of pure meditation: reflection on infinity, reflection on change, reflection on what is inauspicious, reflection on sin.

The third and fourth kind of pure meditation are here described as in the passage from the Uttarajjhayaṇa (29.72 / 1174) studied above. The only difference is that the words “from which one does not fall back” (appadīvātī/-vā) and “from which there is no return” (aṇiyattī) have changed place. There is therefore no reason to doubt that the Thānāṅga Sutta follows in this point an older tradition.

In order to find out whether the other kinds of pure meditation also existed in early Jainism, we shall compare the above description with some passages from Āyār. I, certainly one of the oldest texts of the Jaina canon. The few occurrences of ‘meditation’ (jhāṇa), ‘meditate’ (jhāti)
etc. in Æyår. I are all of them found in the ninth (in some editions eighth) chapter which describes the vicissitudes of Mahåvïra and may be a later addition. Of this Great Hero it is said that “he meditates with care and concentration, exerting himself day and night” (1.9.2.4 / 280; råîndivaṇ pi jayamåe appamatte samåhite jhåtî). Meditation is here said to be possible for long stretches of time, not, e.g., merely for a muhûrta as maintained by the later tradition.

Æyår. 1.9.4.14 / 320 reads: “Further, the Great Hero meditates on what is above, below, beside, while remaining in his position, motionless, observing his concentration, without desires.” This indicates that meditation can have an object in the outside world. This fits the second kind of pure meditation described in the Uttarajjhayana. In this form of meditation there is “consideration of oneness and no change of object”. A single object, we may assume, is made the focus of attention and this causes the mind to come to a standstill. The first kind of pure meditation must then be an introductory stage to the second kind.

We see that the four kinds of pure meditation can be looked upon as stages on the road to complete motionlessness and physical death. At the first stage the mind still moves from one object to another. At the second stage it stops doing so and comes to a standstill. At the third and fourth stages motionlessness of the body comes about in addition to motionlessness of the mind. When complete motionlessness of body and mind has been reached, physical death takes place.

It is characteristic for the emphasis on the body in early Jainism that even in the above description of pure meditation two of the four kinds of pure meditation are described in physical rather than mental terms. The third and fourth kind of pure meditation are characterized by little or no activity of the body, in addition to that of the mind. Only this interpretation, so it seems, makes satisfactory sense, and agrees with the earlier passages which we discussed.

3.4. The description of pure meditation in the Thånapanga Sutta does not stand alone. Pure meditation is presented as one (the last) of four types of

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64 avi jhåti se mahåvïre åsanatthe akukkue jhånaṇ / uddhaṇ adhe ya tiryåṇ ca pehamåe samåhin apadiṇåṇe /
dhyāna, viz. ārta (AMg. āṭṭa; afflicted), raudra (rodda; wrathful), dharmya (dhamma; pious), and sukla (sukka; pure). The first three are described as follows (Thān. 4.1.61-68 / 247): 65

Afflicted dhyāna is of four kinds: 1. [one] is joined with what is not liked and also accompanied by the thought of separation therefrom; 2. [one] is joined with what is liked and also accompanied by the thought of non-separation therefrom; 3. [one] is joined with disease and also accompanied by the thought of separation therefrom; 4. [one] is joined with the experience of agreeable pleasures and also accompanied by the thought of non-separation therefrom. These are the four characteristics of afflicted dhyāna: crying, grief, weeping, lamentation.

Wrathful dhyāna is of four kinds: connected with injury, connected with robbery, connected with theft, connected with the protection [of worldly goods]. These are the four characteristics of wrathful dhyāna: [one] has abundant hatred, much hatred, hatred due to ignorance, hatred until the end which is death.

Pious dhyāna is of four kinds and has four manifestations: examination of the commandments [of the Jinas], examination of sins, examination of the results [of actions], examination of the forms [of the constituents of the world]. These are the four characteristics of pious dhyāna: liking for the commandments [of the Jinas], liking for the natural state, liking for the scriptures, liking for pervasive study [of the scriptures]. These are the four

65 aṭṭe jhāne caṭṭvihe pannatte, taṃjahā amaṇṇasasampagosampaṭṭe tassa vippaigasatisamanṇaṭṭe yāvi bhavati (1), maṇṇasasampagosampaṭṭe tassa avippaigasatisamanṇaṭṭe yāvi bhavati (2), āṭṭaṣasamposasamanṇaṭṭe tassa vippaigasatisamanṇaṭṭe yāvi bhavati (3), parjusitaṭṭhaṁbhagosampagosampaṭṭe tassa vippaigasatisamanṇaṭṭe yāvi bhavati (4) / attassa nam. jhānassa caṭṭṭe lakkhāṇa pannattā, taṃjahā kaṇḍanatā sotanatā tiṇpanatā paridevānatā / roddhe jhāne caṭṭvihe pannatte, taṃjahā hiṁsāṇubamdhi mosāṇubamdhi teṇāṇubamdhi sārakkhānāṇubamdhi / roddassa nam. jhānassa caṭṭṭe lakkhāṇa pannattā, taṃjahā - osaṇṇadose bahudose annānadose āmaranatadose /dhamme jhāne caṭṭvihe caṭṭvappoṭṭhe pannatte, taṃjahā añāvijate avāvijate vivāvijate samṭhānovijate / dhammassa nam. jhānassa caṭṭṭe lakkhāṇa pannattā, taṃjahā - āṇārui nissaggarui suttarui ogādharuui / dhammassa nam. jhānassa caṭṭṭe lakkhāṇa pannattā, taṃjahā - vāyanā padippucchaṇā parīṭṭhanā anuppheṣhe / dhammassa nam. jhānassa caṭṭṭe lakkhāṇa pannattā, taṃjahā - egānuppheṣhe aṇicceanuppheṣhe asaṇṇanuppheṣhe saṃsārapuppeṣhe /

66 Or: “liking for knowledge” (Alsdorf, 1966: 203-04 ((51)-(52))).
supports of pious dhyāna: recitation, questioning, repetition, reflection. These are the four reflections of pious dhyāna: reflection on being alone, reflection on transitoriness, reflection on there being no refuge, reflection on birth and rebirth of living beings.

It is clear that in this passage dhyāna refers to a pondering over, a thinking about certain things, and not to the process of stopping the mind which we have designated ‘meditation’. Yet the term dhyāna covers both ‘pondering’ and ‘meditation’. This is the reason that a classificatory text like the Thanamga can distinguish four types of dhyāna: afflicted, wrathful, pious, and pure. Only the last type - śukla dhyāna - is of interest for our study of early Jaina meditation.

However, these four types of dhyāna came to be looked upon as four types of meditation, and this led to peculiar results. The Viyāhapaṇṇatti Sutta (25.7.217 / 580) and the Uvāvaīya Sutta (§ 30) distinguish six kinds of inner asceticism. The fifth is meditation (dhyāna). What is this meditation? That is explained at Viy. 25.7.237-49 / 600-12 and Uvav. § 30 V', both of which are virtually identical with Ṣhan. 4.1.61-72 / 247 studied above; both therefore describe all four types of dhyāna. This is a plain absurdity. Afflicted and wrathful dhyāna at any rate cannot possibly be considered forms of asceticism.

Interestingly, the confusion about dhyāna also found expression in an altogether different manner. The Ávassaya Sutta contains a sūtra (4.23.4) where the confessing monk is made to repent for “the four dhyānas: afflicted dhyāna, wrathful dhyāna, pious dhyāna, pure dhyāna” (paṭikkamāmi caīhiṃ jhānehiṃ - attēnaṃ jhāneṇam, ruđdenaṃ jhāneṇam, dhammeṇaṃ jhāneṇam, sukkeṇaṃ jhāneṇam). The idea of four types of dhyāna may have been derived from a verse in the Uttarajjhaya (30.55/1211): attaroddam i vajectā jhāejā susamāhie / dhamma-sukkām jhāeṇam jhāeṇam tan tū buhā vāe // It is not clear from this sloka whether there is a distinction between dhamma jhāna and sukka jhāna. Perhaps pure meditation (sukka jhāna) is ‘in accordance with the doctrine’ (dhamma). It is certainly clear that afflicted and wrathful dhyāna are to be avoided.

The ekottarikā-pattern of Áv. 4 (Bruhn, 1981:23) excludes the possibility that this sūtra originally enumerated fewer (or more) than four dhyānas.

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67 The ekottarikā-pattern of Áv. 4 (Bruhn, 1981:23) excludes the possibility that this sūtra originally enumerated fewer (or more) than four dhyānas.
All this makes sufficiently clear that the four types of dhyāna distinguished in the later texts of the Jaina canon are of no value for the study of meditation in early Jainism.

3.5. Some more information about early Jaina meditation is gained from Uttarajjhayaṇa 29:

“By making the mind one-pointed [the soul] brings about the destruction of thought” (29.25 / 1127; egaggamaṇasannivesayāṇaṃ citanironaṁ karei). “By renouncing existence [the soul] brings about [the state] from which there is no return. And the homeless [monk] who has reached [the state] from which there is no return destroys the four parts of karman which [even] a kevalin possesses, viz. pertaining to experience, span of life, name, and lineage. After that [the soul] becomes perfected, awakened, freed, completely emancipated, and puts an end to all suffering.” (29.41 / 1143; sabbhāvapaccakkhaṇaṁ aniyattīṁ jaṇayaṁ / aniyattīpadesvanne ya anagāre cattāri kevalikammamse khovei, tam jahā - veyanijjam āyuṁ nāmaṁ goyaṁ / tao pacchā sījhaṁ bujjhai muccaṁ parinivvai savvadukkaṇaṁ anṭaṁ karei/.)

“By watchfulness of the mind the soul brings about one-pointed [thought]. When thought is one-pointed and the mind is watched the soul becomes devoted to control.” (29.53 / 1155; maṅguttayāṇaṁ jīve egaggam jaṇayaṁ / egaggacitte jaṇaṁ jīve maṅgutte saṁjamārāhae bhavo/.)

“By holding the mind together [the soul] brings about one-pointedness. Having brought about one-pointedness it brings about modifications of knowledge. Having brought about modifications of knowledge it purifies right belief and destroys wrong belief. ... By holding speech together [the soul] purifies the modifications of belief which are mixed with speech. Having purified the modifications of belief which are mixed with speech [the soul] easily reaches enlightenment, and is no longer such that it reaches enlightenment with difficulty. ... By holding the body together [the soul] purifies the modifications of conduct. Having purified the modifications of conduct it purifies the conduct which is in accord with the word [of the tīrthākaras]. Having purified the conduct which is

$^{69}$saṁāhāraṇayā = Skt. saṁādhāraṇatā?
in accord with the word [of the tirthankaras, the soul] destroys the four parts of karman which [even] a kevalin possesses. After that [the soul] becomes perfected, awakened, freed, completely emancipated, and puts an end to all suffering.” (29.56-58 / 1158-60; maññasamāhāraṇayāc naṁ egaggaṁ jaṇayaī / egaggaṁ jaṇaīta nāṇapajjave jaṇayaī / nāṇapajjave jaṇaīta sam mattaṁ visohei, mīcchattam ca nijjareī / ... vaśasamāhāraṇayāc naṁ vāśāhāraṇadaṃsaṇapajjave visohei / vāśāhāraṇadaṃsaṇapajjave viso hītā sulabhbo hiyattāṃ nivvattei, dullabhbo hiyattāṃ ni jjareī / ... kāyasamāhāraṇayāc naṁ carittapajjave visohei / carittapajjave viso hītā ahakkhāya carittāṃ visohei / ahakkhāya carittāṃ viso hetta cattāri kevalikammamse khaveī / tao pacchā sijjhaī bujjhaī muccai parinivvāi sav vadukkhānam amtaṁ kareī /.) “By subjugating the organ of hearing [the soul] brings about the subjugation of its likes and dislikes for pleasant and unpleasant sounds, it does not bind the karman which results therefrom, and destroys [the karman] which has been bound before. ... By subjugating the organ of sight [the soul] brings about the subjugation of its likes and dislikes for pleasant and unpleasant colours, it does not bind the karman which results therefrom, and destroys [the karman] which has been bound before. With regard to the organ of smelling it is the same, as also with the organ of taste, and the organ of touch.” (29.62-66 / 1164-68; soimdiyaṇiggahaṇaṃ maṇunna maṇunnesu sadesa rāgadosaniggahaḥ jaṇayaī, tappaccaiyamo kamma na bandhaī, puvvabaddhaṃ ca ni jjareī / ... cakkhiṃdiyaṇiggahaṇaṃ maṇunna maṇunnesu rūvesa rāgadosaniggahaḥ jaṇayaī, tappaccaiyamo kamma na bandhaī, puvvabaddhaṃ ca ni jjareī / ghāniṃdie evaṃ ceva / jibbiṃdie vi / phāsīṃdie vi /.)

3.6. We can summarize the results of the above as follows. Early Jaina meditation was only one aspect of a more general attempt to stop all activities of body and mind, including even breathing. In order to bring about this mental state a number of means were employed. Reflections on infinity, on change, on what is inauspicious, and on sin were probably preparatory. More immediate precursors of meditation proper, we may assume, were certain mental states, viz. forbearance, freedom, softness, and straightness. Other supportive practices were onepointedness of the mind, watchfulness of the mind, holding the mind together, and
subjugation of the sense-organs. Meditation itself was characterized by absence of agitation, absence of delusion, discriminating insight, and renunciation.

Meditation was said to have four kinds of manifestations, which must be understood to be four steps on the ladder to perfection. They are described thus: 1. in which there is consideration of multiplicity and change of object; 2. in which there is consideration of oneness and no change of object; 3. in which activity has become subtle and from which there is no return; 4. in which [all] activity has been cut off and from which one does not fall back.

The fourfold division of meditation into afflicted, wrathful, pious and pure, is not reliable. Undoubtedly this division was made by early systematisers and must initially have been meant to be a division of dhyanā, which word means both ‘thought’ and ‘meditation’. Later theoreticians mistakenly took it to be a division of meditation only, and this did not fail to influence the later history of Jaina meditation.
IV. Meditation as part of asceticism in early Hindu scriptures.

4.1. The main idea of the road to liberation in early Jainism is also expressed in *Bhagavad Gītā* (BhG) 18.3 : “Some wise men say that [all] activity is to be abandoned as evil.”

More details are given at *Mahābhārata* (MBh) 1.86.14-16:

But the *muni* who behaves like a *muni* by abandoning desires, renouncing activity, and conquering his senses, he reaches perfection in the world (14). Who should not honour him who has clean teeth, whose nails are cut, who is always bathed and adorned, is not bound and performs [only] pure actions? (15) Emaciated by austerities, patient, his flesh, bones and blood wasted away, when the *muni* becomes free from the pairs (of opposites, such as heat and cold), then he really behaves like a *muni*. Then, having conquered this world, he gains the other world (16).

Briefly stated: “Such a *muni* reaches perfection which is the most important [thing there is], by living in the forest, his food and movements being restrained.”

Motionlessness of body and mind is emphasized at MBh 12.294.13-18:

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70 tvājyam doṣavad ity eke karma prāhur maṇiśinah.
71. yas tu kāmān parityajyā tyaktakarmā jītendriyāḥ / āśiṣṭha muniṁ maunam sa loke siddhim āpnyātā /\14// dhautadantam krītanakhāṁ sādā sāntam ālamkṛtam / asitam sitakarmasthāṁ kāś tam nārcitum arhati /\15// tapasā kariṣṭaha kṣaṁah kṣīṣanāṃ śāṣṭhiṣonītaḥ / yadā bhavati nirdvandvo muniṁ maunam maṇiśitaḥ / atha lokam imam jīvā lokām vijayate param /\16//
72. sitakarmasthāḥ. This expression is not fully clear. Nilakanṭha’s explanation (his text reads sitakarmāṇam) does not help much: sitakarmāṇam himsāyuṭam dharmam api tyajantam (p. 170, on 1.91.15).
73. MBh 1.86.4: tādṛś muniṁ siddhim upaṭī mukhyāṁ vasann aranye niyataḥārā-cēṣṭāḥ //
74. vimuktaḥ sarvasaṃgebhīyo laṅghvāhāro jītendriyāḥ / pūrvatāre pare caiva dhārayeta mano” tmanī //13//
Freed from all attachments, having taken little food, having conquered the senses, he should fix his mind on himself in the first and last part of the night (13). Having made his senses firm with his mind, oh lord of Mithilā, and having made his mind (manas) firm with his intellect (buddhi), he is motionless like a stone (14). He should be without trembling like a pillar, and motionless like a mountain; the wise who know to follow the precepts then call him ‘one engaged in Yoga’ (yukta) (15). He neither hears nor smells nor tastes nor sees; he notices no touch, nor does [his] mind form conceptions (16). Like a piece of wood, he does not desire anything, nor does he notice [anything]. When he has reached the Original Nature (prakṛti), then sages call him ‘engaged in Yoga’ (yukta) (17). And he looks like a lamp shining in a place without wind; not flickering and motionless it will not move upward or sideward (18).

The Katha Upaniṣad (KU) is probably the earliest Upaniṣad which gives some detailed information about meditation. The concluding verse (6.18) declares that ‘the whole method of Yoga’ (yogavidhīṃ kṛtsnam) has been presented. The most informative verses are KU 6.10-11:75

When the five organs of knowledge stand still together with the mind (manas), and the intellect (buddhi) does not stir, that they call the highest course (10). This they consider as Yoga, a firm fixing of the senses. Then one becomes careful, for Yoga is the origin and the end (11).

75. yadā pāñcāvatiṣṭhante jānāṇī manasā saha / buddhiḥ ca na viçeṣṭati tām āhuḥ paramāṃ gatim //10//
tām yogam iti manyante sthirām indriyadhāranām / apramattas tadā bhavati yogo hi prabhavāpyayau //11//
KU 3.6 has the same tenor:\(^{76}\)

But he who has discernment, with an ever controlled (\textit{yukta}) mind (\textit{manas}), his senses are subdued, like the good horses of a charioteer.

The following description in the \textit{Śvetāśvatara Upaniṣad} (2.8-9) gives also the bodily practices their due:\(^{77}\)

Holding the body straight, three parts of it stretched up, causing the senses to enter into the heart by means of the mind, the wise one should cross over all the frightening streams with the help of the raft which is Brahman (8). Having here suppressed his breaths and having brought his movements under control (\textit{yuktaceṣṭā}), when his breath has been diminished, he should take breath through his nose. Being careful, the wise one should restrain (\textit{dḥārayeta}) his mind like that chariot yoked with vicious horses (9).

The \textit{Maitrāyaṇīya Upaniṣad} (MU 6.18)\(^{78}\) speaks of a six-membered Yoga, consisting of restraint of the breath, withdrawal of the senses, meditation, fixing the mind, insight (\textit{tarka}),\(^{79}\) concentration. All these terms, with the single exception of \textit{tarka}, are known from the other early passages on meditation which we have studied. The explanation of ‘fixing the mind’ (\textit{dḥāraya}) is interesting (MU 6.20):\(^{80}\)

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\(^{76}\) yas tu vijñānavān bhavati yuktena manasā sadā /
    tasyendriyāni vaśyāni sadasvā Īva sārtheḥ //

\(^{77}\) triṛ unnatāṁ sthāpya samam śarīram ṛṇḍriyāni manasā sammusāya /
    brahmardupena prataretā vidvān srotāṁsi sarvāni bhāvāvaḥāni //8//
    prāṇān prapīdyeha sa yuktaceṣṭā kṣine prāṇe nāśikayocchvasāta /
    duṣṭāśvayuktam īva vāham īnaṃ vidvān mano dḥārayetāpramattāḥ //9//

\(^{78}\) prāṇāyāmah pratyāhāro dhyānam dḥāraya tarkah samudihīḥ sādāga īty ucayate yogah.

\(^{79}\) The use of \textit{tarka} here is surprising. The only meaning which seems to fit both here and at MU 6.20 (see below) is ‘insight’. A similar meaning is assigned to this term in Abhinavagupta’s \textit{Tantrāloka} (III.13-15, 34, 40); see Pandey, 1963: 535; Pensa, 1973: 11-13.

\(^{80}\) athāntyātāpya utkam - atah parāsya dḥāraya / tālurasanāgraṇipīḍānād vānmanah-
    prāṇaniddharanād brahma tarkena paśyati /. The readings atah and tālurasanāgra-
    nipīḍānād (so Limaye-Vadekar, 1958: 343) seem to make more sense than atha and
And elsewhere also it has been said: After this, the fixing of it (i.e., of the mind). As a result of pressing the tip of the tongue against the palate and suppressing speech, mind and breath, one sees Brahman through insight (tarka)\(^81\).

The tip of the tongue is here said to be pressed against the palate. The same is said at Viṣṇusūtra 97.1 and Triśikhibrāhmaṇa Upaniṣad 93 and 146. But this is exactly what the early Buddhist critic ridiculed the Jainas for in the Original Mahāsaccaka Sūtra (above, § 1.1). A point of difference is that the Viṣṇusūtra (97.1) and the Triśikhibrāhmaṇa Upaniṣad (92 and 146) add that the teeth do not touch each other, whereas the Original Mahāsaccaka Sūtra said they do. Here, however, the Mahā Upaniṣad (5.75) and the Muktikā Upaniṣad (2.42) agree with the account in the Original Mahāsaccaka Sūtra, by talking about ‘grinding the teeth’ (dantair dantān vicûñya). We see that the description of meditation in the Original Mahāsaccaka Sūtra corresponds with these texts in this respect.

Details of meditation are found in a few verses given at MU 6. 34 (Van Buitenen, 1962: 105):\(^82\)

When [someone], having made his mind (manas) completely motionless, without dissolution or distraction, goes to a state without mind, that is the highest place (7). The mind has to remain suppressed until it is destroyed in the heart. This is knowledge, this is liberation; the rest, on the other hand, is bookish proliferation (8). The bliss, purified by concentration, which arises when the spotless mind (cetas) has been made to enter into the self, cannot be described with words. It is in that state (tadā) itself experienced by the inner organ (9).

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\(^81\) See note 10 above.

\(^82\) layavikseparitaṁ manāḥ kṛtvā suṇiścalam /
    yadā yat āmanobhāvaṁ tādā tat paramāṁ padam //7||
    tāvat maṇo nirodhavāyam ṣrīṁ yāvat kṣayāṁ gataṁ /
    etaj jñānam ca mokṣāṁ ca śeṣas tu granthavistarāṁ //8||
    samādhirūdhautam analaśya cetas, niveditaṁ maniṁ yat sukhaṁ bhavet /
    na śaṅkyaṁ varpaṁ itum girā tadā, svayaṁ tad antālikaṁena grhyate //9||

\(^83\) So Van Buitenen, 1962: 133.
It is remarkable that here bliss is said to accompany meditation which is clearly of the type also met with in early Jainism. The author of the Original Mahāsaccaka Sūtra had denied experiences of bliss to Jaina meditation and reserved them for Buddhist meditation. Is the mention of bliss here due to influence from Buddhist meditation? It is possible, for influence from Buddhism in the Māitrāyanīya Upaniṣad seems likely (Horsch, 1966: 197-203; Pande, 1974: 575-76). It is however strange that not more features of Buddhist meditation are found in this Upaniṣad.

4.2. Restraint of breath has been referred to a few times in the passages discussed in § 3.1. It recurs more emphatically in certain others. BhG 4.29 speaks of those “who having stopped the movements of breathing in (prāṇa) and breathing out (apāṇa) are devoted to prāṇāyāma” (prāṇa-pānagatī ruddhī prāṇāyāmaparāyānāḥ). This suggests that the term prāṇāyāma can refer to a complete cessation of breathing. This agrees with the definition of prāṇāyāma in Yoga Śūtra (YS) 2.49 as “cutting off the movement of breathing out and breathing in” (śvāsapraśvāsayor gatī-viccchedah).

The following passage brings restraint of breath in connection with fixing the mind (MBh 12.304.8-10):84

But they say in accordance with the teaching of the sacred books that the highest Yoga-activity among [the different forms of] Yoga is of two kinds: with properties (saguṇa) and without properties (nirguṇa) (8). [These two are] fixing the mind and restraint of breath (prāṇāyāma), oh king; restraint of breath is with properties, fixing the mind85 is without properties (9). Where [a Yogin] would be seen leaving his breaths free, oh best among

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84 dviguṇaṁ yogakṛtyam tu yogānāṁ prāhur uttamam / saguṇaṁ nirguṇaṁ caiva yathāśāstranidarśanam ///8/// dhāraṇā caiva manasah prāṇāyāmaś ca pārthiva / prāṇāyāmo hi saguno nirguṇo dhāranaṁ (v.l. dhārayen) manah ///9/// yatra drśyeta muncaṁ vai prāṇaṁ maitilasattanaṁ / vādādhikyāṁ bhavaty eva tasmād dhi na samācaret ///10///

85 The reading dhāraṇam manah is hard to construe grammatically; the v.l. dhārayen manah is better, but not completely satisfactory. Perhaps however we may accept a construction action noun + accusative as permissible for epic Sanskrit, as it is for Pāli (Hinüber, 1968: 54-55).
the people of Mithilā, there is certainly an excess of air (vāta); therefore one should not act [in such a manner] (10).

The passage is obscure, but seems to consider prāṇāyāma less than and probably preparatory to fixing the mind. Verse 10 seems to indicate the need for prāṇāyāma; otherwise there would be an excess of air. This indicates that apparently prāṇāyāma remains a necessity also in the state ‘without properties’, i.e., fixing the mind. It certainly shows that here too prāṇāyāma concerns the breath, not, or not only, the senses.86

The following passage comes closer to the idea that saints stop their breathing moments before death (MBh 12.207.25):87

Having reached equilibrium of the guṇas, performing [only] such actions as concern sustaining the body, and pushing at the time of death the breaths into the artery of the heart (manovahā) with merely the mind, one is liberated.

The same may be intended at MBh 13.154.2, where in describing the death of Bhīṣma it is said:88

The breaths of that great soul, forced together, went up.

4.3. Fasting to death was practised by Yayāti (MBh 1.81.10-16):89

King Yayāti the son of Nahuṣa anointed his younger son Pūru king and then gladly departed for the forest (10). Having sent his sons

86 This is maintained by Edgerton (1924: 41 n. 46).
87. guṇāṇām sānyam āgamyam manasaiva manovahām (v.l. manovahām) /
    dehakarma (v.l. dehakarmā) nudan prāṇān antakāle vimucyate //
88. tasyordhavam agaman prāṇaḥ saṃ niruddhā mahāmanañah,
89. yayātim nahuṣo rājā pūrum putraṁ kaṇīyasam /
    rājye bhūṣicaya muditaṁ pravāraṇa vanaṁ tadā //10//
    antesu sa vinīcipyā putrān yadupurogamān /
    phalaṁnikāsāno rājā vane saṃ nyavasac ciram //11//
    saṃsītāṁ jītakrodhas tarpayam pūrdevatāḥ /
    agnīṁ ca vidhivaj jhvan vānapratthavidhiñānaṁ //12//
    atīthin puṣṭiyām āśa vanyena haviśā vibhūh /
    śilāchayātītāṁ uṣthāya śeṣo na kriabhojanāṁ //13//
    pūrṇam vārsasahasram sa evamvṛttir abhūn nrpaḥ /
    abbhākṣaḥ śaraḍas trimśad āśin niyatavaśnamānāṁ //14//
    tataḥ ca vāyubhāko ’bhūt saṃvatsaram atandritoḥ /
    paṇḍagamimadhya ca tapas tepe saṃvatsaram nrpaḥ //15//
    ekapadaṣṭitaṁ ca śiṭ saṃmāṇam anilāśanāṁ /
    pūnyakīrtiṁ tataḥ saṃgām jagāṁ[a] ...
Yadu etc. to the borders [of the kingdom], the king lived for a long
time in the forest, eating [only] fruits and roots (11). Firmly resolved,
having conquered anger, satisfying manes and gods, and duly pouring
oblations into the fires, [all] in accordance with the rules of forest-
dwellers (12), the mighty one honoured guests with oblations
obtained from the forest. Adopting the mode of life by way of
gleaning, eating remains of food (13), the king accepted this mode
of life for a full thousand years. Eating [only] water for thirty
autumns, he kept his speech and mind under restraint (14). Then he
ate [only] wind for a year, free from lassitude. And the king
performed asceticism in the midst of five fires for a year (15). And he
stood on one foot for six months, eating [only] air. Then, having a
reputation of virtue, he went to heaven, ...

Fasting: to death is prescribed, after a preparatory course of
asceticism, at Yājñavalkyasūtra II.3.50-55 :91

He should spend the time with fasts regulated by the moon, or he
should continually be engaged in painful exercises. Or, alternati-
vately, he should eat when a fortnight has passed, or when a
month, or a day, has passed (50). Being pure he should sleep on
the earth at night, the day he should spend [standing] on the tip of
his toes, or standing, sitting, or walking about, or again by
practising Yoga (51). He should perform asceticism in the midst
of five fires in summer, lying on the bare ground during the rains,
and wearing wet clothes in winter, or he should perform

90 On the meaning and implication of this term (śesūnakṛtabhojana) see Wezler, 1978,
esp. p. 87-88.

91 candrāyana r̥ṣya śaṅkaraś br̥hāmā jītam jīvitaṃ jihvāyāna śaṅkaraś br̥hāmājīvaṃ jihvāyāna
prāpyaś ca kālaṃ kṛcchraś ca vartayān sadā /
pakṣe gate vāpy aśnīyāti māse vāhāni vā gate //50/
śacīr bhūmāu svaped vārṇau divasāṃ prapaḍaśī naśa
sanavihārār vā yogābhāṣāṣena vā punaḥ //51//
grīṃsaṃ pānācānānavathe vārṇau śīvākti ca śrīvākti
ārdvāsī ca hemante śāktyā vāpi tapāś caretya //52//
yah kantu kārūr vītudaśī candanārāyaṇaṃ yaś ca limpați /
akruddhā parītānāśa ca samastāsya ca tāsya ca //53//
agmin vāpy atmasāt kṛtvā vṛksavāsī mitāsanaḥ /
vānaṇaśrāghrāsya eva yatārtham bhaikṣāṃ aścāretya //54//
grāmād abhyātvā āgrānād astaḥ bhūṇītāt vāyateśaḥ /
vāyvaśānāḥ prāgudānti gacchād vā varṣmaṇkṣayāt //55//
asceticism according to his power (52). If someone pricks him with thorns, or anoints him with sandal, he is neither angry nor satisfied with all and with that man (53). Or having placed the fires upon himself, living under a tree, taking limited food, he should go for alms in order to prolong his life, only in the houses of forest-dwellers (54). Or, taking eight mouthfuls from a village, he should eat it, his speech remaining restrained. Or, eating [only] wind he should go to the north-east, until the destruction of his body (55).

It deserves notice that the final fast is here not accompanied by motionlessness.  

Death through fasting and restraint of breath is described at Āpastambīya Dharma Śūtra 2.9.23.1-2:

Or, if he desires [to perform] more restraint, he should collect things (i.e., food) every day, morning and evening, in a vessel (1). After that he should wander, surviving on roots, fruits, leaves, or grass; in the end he should live on what happens [to come to him], then on water, [then] air, [then] ether. Each next undertaking brings greater reward (2).

4.4. It is clear that all the important features of early Jaina meditation are found in the early Hindu scriptures. Here too meditation is only one aspect of a more general process in which all bodily and mental activities are stopped. Fasting to death and stopping the breath, both of which we had come to know as characteristic accompaniments of early Jaina meditation, are also present in the Hindu scriptures. The same is true of bodily motionlessness, which is compared with the state of a stone, of a pillar, of a mountain.

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92 I understand, following Wezler in a private communication, yātra as ellipsis for dehayātṛā?

93 This and the preceding case have affiliations with ‘Vedic asceticism’; see my The Two Sources of Indian Asceticism (Bronkhorst, 1993).

94 bhūyāṃsāṃ vā niyamam icchāṃ anvaham eva pūreṇa sāyam prāṭar artham āharet //1// tato múlaḥ phalāḥ paraṇaḥ trnau iti vartayaṃś cāred antataḥ pravṛttīṇi tato 'po vāyum ākāśam ity abhiniśrayet teṣām uttaraḥ sanyogāḥ phalato viśīṣṭaḥ //2//.
As in early Jainism, meditation itself aims at the motionlessness of the mind. Here as well the sense organs are conquered. As a result the adept is said not to hear, smell, etc.

There can be no doubt that the early Jaina and Hindu scriptures describe forms of meditation which belong to the same tradition. Therefore we shall speak of main stream meditation. It cannot be denied that this kind of meditation, and more in particular its accompaniments, have been described remarkably well, although not fully, by the author of the Original Mahāsaccaka Sūtra and elsewhere in the Buddhist canon.
V. Theory and practice in the main stream.

5.1. The idea that liberation from the effects of activity is obtained by abstaining from activity may have been criticized from the earliest period. We find it in the Bhagavad Gītā 3.4-6.95

A man does not reach the state free from activity by not performing actions; and he does not attain perfection by merely abandoning [activity] (4). For no one ever remains without activity even for a moment, because everyone, being powerless, is made to perform activity by the guṇas which are born from Original Nature (prakṛti) (5). He who sits, restraining his organs of action [but] thinking with his mind of the objects of the senses, he is said to be deluded and of improper demeanour (6). But he, Arjuna, who performs discipline of action (karmayoga) with his organs of action, restraining his senses with his mind, unattached, he excels (7).

The same criticism is expressed in BhG 18.11: “For it is not possible for an embodied being to abandon completely all actions” (na hi dehabhṛtā śakyam tyaktum karmāṇy aṣeṣataḥ).

Criticism of this kind has to answer the question whether liberation can be attained in another way, and if yes, which way. The answer which is given is surprisingly simple. Liberation from the results of one’s actions is possible because in reality no actions are ever performed. They are not performed because man’s inner self, his soul, is completely different from his body and never acts.96 The Bhagavad Gītā (3.27) puts

95. na karmanāṁ anārāmbhāṁ naiṣkarmyam puruso’śnute / na ca saṁnyāsanād eva siddhiṁ samadhiḥcaturtyāt //4//
na hi kāś cit kṣanāṁ api jātiṁ tisṭhyāt akarmakṛt /
kāryate hy avaśāḥ karma sarvāḥ prakṛtījair gunaḥ //5//
karmendriyāṁi saṁyāmya ya aśte manasaḥ śmaṇān /
indriyārthāṁ vimūḍhāṁ mithyācāraḥ sa ucyate //6//
yas tv indriyāṁ manasā niyāmyārabhate ’rjuna /
karmendriyāṁ karmayogam aṣaktāḥ sa viśisyaḥ //7//

96. This idea is already known to Śūyagaḍāṁga 13-14 (1.1.1.13-14); see Bollée, 1977: 15 and 66f. In Buddhist literature the idea is primarily connected with Pūrāṇa Kassapa (Basham, 1951: 13), but sometime with others, such as Saṁjāyin
it like this:97

Actions are, all of them, undertaken by the guṇas of Original Nature (prakṛti). He who is deluded by egoism thinks ‘I am the doer’.

It is sufficient to know that in reality one never performs any actions:98

But he, oh long-armed one, who knows the truth about the category guṇa and the category action, knowing that the guṇas move about among the guṇas, he does not get attached (28). Those who are confused by the guṇas of Original Nature (prakṛti) get attached to the guṇas and their actions. He who knows all should not disturb those dull [people] who do not know all.

It is clear that in this way an altogether different road to liberation is introduced. The Bhagavad Gītā (3.3) calls it jñānayoga ‘discipline of knowledge’ and mentions it together with the ‘discipline of action’ (karmayoga) which enjoins disinterested activity:99

In this world a two-fold foundation (of religious salvation) has been expounded by Me of old: by the discipline of knowledge of the followers of Sāṅkhya, and by the discipline of action of the followers of Yoga. (tr. Edgerton, 1924: 1).

This ‘discipline of knowledge’ is, of course, the sāṁkhya100 which is so often referred to in the Mahābhārata, as has been shown by Edgerton in an important article (1924). But there are also passages in the Upaniṣads which show that the knowledge that the soul is

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97. prakṛteh kriyāmānāni guṇāḥ karmāni sarvasaḥ / ahamkāravimūḍhaṁ kartāham iti manyate //
98. BhG 3. 28-29: tattvavit tu mahābhūt ānuśākṣyāṇītyaṁ māyāvaṁ na sajjate //28// prakṛtṛ guṇasamātmā sajjante guṇakarmasu / tāṁ akṛṣnavido mandaṁ krṣnaṁ na vicālayet //29//
99. loke ’smin dvividhā niṣṭhā puraḥ proktām mayānāgha / jñānayogena sāṁkhyaṁ karmayogena yoginām //
100. Different from the Sāṅkhya system of philosophy.
unchangeable and unaffected by actions was thought to bring about liberation. The soul is described at Bhadāranyaka Upaniṣad (BAU) 4.4.22:\(^{101}\)

That Soul (ātman) is not this, it is not that (neti, neti). It is unseizable, for it cannot be seized. It is indestructible, for it cannot be destroyed. It is unattached, for it does not attach itself. It is unbound. It does not tremble. It is not injured. Him (i.e., that Soul) these two do not overcome - neither the thought ‘hence I did wrong’, nor the thought ‘hence I did right’. Verily, he overcomes them both. What has been done and what has not been done do not affect him. (cf. Hume, 1931: 143)

The result of knowing the soul is presented in BAU 3.8.10-11:\(^{102}\)

10. Verily, O Gārgī, if one performs sacrifices and worship and undergoes austerity in this world for many thousands of years, but without knowing that Imperishable, limited indeed is that [work] of his. Verily, O Gārgī, he who departs from this world without knowing that Imperishable is pitiable. But, O Gārgī, he who departs from this world knowing that Imperishable is a Brahmin.

11. Verily, O Gārgī, that Imperishable is the unseen Seer, the unheard Hearer, the unthought Thinker, the ununderstood Understander. Other than It there is naught that sees. Other than It there is naught that hears. Other than It there is naught that thinks. Other than It there is naught that understands. ... (tr. Hume, 1931: 119)

Since knowledge of the soul is something which is attained while being alive, the idea of liberation in this life could arise. It is described in BĀU

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\(^{101}\) sa eṣa neti nety ātmā / aṛghyo na hi gṛhyate / aṣṭīya na hi śīryate / asaṅgo na hi sajyate / asito na vyathate / na riṣyati / etam u haivaite na tarata iti / atah pāpam akaravam iti / atah kalyāṇam akaravam iti / ubhe u haivaisa ete tarati / nainam kṛīkte tapataḥ //

\(^{102}\) yo vā etad aśṣaram gṛgy aviditvā ’smīmloke juhoti yajate tapas tapyate bahūni varṣasahasrāṇy antavad evāya tad bhavati / yo vā etad aśṣaram gṛgy aviditvā ’smāllokāt prāti, sa kṛpaṇah / atha ya etad aśṣaram gṛgyo viditvā ’smāl lokāt prāti, sa brāhmaṇah //10//

tad vā etad aśṣaram gṛgyo adṛṣṭam draṣṭr aśṛṭam śrotṛ aṣṭamam mantr avijnātam vijñātām / nānyad ato ’sti draṣṭr / nānyad ato ’sti śrotṛ / nānyad ato ’sti mantr / nānyad ato ’sti vijñātām / ... //11//
4.4.6.\textsuperscript{103}

He who is without desire, who is freed from desire, whose desire is satisfied, whose desire is the Soul - his breaths do not depart. Being very Brahma, he goes to Brahma. (tr. Hume, 1931: 141)

We may observe that this trend of thought exerted a lasting influence on later philosophical systems, most notably on the Śāmkhya and Vedānta systems. In both these systems the soul is conceived as motionless and no party to the activity of body and mind.\textsuperscript{104}

5.2. If the knowledge that one’s real self is by its very nature free from activity is sufficient for being freed from the results of actions, one would think that no place is left for austerities and meditation. There can be no doubt that indeed knowledge fully replaced these alternative methods in the opinion of some. But others preferred a combination of knowledge and ascetic and meditative practices. Reasons for doing so are given at Āpastambya Dharma Sūtra 2.9.21.13-16:\textsuperscript{105}

13. Abandoning truth and falsehood, pleasure and pain, the Vedas, this world and the next, he shall seek the soul.
14. (Some say that) in a enlightened one there is obtainment of peace.
15. (But) that (opinion) is opposed to the Śāstras.
16. (For) if there were obtainment of peace in an enlightened one, then he ought not to feel pain even in this (world). (cf. Bühler, 1879: 153)

That is to say, in addition to knowledge of the soul something more

\textsuperscript{103} yo ’kāmo niskāma āptakāmo ātmakāmo na tasya prāna utkramanti / brahmaiva san brahmāpyeti / Sprockhoff (1962) sees in passages like this ‘vage Ansätze’ to the concept of jīvanmukti.

\textsuperscript{104} The soul is in these systems as a rule considered to be omnipresent. The exception is Rāmānuja, whose soul has the size of an atom; see Hohenberger, 1960: 67-68.

\textsuperscript{105} satyānte sukhadūhkhe vedān imam lokam amun ca parītyajātmanam anviccet //13// buddhie ksemaprāpam //14// tāc chāstrair vipraśiddham //15// buddhie cet ksemaprāpam ihaiva na duḥkham upalebheta //16//
is required. This something is here\textsuperscript{106} the ascetic mode of life described in the following Sūtras (2.9.21.18 - 23.2).

A different justification for combining the way of knowledge and the practice of bodily and mental restraint is given in the \textit{Kātha Up.} (2.24):\textsuperscript{107}

Not one who does not abstain from bad acts, nor one who has not come to peace, nor one who is not concentrated, nor one whose mind has not come to peace, shall reach this \textit{[Self]} by means of knowledge.

In this passage ascetic practices are a precondition for the acquisition of knowledge. Similarly, BAU 4.4.22 first gives a description of the soul and then states that austerities are performed in order to gain knowledge of it:\textsuperscript{108}

Verily, he is the great, unborn soul, who is this \textit{[person]} consisting of knowledge among the senses. He lies in the space within the heart, the ruler of all, the lord of all, the king of all. He does not become greater by good actions nor inferior by bad actions. He is the lord of all, the overlord of beings, the protector of beings. He is the separating dam for keeping these worlds apart.

Such a one the Brahmmins desire to know by repetition of the Vedas, by sacrifices, by offerings, \textit{by austerities, by fasting}. On knowing him, in truth, one becomes an ascetic (\textit{muni}). (cf. Hume, 1931: 143)

The two ways are also combined, e.g. in MBh 12.212.14-19:\textsuperscript{109}

\begin{verbatim}
\textsuperscript{106} We shall leave out of consideration other ways, such as \textit{karmayoga} in the \textit{Bhagavad Gītā}, they are not directly relevant to the present discussion. See also note 16 below.
\textsuperscript{107} \textit{ānivirato duścaritān nāśanto nāsamāhitah} / nāśanto mūlañcugvā/a\ vā \ pir ajñāñenaiñam apuyai//
\textsuperscript{108} \textit{sa vā esa mahān aja ātmā yō yam vijñānamayaḥ prāṇeshu \ ya eso 'ntarhrdaya śātās tasmā chete / sarvasya vaśi / sarvasyeśānāḥ / sarvasyādhipatiḥ / sa na śādhuṇā karmanā bhūyān / no eva śādhuṇā karmanā / esa sarveśvarā / esa bhūtadhipatiḥ / esa bhūtapālaḥ / esa setur vidharanaṃ / esa lohām / asambhedāya / tam etam vedānuvacanena brahmanā vibhīṣante yajīna dānena tapasā 'nāśakena / etam eva vidvātā munir bhavati//
\textsuperscript{109} \textit{imaṃ guṇasamāhāram ātmabhāvena paśyataḥ} //
\end{verbatim}
He who looks upon this collection of guṇas as being the soul, due to wrong points of view, his suffering is infinite [and] does not cease (14). But when [suffering] for you (te) [= by you] is seen as not the soul, not as I, nor as mine, on what basis does [then] the stream of suffering continue? (15) Hear in this connection the supreme teaching of renunciation called ‘Right Mind’, which when declared shall result in liberation for you (16). For mere renunciation (without knowledge of the soul) of all actions, also of the ones prescribed [by the Veda], is considered as an affliction of the wrongly educated which always brings suffering (17). When objects are renounced (dravyatāge), however, [sacrificial] activities [are involved]; when property is renounced, also vows [are involved]; when happiness is renounced, this is the exertion of asceticism; when all is renounced, this is perfection (18). This one and only way of renunciation of all (viz. the one called ‘Right Mind’) is taught as leading to freedom from suffering; any other way leads to misery (19).

5.3. A consequence of the fact that practice leads to liberation only in combination with the knowledge of the immovable nature of the soul, is that practice does no longer have to be predominantly bodily.\(^\text{110}\) Where practice is expected to bring about this knowledge, the mental part is bound to gain prominence. This means that now meditation can become the main means of liberation, at the expense of physical austerities. It can virtually by itself lead to knowledge of the true nature of the self. The following passage, which describes Yoga-activity (yogakṛtya) according

\begin{verbatim}
asamyagdarśanair duḥkhāṁ anantam nopasāṁvyatī //14// anātmeti ca yad drṣṭaṁ tenāham na mamety api /
vartata kimadhiṣṭhāna prasaktā duḥkhāṁ samantatīth //15// tatra samyayinam ānām tākapāśāstram anuttamam /
śṛṇu yat tava mokṣaṁ yā bhāsyamānam bhāvyati //16//
yāga eva hi sarveśāṁ ukhyām (v. l. yuktāṁ) api karmanāṁ // nityam mitryāviniścānam kleso duḥkhāvahobhavataḥ //17//
dravatāṛge tu karmāṇi bhogatāṛge vratāṁ api /
sukhatāṛge tapoyogah sarvatāṛge samāpanā //18//
tasya mṛgo 'yam advaidhāṁ sarvatāṛgaṁ devī ●/ vīprahāṁyā duḥkhasya durgāṁ hīr bhavet //19//
\end{verbatim}

\(^\text{110}\) This opens the way for practices like the karmayoga of the Bhagavad Gītā, devotion to God, etc.

Meditation, study, liberality, truth, modesty, sincerity, forbearance, purification, purity of food, and restraining the senses (10); by these [means] the fire increases and removes sin. To him [who practises these means] all things are obtained and knowledge comes about (11). Acting the same way toward all beings, with [things] obtained or not obtained, having shaken off sin, full of fire, taking little food, having conquered the senses, having brought desire and anger under control, he should wish to bring [himself] to the place of Brahman (12). Having brought about one-pointedness of his mind and senses, concentrated, he should fix his mind with his self in the first and last parts of the night (13). If one sense leaks of this man possessed of five senses, then his insight flows away, like water from the bottom of a bag (14). But he should first take hold of his mind, just as a killer of fish [first takes hold of] small fish; then the knower of Yoga [should take hold of] his ear, then his eye, tongue and nose (15). Then, holding these together, the ascetic should place them in his mind; removing in the same way his volitions, he should fix his mind in his self (16). Bringing the five [senses] together with his knowledge, the ascetic should place them in his mind; and when these [five senses] with the mind as sixth stay in the self, and
come to rest staying together, then Brahman shines forth (17).
Like a shining flame without smoke, like the bright sun, like the
fire of lightning in the sky, he sees the self with the self.

5.4. A further theoretical adjustment to the situation where both
knowledge and practice are required in order to find liberation, may be
witnessed in the Nyāya-Vaiśeṣika system of philosophy. Here, to be sure,
the soul is conceived as acting and undergoing the fruits of its actions.
But a closer inspection brings to light that this should not be accepted at
its face value, but in a technical sense which modifies the situation
considerably.112

The soul, in Vaiśeṣika ontology, is an omnipresent and eternal
substance (dravya); this implies that the soul is motionless. It is
conceived as acting because it can have effort (prayatna) as a quality
(guṇa); this quality is required in order to bring about activity of the
body. Effort itself is the result of two other qualities of the soul, desire
(icchā) and repulsion (dveṣa). The activity of the body gives rise to yet
two more qualities of the soul, virtue (dharma) and sin (adharma). Virtue
and sin are responsible for rebirth and saṃsāra.

All these qualities inhere in the soul and cannot exist without it. The
soul, on the other hand, can very well exist without them. Indeed,
liberation is conceived of as freedom from the special qualities that
inhere in it. The complete list of these qualities is as follows: knowledge
(buddhi), happiness (sukha), pain (duḥkha), desire (icchā), repulsion
(dveṣa), effort (prayatna), virtue (dharma), sin (adharma), subliminal
impression (saṃskāra).113 None of these survive in the liberated state.
We see that the theoretical constructs of the Vaiśeṣikas, and following
them the Naiyāyikas, force them to look at the liberated state as one

112 Since the ontology of Nyāya-Vaiśeṣika derives from Vaiśeṣika, we shall confine
ourselves to Vaiśeṣika texts, primarily Kanāda’s Vaiśeṣika Sūtra and
Praśastapāda’s Padārthadharmasaṅgraha.
An analysis of the road to liberation in Pakṣīlasvāmin’s Nyāya Bhāṣya is given by
Oberhammer (1984: 1-65), who however seems to misunderstand the nature of
liberation adhered to by Pakṣīlasvāmin.
113 Dharma, adharma and saṃskāra are not enumerated among the qualities in
Kanāda’s Vaiśeṣika Sūtra (VS 1.1.5) and were not yet considered such in the
Vaiśeṣika known to the Jaina author Jinabhadra (c. 6th century; see Halbfass, 1980:
285n.55).
without knowledge and happiness; a fact for which they have been often ridiculed.\textsuperscript{114}

The order in the list of special qualities of the soul is not arbitrary. Knowledge of an object precedes the experience of happiness or pain connected with it; this in its turn gives rise to desire and repulsion respectively; then follows effort in order to obtain or avoid that object; as a result virtue and sin come into being, as well as subliminal impressions. The sequence also shows how liberation can be obtained. Right knowledge of the categories of reality, including the soul, prevents desire and repulsion from coming about. As a result no new virtue and sin arise. Life goes on until the old virtue and sin have produced experiences and consequently disappeared. Liberation is reached at the moment of death. Praśastapāda’s \textit{Padārthadharmaśāṅgṛaha} (p.261-62) describes this process as follows:\textsuperscript{115}

When someone - as a consequence of knowledge and of the activity resulting therefrom, viz., [activity] without intended fruit - is born in a virtuous family and desires to know means to get rid of suffering, goes to a teacher and acquires true knowledge about the six categories [of Vaiśeṣika], then he becomes free from passion because his wrong knowledge ceases. Because there is then no passion nor repulsion, virtue and sin which are born from those do not come into existence; and [the virtue and sin] which have been accumulated before disappear after producing experiences. When he has thus brought about contentment and

\textsuperscript{114} Already Paksilasvāmin (Vaiśeṣika) notes as one example of wrong ideas in his \textit{Nyāya Bhāṣya} on sūtra L1.2 (p. 11-12): “Emancipation (i.e., liberation) is dreadful. It consists, as a matter of fact, in the cessation of all effects. Since emancipation is separation from everything, much that is good is lost in it. How could therefore a wise man find pleasure in this state of emancipation, in which all happiness has been cut off and which is without consciousness?” (\textit{apavargo bhīṣmaḥ / sa khalv ayam sarvāṅgyoparamaḥ sarvaviprayoge `pavarge bahu ca bhadrakam lupyata iti katham buddhimān sarvasukhocchedam acaitanyam anum apavargam rocayed iti/}) Some later Naiyāyikas preferred to look upon liberation as blissful (Mishra, 1936: 384-87).

\textsuperscript{115} \textit{jñānapūrvakā tu krād asaṅkalpitaphalād viśuddhe kule jātasya dukkha-vagamopāyajñijīsor ācāryam upasaṅgamyotpānaśatpadārthatağatvājñānasya nityrttau viraktasya rāgadvebhāvān tajjayor dharmādharmañmayor anupattau pūrvasaṅcayos copabhogān nirodhe santosasukham śāriraparicchedam cotañya rāgadvebhāva nitytrīttau nivṛttakaśānaḥ kevalo dharmāḥ paramārthadarśanajām sukham kṛtvā nivartate / tada nireñcijñāntamanah śārirādivebhāva / punāḥ śārirādivebhāva dagdhendhanālaśvad upaśamo mokaśa iti //
happiness, as well as separation from the body, and passion etc. have ceased, only virtue characterized by inactivity remains. [This too,] after producing the happiness born from insight in the highest truth, ceases. Then the body etc. disappear of [this] soul which is free from seeds [for rebirth]. The tranquillity [which arises] since no body etc. come again into existence, and which resembles a fire whose fuel has been burnt, is liberation.

We see that the soul of the Vaiśeṣikas has something in common with the soul of the Sāṃkhyaśas. Both are in their deepest essence unconnected with what goes on in the world. But unlike the Sāṃkhyaśas, the Vaiśeṣikas admit that the soul can get into connection with the world, and into a close connection at that; the soul is connected with its qualities by the relation of inherence (samavāya), which is the closest relation that exists in this system of philosophy.

Yet, in its deepest essence the soul remains free from activity and its fruits. This is underlined by the circumstance that the soul is conceived as omnipresent. The soul, even though actor, remains in this way free from action. This is, as far as I can see, the only reasonable explanation of the otherwise rather queer attribute of omnipresence of the soul. This explanation gains in strength if it is true that the oldest Vaiśesika considered the soul as having the size of the body, as Frauwallner (1956a: 62) surmises.¹¹⁶

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¹¹⁶ Frauwallner’s (1956a: 95-97) attempt to explain the omnipresence of the soul on the basis of adṛṣṭa, a quality of the soul which is supposed to exert its influence almost everywhere, does not convince. The Vaiśeṣika Sūtra speaks already of the omnipresence of the soul (VS 7.1.29), but contains no indication that adṛṣṭa (mentioned in sūtras 5.1.15; 2.2; 4; 8; 14; 19; 6.2.2.; 15; in all but two cases in the compound adṛṣṭakārita) was considered a quality of the soul (cf. Halbfass, 1980: 285f.). Indeed, adṛṣṭa is not enumerated among the qualities (cf. note 19 above). Moreover, Nyāya Sūtra 3.2.69 uses the word adṛṣṭa - in the compound adṛṣṭakārita, so common in the Vaiśeṣika Sūtra - in a sense which contrasts with karman (67); here it is no quality of the soul, nor even the same as dharma and adharma.

Frauwallner’s reason for believing that early Vaiśesika considered the soul as having the size of the body is that this idea was present and survived among the Jainas. The early connection between the two systems seems supported by the Jaina tradition that the Vaiśeṣika Sūtra was composed by a Jaina schismatic from the Uḷūka lineage (Leumann, 1885a: 121; Mehta and Chandra, 1970-72: 646 (s.v. Rohagutta), 664 (s.v. Vaisesiya)). Vaiśeṣika Sūtra 5.2.18 has been presented as evidence that the soul of early Vaiśeṣika was deemed to have limited size. See Wezler, 1982: 653-55. A closer study of this sūtra, to be published in the
It is clear from the above passage from the *Padārthadharmasaṅgraha* that knowledge is but the beginning of the process leading to liberation. It is succeeded by some kind of practice of the type with which we are now familiar. This is confirmed by the *Vaiśeṣika Sūtra*,\(^{117}\) which describes Yoga as a state where the mind (*manas*) resides only in the soul and therefore not in the senses, resulting in the absence of happiness and pain (5.2.17); liberation is attained when this contact of mind and soul is also no longer there (5.2.20). We recognize what is elsewhere called *pratyāhāra* ‘withdrawal of the senses’. Again, liberation is the absence of contact of the soul with virtue and sin (6.2.19); the means thereto are, among other things, fasting, chastity, dwelling in a forest (6.2.2).

5.5. The ‘pure’ forms of asceticism lived on, as in Hatha Yoga,\(^{118}\) beside the currents which emphasized meditation and knowledge of the soul. Where they had to confront these other currents, terms pertaining to meditation often were reinterpreted in such a manner that they came to refer to bodily practices. Elsewhere the mental practices were postponed until after the mastery of the - by now numerous and complex - bodily practices, i.e., postponed to a stage which few people would reach.

Reinterpretation of terms pertaining to meditation is witnessed in Śivānanda Sarasvāti’s *Yogacintāmaṇi*. There we read that “restraint of breath itself, in accordance with the degree of practice, is called by the names *pratyāhāra*, *dhāraṇā*, *dhyāna* and *samādhi*” (p. 28: *prāṇāyāma* evābhīṣakramaṇa *pratyāhāradhāraṇādhyānasamādhiśabdenocye*). Of the same tenor, but more specific, is *Skanda Purāṇa* 4.41.94-95:\(^{119}\)

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Proceedings of the Bhartrhari Conference held in Pune 1992 (*Asiatische Studien / Études Asiatiques* 1993), has convinced me that it constitutes no such evidence.

The omnipresence of the soul is explained by Vyomaśiva by arguing “that only on such a hypothesis can we explain the yogi’s ability to inhabit many bodies simultaneously” (Potter, 1977: 98).

Other reasons why Brahmanical philosophies - unlike Jainism - introduced the idea of an omnipresent soul are given by Jaini (1980: 220).

\(^{117}\) Wezler (1982) argues that the sūtras on Yoga and liberation were later added, perhaps after Prāśastapāda (p. 665). This does not however affect my argument.

\(^{118}\) On the ancient roots of Hatha Yoga, see Nowotny, 1976: 5-10.

\(^{119}\) *prāṇāyāmadviśaṭkena pratyāhāra udāhṛtaḥ / pratyāhārair dvādaśabhir dhāraṇā parikṣitā //94// bhaved iśvarasamagatyai dhāraṇāṃ, dvādaśadharanam / dhyānaadvedādaksenaiva samādhir abhidhiṣyate //95//*. These verses occur in slightly different form in Gorakṣa’s *Gorakṣaśataka* (114-15).
By twelve restraints of breath (prāṇāyāma) pratyāhāra is named. By twelve pratyāhāras dhāranā is known (94). Dhyāna consists of twelve dhāranās and may lead to union with God. By twelve dhyānas samādhi is mentioned (95).

We recognize in the terms pratyāhāra (‘withdrawal of the senses’), dhāranā (‘fixing the mind’), dhyāna (‘meditation’), and samādhi (‘concentration’) the last four limbs of the eightfold Yoga described in YS 2.29 (cf. also MU 6.18 discussed above, §4.1). We see that mental states are reinterpreted to be, or to be the result of, physical restrictions.

Postponement of meditation is seen in, e.g., Svātmārāma’s Haṭha Yoga Pradīpikā (HYPr). We are told in verse 1.2 that Hatha Yoga, which emphasizes bodily practices,120 is only taught by way of preparation for Rāja Yoga:121 “Bowing to the respected teacher and patron, Yogin Svātmārāma teaches the knowledge of Hatha [Yoga] merely for the sake of Rāja Yoga.” And again (HYPr 4.103):122 “All the means of Hatha [Yoga] and Laya [Yoga] are for the attainment of Rāja Yoga.” Rāja Yoga is the name of the unified mind (4.77);123 it is the state without mind, samādhi (4.3-4). But a precondition for Rāja Yoga is mastery over Kevala-kumbhaka (2.74-75):124 “Who is powerful through Kevala-kumbha[ka] because he [can] hold his breath as long as he likes, he obtains even the state of Rāja Yoga, there is no doubt about it.” Holding one’s breath as long as one likes is obviously beyond the reach of most (cf. Bernard, 1950: 57-58).

Haṭha Yoga belongs to the tradition of asceticism which we are investigating. The following verses (HYPr 4.106-09, 112) show this beyond doubt:125

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120 The Gheraṇa Samhitā (1.2) calls it ghāsthayoga ‘bodily Yoga’.
121 praṇanyā śūgurum nātham svātmāraṇena yogīnā/ kevalaṁ rājayogaṁ hathāvidyopadiśyate //
122 sarve haṭhayopāya rājayogasya siddhaye /
123 ekibhūtaṁ tuṁ citām rājayogābhidhānakam /
124 śaktah kevalakumbhena yathēṣṭam vāyudhārānāḥ //
125 ūrjāyogapadaṁ cāṁ labhate nātra saṁśayah /
126 śaṅkhadundubhinādam ca na śrōti kadācana /
127 kāsthravaj jāyate deha unmanyāvasthayā dhruvam //106//
128 sarvāvasthāvinirmuktā sarvacintāvivarjitaḥ /
129 mṛtatvaṁ tiṣṭhate yogī sa mukto nātra saṁśayah //107//
130 kādyate na ca kālana bādhyate na ca karmanā /
By virtue of the state without mind \((unmanī avasthā)\)\(^{126}\) the body becomes certainly like a piece of wood; it does not at any time hear the sounds of a conch-shell and of a large drum \((106)\). Being free from all states and devoid of all thought, the Yogin is like a dead person; he is liberated, there is no doubt about it \((107)\). The Yogin engaged in \textit{samādhi} is not devoured by death and is not harassed by \textit{karman}, nor is he subdued by anyone \((108)\). The Yogin engaged in \textit{samādhi} is not aware of smell, taste, form, touch, and sound, nor of himself or another \((109)\). ... He is certainly liberated who is healthy, as if sleeping while awake, and without breathing out and breathing in \((112)\).

HYPr 4.31-32 amount to much the same:\(^{127}\)

Absorption \((laya)\), in which breathing out and breathing in are destroyed and the grasping of objects has disappeared, in which there is no movement \([of the body]\) nor modification \([of the mind]\), is victorious in the Yogins \((31)\). Some \([state of]\) absorption comes about in which all conceptions are cut off and there are no movements whatever; it can only be experienced by oneself and is beyond words \((32)\).

We find here most of the features which have characterized mainstream meditation from early times: motionlessness of body and mind, cessation of breathing, non-functioning of the sense-organs.

It is interesting to quote in conclusion the final verse\(^{128}\) of Svātma-rāma’s \textit{Hātha Yoga Pradīpika} \((4.114)\), because it evinces a sceptical attitude toward the claim that knowledge alone may lead to the goal:\(^{129}\)

\begin{verbatim}
sādhyate na sa kenāpi yogi yuktah samādhiṁ //108// na ghandam na rasam rūpam na ca sparśam na niśvanam / nātmānam, na param veṭti yogi yuktah samādhiṁ //109//... svastho jāgradavasthayāṁ suptad yov vatisṭhathe / niśvāsacchvāsahināś ca niścitaṁ muktā eva saḥ //112//
\end{verbatim}

\(^{126}\) This is the same as Rāja Yoga according to verses 4.3 \(\text{-}4\) \((p. 125)\).

\(^{127}\) \begin{verbatim}
pranaṣṭaṁ niśvāsanāṁ pradhvastavasayagrahaḥ / niśceṣto nirvākāraś ca layo jayati yogināṁ //31// ucchinnasaraṁ sakalpo niśesāsaceṣṭīnāḥ / svāvagamyo layaḥ ko pi jāyate vāgacaraiḥ //32//
\end{verbatim}

\(^{128}\) In the Lonavla edition this is not the final verse. A whole \((fifth)\) chapter follows which is found in some Mss., as explained on pp. \((5)\) \(-\) \((7)\) of the Introduction.

\(^{129}\) \textit{yāvan naiva praviśati caran māruto madhyamārge}
As long as the breath, moving about, does not enter into the middle road; as long as the semen does not become steady as a result of binding the vital air; as long as in meditation reality does not become like the natural state; so long the knowledge that [some] talk of is deceitful and false chattering.

यावद बिन्दुर ना भवति द्रध्य धार्मिन्तात प्राप्त प्राप्तात्र व नान् हाति
यावद ध्याने सहजसद्र्शां जायते नाइव तत्त्वम्
तावज्ञानम् वदाति ताद इदं दम्भामिथ्याप्रलापाः

This line is not very clear. The English translation in the Adyar Library edition, by Srinivasa Iyangar and revised by Radha Burnier and A. A. Ramanathan, reads (p. 83-84): “as long as the mind does not, in meditation, reflect the natural state [of the object contemplated upon, i.e. Brahman]”. This translation depends on Brahmananda’s commentary Jyotsna (p. 182): यावत तत्त्वम् सित्तां ध्याने ध्यायथांत्यां सहजातद्र्शां सप्तभाविकध्यायकार्यम् प्रवहवन नाइव जायते नाइवा भावति. The Lonavla edition contains the translation (p. 176): “So long as ... the Supreme Reality does not appear as if it were its (the mind’s) Sahaja (native) state.”
VI. The influence from Buddhist meditation.

6.1. It seems that main stream meditation remained unaffected by Buddhist meditation for a long time. Only in the case of the Maitrāyaṇīya Upaniṣad did we have to consider the possibility that there was some influence from the side of Buddhist meditation (above, § 4.1). And even in this case it concerned a rather minor point, not one pertaining to the actual technique of meditation, nor to its immediate aim. We may assume that main stream meditation owed its strong position primarily to two factors. The first one is that, apparently, it had far wider currency than Buddhist meditation. This is indicated by its presence in both Jaina and Hindu scriptures. The second factor explains to some extent the first one. The idea that the misery resulting from activity must be combated by inactivity is so clear and simple that its immediate appeal must have been greater than that of the rather abstruse methods propagated in Buddhist meditation.

Yet some influence from the side of Buddhist meditation is discernible. It is first noticeable in a passage of the Mahābhārata, but here the influence remains confined to terminology. Strong influence can be shown in the Yoga Śūtra. The important position acquired by this text explains that the Buddhist element in Hindu meditation came to stay.

We turn to the texts.

6.2. MBh 12.188.1-2, 5-10, 12-13, 15, 20-22 reads:130

130. hanta vakyāmi te pūrtha dhyānayogam caturvidham
    yaṁ jñātu śāsvatim śūdkhīm gacchanti paramarṣayah //1//
    yathā śvanuṣṭhitaṁ dhyānaṁ, tathā kurvanti yogināḥ /
    mahārṣayo jñānaṭptā nirvāṇapagatanamasāḥ //2//
    ...

    tatra svādhyañyasamśṭiṣṭam ekāgram dhārayen manah /
    pīndikṣṛtyendriyagrāmanām āśāṁ kāśṭhavan muniḥ //5//
    śabdāṃ na vindec chrotreṇa sparṣaṁ tvacā na vedayet /
    rūpam na caksuṣā vidyāj jihvāy na rasāṃs tathā //6//
    ghreyān āpya ca sargvāṁ jahyād dhyānena yogavit /
    pañcavargapramāṇāmī necche ca viryavām //7//
    tato manasi saṃsajya pañcavargaṁ vicaksanaḥ /
    samādhyāyān mano bhṛtāntam indriyaiḥ saha pañcabhiḥ //8//
    visamcāri nirālambam pañcadvārām cālācalam /
    pūrve dhyānapathe dhīraḥ samādhyāyān mano´ntaram //9//
See, oh king, I tell you the fourfold Yoga of meditation, knowing which the supreme seers reach eternal perfection (1). Yogins, great seers satiated with knowledge whose minds are set on nirvāṇa, perform meditation that is well-practised (2). ... A sage, sitting like a piece of wood, bundling his senses together, should fix his mind [so that it becomes] one-pointed and held together as a result of recitation, on that [own nature (?)] (5). He should not notice sound with his ear, nor should he feel touch with his skin; he should not perceive colour with his eye, nor tastes with his tongue (6). And the knower of Yoga should also abandon, by means of meditation, all odours; being energetic, he should not desire these things which trouble the five senses (7). Then, being wise and joining together his five senses in his mind, he should concentrate his wandering mind together with the five senses (8). Being resolute, he should concentrate his interior mind, which is moving here and there, having no point of support, with five gates, unsteady, in the first course of meditation (9). When he bundles together his senses and his mind, this is the first course of meditation described by me (10). ... Like a drop of water on a leaf, moving here and there, going in all directions, just so is that mind of his on the road of meditation (12); being brought together (samāhita) for some moment on the road of meditation, it stands...
still, but again the mind roams about on the path of the wind, like
the wind (13). ... When the sage concentrates on the first
meditation from the beginning, vicāra, vitarka and viveka come to
him (15). ... He himself, oh descendant of Bharata, as well as his
mind and five senses, comes to rest when he has reached the first
course of meditation by the incessant practice of Yoga (20). That
bliss of him whose self is thus controlled, will not be attained by
means of any kind of human effort or fate (21). Endowed with
that bliss he will delight in the activity of meditation. In this way
Yogins attain to that nirvāṇa which is free from disease (22).

This passage speaks of a ‘fourfold dhyānayoga’ (v.1), and of a ‘first
Dhyāna’ (pūrva dhyānapatha, vv. 9, 10, 20; prathama dhyāna, v. 15) in
which vicāra, vitarka and viveka are present, as well as bliss (v. 21-22).
Yogins performing this kind of meditation reach nirvāṇa (vv. 2, 22.) All
this sounds like pure Buddhism (cf. § 1,5 above) and cannot be due to
coincidence.\footnote{So Bedekar, 1963a; Pande, 1974: 534; Heiler, 1922: 46-47; Keith, 1923: 144;
Oldenberg, 1915: 324; Barnes, 1976: 189 f.; Nothing supports the contention that
here the four stages of meditation are intended which figure in MBh 12.46.2-4, as
maintained in the Critical Notes to the Poona ed. (p. 2161). In those stages no
mention is made of vicāra, viveka and nirvāṇa.}

But there are differences as well. It appears that the Four Dhyānas are
really a foreign element in the Yoga of the Epic, which could only be
made to fit clumsily. Note that only the First Dhyāna of the ‘fourfold
dhyānayoga’ is mentioned - repeatedly - in the text, never the remaining
three. The reason may well be that these later Dhyānas, especially the
Third and Fourth, were an embarrassment for the author of this section
because they go beyond his aim in discarding such desirable (see v. 21-
22) states as joy (pr̥ti) and bliss (sukha). The immediate aim in this
section of the Mahābhārata - as elsewhere in the Epic - is control of
the mind and the senses. This resembles the Second Dhyāna, where vitarka
and vicāra come to rest. Our section of the Epic appears to be content
with even less. The First Dhyāna is sufficient for its purposes because
vitarka and vicāra are apparently looked upon as special faculties on the
First Dhyāna, not as mere thought remaining from ordinary
consciousness.\textsuperscript{132}

Our passage contains clear indications that it belongs to the main, i.e., non-Buddhistic, tradition of meditation. The meditator sits ‘like a piece of wood’ (v. 5), tries to put his sense organs out of use (v. 6-7), wants to stop his mind (v. 20). The terminology of Buddhist meditation has been used, but its influence stopped at that.

6.3. Influence from Buddhist meditation, i.e., from the form Buddhist meditation acquired under the influence of main stream meditation (see ch. VII below), is noticeable in the first chapter of the Yoga Sūtra (YS).\textsuperscript{133} This will be shown by bringing to light a contradiction between the sūtras.

Sūtra 1.2 defines: yogaś cittavṛttiṇirodhaḥ “Yoga is the suppression of the activities of the mind”. This agrees with all we have come to know about main stream meditation in Jaina and Hindu scriptures. Sūtra 1.3 explains that then the self abides in its own form. This too tallies with main stream meditation and the accompanying speculations about the nature of the soul (above, chapter V). Subsequent sūtras (1.5-11) specify what are the activities of the mind. YS 1.12 indicates that the desired suppression comes about as a result of practice (abhyāsa) and passionlessness (vairāgya). These two terms are explained in sūtras 1.13-16. There can be no doubt that sūtras 1.2-16 belong together and give a short description of main stream meditation.

Sūtras 1.17-20 then continue:

YS 1.17: vitarkavicārānandāśmitārūpāṅgama śamprajñātaḥ :“Because it is accompanied by the form of deliberation, reflexion, happiness and the feeling ‘I am’ [there is concentration (samādhi) which is] śamprajñāta.”

YS 1.18: virāmapratyābhhyāsapūrvah samskāraśeṣo ’nyah :“The other [āsamprajñāta form of concentration (samādhi)] is preceded by practice

\textsuperscript{132} Note that also the Yoga Sūtra (1.44) appears to give vṛti a special sense, viz. of having subtle things as objects. Something similar is said in Vasubandhu’s Abhidharmakośa and Abhidharmakośabhāṣya (II. 33) and in earlier Abhidharma works.

\textsuperscript{133} Cf. already Senart, 1900, and esp. La Vallée Poussin, 1937a.
on the notion of cessation, [and is such that only] subliminal impressions (saṃskāra) remain in it.”

YS 1.19: bhavapratyayo videhaprakṛtīlayānām: “In the case of the bodiless and the prakṛtīlayas, it depends on their state.”

YS 1.20: śraddhāvīryasmṛtisamādhīpraṇāpūrvavaka itaresām: “It is preceded by trust, energy, mindfulness (smṛti), concentration (samādhi) and insight (prajñā) in the case of others.”

We note, to begin with, that sūtra 1.17 is not complete. The author of the Yoga Bhāṣya supplies samādhi, a word which has not been used in the preceding sixteen sūtras. The incompleteness of sūtra 1.17 suggests that this sūtra together with the ones following it was taken from a different context. It is known that the Yoga sūtras were collected together, most probably by the author of the Yoga Bhāṣya (Bronkhorst, 1985a: § 1). The author of the Yoga Bhāṣya gives evidence at a few places that he knew the original meaning and context of the sūtras, and this allows us to accept tentatively his proposal to supply samādhi in sūtra 1.17. It is true that sūtra 1.20 now comes to convey the peculiar sense that asamprajñāta samādhi is preceded by samādhi, but this may be due to the technical meaning assigned to asamprajñāta samādhi.

When we compare these four sūtras with the definition of Yoga given in sūtra 1.2, it becomes clear that samprajñāta samādhi cannot be considered the highest form of Yoga. Certainly deliberation (vitarka) and reflexion (vicāra), and perhaps also happiness (ānanda) and the feeling ‘I am’ (asmi), must be looked upon as activities of the mind, even if it may be difficult to say how these must be brought in agreement with the five kinds of activity enumerated in sūtra 1.6. The case is different with asamprajñāta samādhi. Here only subliminal impressions (saṃskāra) remain, which cannot be looked upon as activities of the mind. Therefore asamprajñāta samādhi must be considered the completion of Yoga, the total suppression of all activity of the mind.

However, such an interpretation gives rise to difficulties. For it would mean that the bodiless - i.e., gods according to the Yoga Bhāṣya - and those called prakṛtīlaya - those whose minds have been temporarily dissolved into primary matter - have reached the highest aim of Yoga,
which seems an unlikely supposition. What is worse, sūtra 1.18 emphatically asserts that in *asamprajñāta samādhi* subliminal impressions (*samskāra*) remain, strongly suggesting that another state exists in which even these subliminal impressions are no longer present.

It is confirmed by the last sūtra of the first chapter, which I shall give in its immediate context, that such a further state exists. Sūtra 1.46 speaks about a concentration with seed (*sabīja samādhi*) and sūtra 1.47 about an inner tranquillity (*adhyātmaprasāda*). Sūtras 1.48-51 then continue:

YS 1.48: ōtambharā tatra praṇā : “There there is truthbearing insight.”

YS 1.49: śrutānumānapraṇābhyyām anyavisayā viśeṣārthatvāt : “It has other objects than the insight from the scriptures and the insight from inference, because it concerns particulars.”

YS 1.50: tajjaḥ samskāro ’nyasamskārapratibandhi : “From that [insight] arises a subliminal impression which obstructs the other subliminal impressions.”

YS 1.51: tasyāpi nirodhe sarvanirodhān nirbījaḥ samādhiḥ : “When that [subliminal impression] too is destroyed,134 because all [subliminal impressions] have been destroyed, there is concentration without seed.”

It seems clear that the definition of Yoga given in the first chapter of the *Yoga Sūtra* does not fit the descriptions contained in that same chapter. The definition speaks merely of the suppression of mental activity, whereas the descriptions go far beyond this: they speak about a state also beyond happiness and the feeling ‘I am’, where even the subliminal impressions are destroyed.

The author of the *Yoga Bhaṣya* does not do much to solve the disagreement. On sūtra 1.18 he simply identifies *asamprajñāta samādhi* with *nirbīja samādhi* (‘concentration without seed’). And on sūtra 1.46 he justifies the expression *sabīja samādhi* ‘concentration with seed’ by stating that “outer objects are the seed”. It is clear that in this way *nirbīja samādhi* ‘concentration without seeds/outer objects’ acquires a sense

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134 *nirodha* carries both the meanings ‘suppression’ and ‘destruction’. My choice of translation here and in YS 1.2 embodies a certain amount of interpretation.
close to \textit{asamprajñāta samādhi}. But the \textit{Yoga Bhasya} does not explain how \textit{asamprajñāta samādhi} can retain the subliminal impressions where \textit{nirbija samādhi} does not.

The \textit{Yoga Bhasya} gets into more trouble while explaining sūtra 1.19. Obviously it does not want to grant the highest Yogic state - which it calls \textit{kaivalya} `isolation' - to the gods and the \textit{prakṛtilayas}, free of charge, so to say. It `solves' the problem by adding \textit{iva} `as if' in the explanation: it is \textit{as if} the gods and \textit{prakṛtilayas} experience isolation.

The clumsy procedure of the \textit{Yoga Bhasya} further convinces us that two kinds of Yoga are being referred to in the first chapter of the \textit{Yoga Sūtra}.\footnote{Frauwallner (1953: 437f.), too, distinguishes two kinds of Yoga in the \textit{Yoga Sūtra}, but considers the first chapter as describing but one of them.}

The other kind of Yoga described in the first chapter of the \textit{Yoga Sūtra} shows far-reaching agreement with Buddhist meditation. YS 1.17 says that deliberation (\textit{vitarka}), reflection (\textit{vicāra}), happiness (\textit{ānanda}) and the feeling `I am' (\textit{asmitā}) are present in \textit{samprajñāta samādhi}.

Deliberation (\textit{vitarka}) and reflection (\textit{vicāra}) are also present in the First Dhyāna of the Buddhists (above, § 1.5). Joy (\textit{prīti}) is present in the First and Second Dhyāna, bliss (\textit{sukha}) in the First, Second and Third; this corresponds to happiness (\textit{ānanda}). Only the feeling `I am' has nothing corresponding to it in the early Buddhist texts.\footnote{Unless we consider it equivalent to mindfulness (\textit{smṛti}) and circumspection (\textit{samprajanya}), as Heiler, 1922: 46 does. Note that the Buddhist texts speak occasionally of liberation as a result of, among other things, the destruction of all dispositions to egoism, selfishness and pride (\textit{sabbahān̄kāramamān̄kāramān̄sāyanam khaya}); see MN I.486.}

\textit{Asamprajñāta samādhi} (?) may be compared with the five states which came to be added after the Four Dhyānas in the Buddhist scriptures, and which are characterized by a weakening and ultimately disappearance of \textit{samjñā} `ideation'. The dependence on Buddhist ideas is confirmed by the fact that in YS 1.20 \textit{asamprajñāta samādhi} (if it is that) is said to be preceded by trust (\textit{śraddhā}), energy (\textit{vīrya}), mindfulness (\textit{smṛti}), concentration (\textit{samādhi}), and insight (\textit{prajñā}). The last two of this list, \textit{samādhi} and \textit{prajñā}, are also the last two of the Buddhist triad \textit{śīla, samādhi, prajñā}, which is often presented in the canon as the
teaching of the Buddha in a nutshell (Eimer, 1976: 34f.; § 8.4.3, below). It is even more noticeable that all these five terms - śraddhā, vīrya, smṛti, samādhi, prajñā, or rather their Pāli equivalents - occur in the Pāli version of the account of the Bodhisattva’s training under Āḷāra Kāḷāma and Uddaka the son of Rāma. Gotama proclaims to be the equal of his teachers in these five respects. (MN I.164-66; repeated I.240, II.212. Note that the Chinese parallels merely mention śraddhā, vīrya and prajñā; MĀc p. 776b14-17, c13-15; T 1428 p. 780b11-13, c4-5; cf. Bareau, 1963: 13-26). The terms occur also elsewhere in the canon (e.g. MN I.479), and frequently in the Abhidharma works.

YS 1.18 and 1.48-51 (when combined) tell us that asamprajñāta samādhi is not the final end. The subliminal impressions (samskāra) which remain are to be destroyed with the help of insight (prajñā). If we read āsrava for samskāra, this is pure Buddhism.137 In addition to this, it can hardly be coincidence that the ‘truthbearing insight’ is said to follow an inner tranquillity (adhyātma-prasāda); the Buddhist texts speak about an inner tranquilization (adhyātma-samprasādana).

6.4. Traces of the influence from Buddhist meditation are visible in other works. Yogakuṇḍali Upaniṣad 1-2 reads:138

There are two causes for [the activity of] the mind: subconscious impression (vāsanā) and air. Of these two when one is destroyed, both get destroyed (1). Of these two, a man should always conquer air first. [The means thereto are:] moderate eating, [practising] postures, and setting the Śakti in motion as the third (2).

The words vāsanā (‘subconscious impression’) and samskāra (‘sub-
liminal impression’) are virtual synonyms, in the *Yoga Bhäṣya* (Koelmaan, 1970: 154) and elsewhere. Therefore the above verses refer to the destruction of subliminal impressions, like certain sūtras of the *Yoga Sūtra* (above, § 5.3). Similarly, the verses must be considered to have undergone influence from Buddhist meditation.

Note however, that the element ‘destruction of subconscious impressions’ is grafted upon techniques which clearly belong to mainstream meditation. The destruction of subconscious impressions is said to result from the destruction of breath, one of the most characteristic accompaniments of mainstream meditation. The Upaniṣad nowhere returns to the question of the destruction of the subconscious impressions, whereas much room is dedicated to breath control. We must conclude that in the *Yogakuṇḍalī Upaniṣad* the influence from Buddhist meditation is slight, and may even be merely terminological.

Buddhist meditation is more strongly represented in the *Muktikā Upaniṣad* (MuktU). Verse 2.27 contains a statement very similar to the one above:

> The tree which is the mind has two seeds: the movement of breath and subconscious impression. When one of these two is destroyed, both are quickly destroyed.

The remainder of this Upaniṣad talks much about the destruction of the subconscious impressions, more than about the control of breath. Destruction of the subconscious impressions is said to be equal to liberation (MuktU 2.68). The subconscious impressions are of two kinds: pure and impure (MuktU 2.61); all are abandoned in the end (MuktU 2.68-71); etc. Yet abandonment of the vāsanās is said to be the same as suppression of the movement of breath (MuktU 2.45: vāsanāsampari-

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139 E.g. in Vidyaśārana’s *Jīvanmuktiviveka*; see Sprockhoff, 1964: 226-27.
140 The *Muktikā Upaniṣad* is late and may date from the 15th century A.D. (Sprockhoff, 1976: 260-64, 286).
141 *dve bije citavrksasya prānaspadanavāsane / ekasmiṁ ca tayoḥ kṣine kṣipram dve api naśyataḥ* //
This verse occurs almost identically in the *Yogavāsiṣṭha* according to the commentary *Jyotsnā* on HYPr 4.22, p. 143.
Moreover, the aim is to free the soul from attributes which do not really belong to it, such as ‘being the actor’: “Properties of the mind, such as being the actor, being the enjoyer, bliss and suffering, are fetters of the soul (puruṣa) because they are afflictions (kleśa) by nature; their destruction is liberation while being alive (jīvanmukti)” (MuktU 2.1: puruṣasya kartṛtvabhokṭrṭvasukhaduḥkhādilakṣaṇaḥ cittadharmaḥ kleśarūpatvād bandho bhavati / tannirodhanām jīvanmuktīḥ /). This shows that this Upaniṣad belongs to the main tradition of meditation, in spite of the influence from Buddhist meditation.

The notion of vāsanā and its destruction appears here and there in other late Upaniṣads as well, but not usually in the predominant position it has in the Muktikā Upaniṣad. Examples are: Nādabindu Up. 49c-d = Yogaśikhā Up. 6.71a-b; Annapūrṇa Up. 4.79; Mahā Up. 2.45; 5.78; etc.142 Nothing like the Four Dhyānas of the Buddhists recurs in any of these Upaniṣads, as far as I know.

Part III: Buddhist meditation.

VII. Influence on Buddhist meditation (I).

7.1. We have seen that the main stream of ancient Indian meditation largely lived a life of its own, showing developments both theoretical and practical which could be explained without reference to Buddhism. Buddhist influence came late and remained marginal. The question is whether Buddhist meditation also remained unaffected by main stream meditation.

A priori this seems unlikely. Buddhist meditation had to live in surroundings where apparently the other form of meditation held undisputed sway. Moreover, the other form of meditation was so simple and perspicacious in its aim that Buddhist meditation could not compete with it in appeal.

There is another fact which supports this a priori supposition. The Buddhist scriptures, as we have seen, show that much attention was paid to other modes of meditation, or rather asceticism. We studied the most important passages in chapter I, above.143 The Jaina canon, on the other hand, says very little about Buddhism, and nothing whatever about Buddhist meditation (Bollée, 1974: 27-28; cf. Jacobi, 1880: 161). Therefore Buddhism is more likely to have adopted parts of the meditation current among the Jainas and elsewhere than vice versa. The fact that Buddhism appears to have been ‘a comparatively minor factor in the religious life of India before Asoka’ lends further support to this supposition; see Basham, 1982: 139-41.

A concrete instance of influence from mainstream asceticism on Buddhism is provided by the five demands of Devadatta to the Buddha (Mukherjee, 1966: 75-81). Three of them occur in a stereotyped description of heretics in the Buddhist canon. This has been discussed by Bollée (1971, esp. pp. 71, 76, 81, 83) and will not be repeated here. This case is particularly interesting because the five demands are in Buddhism not accepted as compulsory, but as optional. Four of them recur in the

143 See further Bollée, 1971; Bhaskar, 1972; Jacobi, 1895: xv-xx; Tatia, date unknown.
list of thirteen dhutaṅgas enumerated in the canon (Vin V. 131, 193) and in the post-canonical Milindapañña (ch. 6) and Visuddhimagga (ch. 2).\textsuperscript{144}

Another instance occurs in the Mahāparinirvāṇa Sūtra, in the discussion with Putkasa / Pukkusa, the different versions of which have been compared by Bareau (1970: 282-95). Putkasa tells that Ārāḍa Kālāma at one occasion did not hear the sound of five hundred - in one version fifty - carts passing by, even though awake and conscious.\textsuperscript{145} This ability, we know, is ascribed to practitioners of main stream meditation, along with the ability not to see, smell, taste and feel. The Buddhist texts ridicule it, as we have seen (§ 2.2, above). Here however the Buddha is said to surpass Ārāḍa Kālāma in this respect. He tells Putkasa that once, in a violent thunderstorm when lightning killed two farmers and four oxen near him, he did not notice a thing. We see that a story of probably non-Buddhist origin (so Bareau) was accepted by the Buddhists. This could not fail to influence the way Buddhist meditation came to be looked upon subsequently.

One more instance of borrowing from main stream meditation was pointed out in § 1.2, above. We saw that at one place in the Majjhima Nikāya (Vitakkasanthāna Sutta, nr 20; MN I.120-21) monks are advised to do what is shown to be incorrect elsewhere (MN I.242; and therefore in the Original Mahāsaccaka Sūtra). It refers to the kind of meditation which consists of “closing the teeth, pressing his palate with the tongue, restraining thought with the mind, coercing and tormenting it”, in short, main stream meditation.

Further cases were pointed out in notes 5 and 8 to ch. II. ‘Non-performing of new actions’ and ‘annihilation of former actions’ - two characteristics of Jaina meditation criticized at some places - were found to be accepted at other places of the Buddhist canon.\textsuperscript{146}

\textsuperscript{144} On the dhutaṅgas, see Bapat, 1937; 1964: Introduction; and Dantinne 1991: esp. p. 25f. The tendency to accept painful practices is also apparent in the Ekottara Āgama where it makes the Buddha say that happiness can only be reached through hardship; elsewhere this point of view is ascribed to the Jainas; see note 5 to chapter II above.

\textsuperscript{145} Something closely similar is told about the grammarian Śākatāyana in Patañjali’s Vyākaraṇa-Mahābhāṣya on P. 3.2.115, vol. II, p. 120, 1. 20-23.

\textsuperscript{146} It is possible that the (first) stanza uttered by Anuruddha after the death of the Buddha (Bareau, 1971: 163-64), which stresses the latter’s cessation of breathing, likewise betrays influence from main stream meditation.
7.2. The above cases could relatively easily be shown to be due to outside influence. Each of them rests on at least two canonical passages which flatly contradict each other, while one agrees closely with what we know about main stream meditation and its accompaniments. We shall now turn to a few cases which are less immediately obvious. The idea remains the same: we shall propose outside influence where by this means contradictions in the Buddhist canon can be explained and where at the same time the origin of this influence can be indicated.

7.2.1. A number of meditational states are mentioned in the Buddhist canon. These, as a rule, occur in lists. We first look at the eight Liberations (vimokṣa / vimokkha). They are the following:

1) Having visible shape, one sees visible shapes
2) Having no ideation of visible shape in oneself, one sees visible shapes outside [oneself]
3) One becomes intent on what is beautiful
4) By completely going beyond ideations of visible shape and the coming to an end of ideations of aversion, by not fixing one’s mind on different ideations, [thinking] ‘space is infinite’, he reaches the Stage of Infinity of Space (ākāśānāṇīyatana / ākāsānāṇīcāyatana) and remains there
5) Having completely gone beyond the Stage of Infinity of Space, [thinking] ‘knowledge is infinite’, one reaches the Stage of Infinity of Perception (viññānāṇīyatana / viññānāṇīcāyatana) and remains there
6) Having completely gone beyond the Stage of Infinity of Perception [thinking] ‘there is nothing’ one reaches the Stage of

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147. See e.g. Saṅg VIII.9; Daśo VIII.7; DN II.70-71, 111-12; DĀc p. 62b19-25; MN II.12-13; AN I.306, 349; Lamotte, 1970: 1281-83. MN III.222 calls them atthā disā ’the eight directions’; cf. MĀc p. 694a2-b9. According to Mahāvibhāṣā 77 (T. 1545, p. 399b20 f.; tr. La Vallée Poussin, 1937c: 12) heterodox teachers teach four liberations, viz. the four stages ākāśānāṇīyatana until naivasamāsāsam-jiyāyatana.

148. I translate the Pāli version. Small variations occur in the other versions which are of no relevance for the present study.

149. See note 14 below.
Nothingness (ākiñcanyāyatana / ākiñcaññāyatana) and remains there

7) Having completely gone beyond the Stage of Nothingness, one reaches the Stage of Neither Ideation nor Non-Ideation (naivasaṃjñānasamjñāyatana / nevasaṃnānasaññāyatana) and remains there

8) Having completely gone beyond the Stage of Neither Ideation nor Non-Ideation, one reaches the Cessation of Ideations and Feelings (saṃjñāvedayitanirodha / saññāvedayitanirodha) and remains there.

Even though it is difficult to understand fully what exactly is meant by this passage, one can easily see that it is a list of graded exercises by which the practitioner gradually puts an end to all ideations. In the Stage of Nothingness the most ethereal of ideations alone remain, described as “there is nothing”. In the following two states even this ideation disappears.

It is not clear why two states follow the Stage of Nothingness. One might think that ideations are not yet completely absent in the Stage of Neither Ideation nor Non-Ideation, however unlikely that may be. But even on this assumption the presence of feeling (vedayita) in the final Cessation of Ideations and Feelings must give rise to suspicion, since the whole list seems aimed at the dissolution of ideations and leaves no place for feelings. This suggests that the state of Cessation of Ideations and Feelings is an addition to the list. Other passages from the Buddhist canon confirm this.

150. If we understand the term naivasaṃjñānasamjñāyatana literally, there are no ideations in this ‘Stage of Neither Ideations nor Non-Ideations’. This interpretation is supported by DN II.69, according to which beings without ideations occupy that stage (see note 15 below). DN I.184, moreover, speaks of the ideation accompanying the Stage of Nothingness as ‘the topmost of ideations’ (saññāgga), after which follows the cessation of all ideations. See further Franke, 1917: 70. Note that the later dogmatists had different opinions on this issue, the Theravādins holding that there are ideations in the Stage of Neither Ideations nor Non-Ideations, their opponents that there are none (Kathāvatthu III.12). These opponents are identified as Andhakas in the Kathāvatthupakkaraṇa-Āṭṭhakathā (p. 72). See also Vasubandhu’s Abhidharmakośabhāṣya 8.4 (La Vallée Poussin, 1923-31: ch. viii, p. 143-44).
The Cūlasuññata Sutta of the Majjhima Nikāya (nr. 121; III. 104-09) gives a list of states in which more and more is experienced as empty (suñña). The list can be briefly given as follows:

1) He fixes his mind on the exclusive ideation of forest (arañña-saññanaṃ paticca manasikaroṇi ekattam)\textsuperscript{151}.
2) He fixes his mind on the exclusive ideation of earth (pathavīsañña).
3) He fixes his mind on the exclusive ideation of the Stage of Infinity of Space (ākāśanāṇacāyatanaśañña).
4) He fixes his mind on the exclusive ideation of the Stage of Infinity of Perception (viññāṇacāyatanaśañña).
5) He fixes his mind on the exclusive ideation of the Stage of Nothingness (ākīncāṇaṇayatanaśañña).
6) He fixes his mind on the exclusive ideation of the Stage of Neither Ideation nor Non-Ideation (nevasaṅñaṇaṇaṇayatanaśañña).
7) He fixes his mind on the exclusive mental concentration beyond [any ideation of] characteristics (or mental images)\textsuperscript{153} (animitta cetosamādhi).

The numbers 3)-6) of this list correspond to the numbers 4)-7) of the list of the eight Liberations. What precedes and follows differ.

It is again possible to distinguish a list of graded exercises in which consciousness, by a process of ever increasing abstractions, is deprived of all content. The two introductory states fit well with this. But the last state, the animitta cetosamādhi, appears superfluous. Rather, in this list an unconvincing trick has been used which is apparently intended to provide a place for this animitta cetosamādhi. In all but the last states the mind is fixed on the exclusive ideation of something. In the final state the mind is fixed on the animitta cetosamādhi and now apparently goes beyond.

\textsuperscript{151} See note 14 below.
\textsuperscript{152} The Pāli text adds tassa araññaśaṅnaṃya citṭam pakkhandati pasiḍati santīṭṭhati / adhimucchati, and the same appropriately adjusted to each of the following sentences. But the Chinese (MĀ C p. 736c f.) and Tibetan (not accessible to me) parallels omit this (Schmithausen, 1981: 234 n. 124).
beyond all forms of ideation. In the preceding state the mind is said to be fixed on “the exclusive ideation of the Stage of Neither Ideation nor Non-Ideation”. This is absurd. Ideation is ascribed to a state which has no ideation. Perhaps we witness here an attempt to justify the final state, animitta cetosamādhi.

It seems dubious that animitta cetosamādhi is identical with samjñāvedayitanirodha (‘Cessation of ideations and Feelings’). Schmithausen (1981: 236 n. 133) gives one reference (SN 40.1-9) where the two terms seem to have been interchanged, as well as a few references (DN II. 100, AN 6.60 (III.397)) where the two denote different things. We note that, at any rate, the terms are different.

The two lists discussed thus far share in common a unit of four meditational states, which may be looked upon as their ‘hard core’: 1. the Stage of Infinity of Space (ākāśanāntyāyatana); 2. the Stage of Infinity of Perception (vijñānānāntyāyatana); 3. the Stage of Nothingness (ākiñcanyāyatana); 4. the Stage of Neither Ideation nor Non-Ideation (naivasaṃjñānasamjñāyatana). This ‘hard core’ occurs by itself in the Buddhist canon under the name ‘the four arūpas / ārūyas’ (DN III.224; Saṅg IV.8; DĀc p. 50c25f.).

One might expect that this list of four meditational states has to be reduced still further to account for the seven Places of Perception (vijñānasthiti / viññāṇatthiti): 155

1) There are beings with different156 bodies and different ideations, such as men, some gods and some inhabitants of hell
2) There are beings with different bodies and uniform157 ideations, such as the Brahmakāyika gods who came first into existence
3) There are beings with uniform bodies and different ideations, such as the Ābhāsvara gods
4) There are beings with uniform bodies and uniform ideations,

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154. For a different opinion, see Vetter, 1988:67 n.8.
155. See e.g. DN III.253; DĀc p. 52a23 - 29; AN IV.39; Daśo VII.7. I translate the Pāli version, ignoring the small deviations which occur in other versions.
156. I translate nānatta (Skt. nānātman) and ekatta (Skt. ekātman) as proposed by Schmithausen (1981: 233-34, n. 122), even though the Sanskrit version has nānātva and ekatva.
157. MN I.169-70; MV I.7; MĀc p. 777a-b; T. 1428, p. 787b.
such as the Subhāṅkaṇha gods
5) There are beings which, by going completely beyond ideations of form, and the coming to an end of ideations of aversion, by not fixing their mind on different ideations, [thinking] ‘space is infinite’, reach the Stage of Infinity of Space (ākāsānanta-yātana)

6) There are beings which, having completely gone beyond this Stage of Infinity of Space, [thinking] ‘perception is infinite’, reach the Stage of Infinity of Perception (vijñānānanta-yātana)

7) There are beings which, having completely gone beyond the Stage of Infinity of Perception, [thinking] ‘there is nothing’, reach the Stage of Nothingness (ākiñcanyātana).

Here the Stage of Infinity of Space, the Stage of Infinity of Perception and the Stage of Nothingness, occur together without the fourth, the Stage of Neither Ideation nor Non-Ideation (naivasamājñānasamājñāyatana).

There is, however, an obvious reason why the Stage of Neither Ideation nor Non-Ideation is left out. This list enumerates Places of Perception. But perception (vijñāna) is always accompanied by ideation (saṃjñā), which is absent in the Stage of Neither Ideation nor Non-Ideation. This last stage, therefore, falls into another, higher category.

The Buddhist canon also gives a list of nine Residences of Beings (sattvāvāsa / sattāvāsa; e.g., Daśo IX.3; Saṅg IX.2; DN III.263) which is the seven Places of Perception plus two items. Between 4) and 5) is added the Residence of Beings of those without ideations and feelings (asaṃjñino appatīsaṃvedino, in the Pāli version), or of those without ideations and discriminating ideations (asaṃjñino ’pratisaṃjñinas, in the Sanskrit version); and the Residence of Beings of those who have reached the Stage of Neither Ideation nor Non-Ideation (naivasamājñānasamājñāyatana) is added at the end.

It appears from the above that the Stage of Nothingness (ākiñcanyātana) and the Stage of Neither Ideation nor Non-Ideation (naivasamājñānasamājñāyatana) are the two final states of a row of graded exercises. By a process of increasing abstraction, in which the initial
stages seem to be variable, the aspirant works himself up to a state where there is ‘neither ideation nor non-ideation’. In the later stages of this process the mind is successively fixed on the notions “space is infinite”, “perception is infinite” and “there is nothing”. The Stage of Nothingness is the final state in which some kind of notion remains before the jump is made into (complete or almost complete) notionlessness, the real goal.

There is some independent evidence that the Stage of Nothingness and the Stage of Neither Ideation nor Non-Ideation were at one time aims in themselves.

The Buddha is said to have had two teachers before his enlightenment: Árāda (P. Āḷāra) Kālāma and Udraka (P. Uddaka) the son of Rāma. From the former the Bodhisattva learned the Stage of Nothingness, from the latter the Stage of Neither Ideation nor Non-Ideation. No credence can be given to this story, for the following reasons, presented by Bareau (1963: 20-21).

The episode of the Bodhisattva’s training under Árāda Kālāma and Udraka the son of Rāma is found in three versions in the older parts of the canon: in the Majjhima Nikāya of the Theravādins (thrice: in the Ariyapariyesana Sutta, nr. 26, MN I.163-67; Mahāsaccaka Sutta, nr. 36, MN I.240, Nālandā ed. I, p. 294-98; Saṅgarāva Sutta, nr. 100, MN II.212, Nālandā ed. II, p. 484-87); in the Madhyamāgama of the Sarvāstivādins (MĀc p. 776b5-777a4); in the Vinaya of the Dhamaguptakas (T. 1428, p. 780b7-c19). The names of Árāda Kālāma and Udraka the son of Rāma occur again in the scriptures of these schools, where they relate how the Buddha, after his enlightenment, wonders to whom he will preach his doctrine first. He thinks of Árāda Kālāma and Udraka the son of Rāma, but learns that both have died recently. No word is said about the Buddha’s relationship to these two people, nor indeed do we hear what these men had been or done. This would be hard to explain if the training of the Bodhisattva under them had been related at that time a few pages earlier as it is now. One suspects that the names

158. [Discussions with Ghiorgo Zafiropulo - whose book De la quête à l'annonce de l'éveil is expected to come out soon (Innsbrucker Beiträge zur Kulturwissenschaft, 1993) - have now (1992) convinced me that Bareau’s reasons may not be compelling. This does not, however, affect – or if it does, it strengthens – the following arguments.]
of these two men originally occurred only where the Buddha thinks of possible persons with whom to start his missionary activity. In order to give some content to these mysterious names, the account of the Bodhisattva’s training under teachers with these names was added. This supposition finds support in the fact that the Vinaya of the Mahīśāsakas relates the Buddha’s doubt about whom to preach to first (T. 1421, p. 104a11-21; Bareau, 1963: 145-46) and mentions in this context the names of Ārāda Ḍālamā and Udraka the son of Rāma, but does not refer to the Bodhisattva’s training under these two even though this Vinaya mentions a number of things about the Bodhisattva prior to his enlightenment (T. 1421, p. 101a10 - 102c14; tr. in Bareau, 1962).  

If this story does not reflect the historical truth, why was it invented? Part of the reason has been given above: the occurrence of the two names ‘Ārāda Ḍālamā’ and ‘Udraka the son of Rāma’ required an explanation which could be given in this manner. But clearly this does not explain why the story took exactly this shape. In its actual form the story serves the additional purpose of denouncing the Stage of Nothingness and the Stage of Neither Ideation nor Non-Ideation.

Let us note that in two of the three versions of this story (of the Theravādins and of the Sarvāstivādins) the Bodhisattva complains that these two stages do not lead to what he is looking for, an impossibility if, in the opinion of its author, they represented two steps which preceded the final steps of the way to enlightenment. If on the other hand, the criticism had been against, for instance, the eight Liberations (vimokṣa) - which have one more stage after the two stages mentioned in this story, viz. the Cessation of Ideations and Feelings, samjñāvedayiñārodayha - the Bodhisattva should have been depicted as also practising this final stage and finding it worthless. Consequently it is only reasonable to assume that the account of the training under Ārāda Ḍālamā and Udraka the son of Rāma contains an implicit criticism of those who considered the Stage of Neither Ideation nor Non-Ideation the final aim of a course of training, immediately preceded presumably by the Stage of Nothingness.

The above observations have made it probable that in the early days

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159. Note further that the Mahāparinirvāṇa Sūtra mentions a Putkasa / Pukkusa who is supposed to be a follower of Ārāda Ḍālamā and visits the Buddha not long before the latter’s death. See Bareau, 1970: 282-95, esp. p. 284; and § 7.1 above.
of Buddhism the following list of meditational states existed (which may have been the end of a longer list of which the initial items were not strongly fixed):

a) the Stage of Infinity of Space (ākāśānanyāyatana);
b) the Stage of Infinity of Perception (vijñānānanyāyatana);
c) the Stage of Nothingness (ākiñcanyāyatana);
d) the Stage of Neither Ideation nor Non-Ideation (naivasamjñānasamjñāyatana).

We know that this short list appears as a part of longer lists in the Buddhist canon and was therefore accepted in Buddhist circles. Interestingly enough, the evidence discussed above points to a time when this list was not accepted by at least some Buddhists.

The list agrees well with what we know of main stream meditation. There the aim is to stop mental activity. This can be compared with the Stage of Neither Ideation nor Non-Ideation studied above. It is striking that the Jaina scriptures describe reflection on infinity (anamțavattiyā, Skt. anantavartitā or -vṛttitā) as one of the reflections (anuppehā, Skt. anupreksā) underlying pure (sukka, Skt. śukla), i.e. the highest meditation (above, § 3.3). This corresponds with the Stage of Infinity of Space and the Stage of Infinity of Knowledge. A further point of resemblance is the fact that these four states of meditation, unlike the Four Dhyānas of Buddhism, are never described as pleasurable or blissful160 (as already remarked by Schmidt (1953: 65)).

We hypothesize that the meditational states under discussion at present entered Buddhism from Jainistic or related circles.

7.2.2. How could these meditational practices find entrance into Buddhism? Where could they find a place side by side with the Four Dhyānas?

The Four Dhyānas can be briefly characterized as follows (cf. § 1.5 above):

160. The only exception occurs in the Cūlasaññata Sutta of the Majjhima Nikāya. However, the Chinese and Tibetan parallels leave out the sentences concerned. See note 10 above.
- In the First Dhyāna there is deliberation (*vitarka*), thought (*vicāra*), joy (*prīti*) and bliss (*sukha*).
- In the Second Dhyāna deliberation and thought come to rest. Inner tranquillization (*adhyātmāsamprāsādāna*), unification of the mind (*cetaso ekotībhāva*), concentration (*samādhi*), joy and bliss are present.
- In the Third Dhyāna one is no longer attached to joy. Equanimity (*upekṣā*), mindfulness (*smṛti*), circumspection (*samprajñāya*) and bliss are present.
- In the Fourth Dhyāna bliss and misery (*duḥkha*) are abandoned, as well as cheerfulness (*saumanasya*) and dejectedness (*daurmanasya*). Equanimity and mindfulness remain.

Clearly the Stage of Neither Ideation nor Non-Ideation can be compared with the Second Dhyāna, where deliberation and thought come to rest. Both states represent some kind of cessation of ordinary mental functioning. There is some evidence that an assimilation of this type was actually made at some time.

A Buddhist Sūtra (SN IV.297-300; SĀC p. 152b28 - 153a2) relates a discussion between Nīgāṇṭha Nāṭaputta, i.e. the Jina, and the householder Citra / Citta. Citra is asked if he believes the recluse Gautama who says that there is a concentration free from deliberation and thought (*avitakko avicāro samādhi*), that there is cessation of deliberation and thought (*vitakkavicāro nirodho*). Initially Citra gives an ambiguous answer, but then turns out not to believe, but to know these things from his own experience which he obtained while practising the Four Dhyānas (Pāli) / the first two of the Four Dhyānas (Chinese). In this passage the leader of the Jainas is depicted as considering impossible the very aim of Jaina meditation. What is more, the Jaina road of meditation up to the cessation of all mental activity seems here to be identified with the first two of the Four Dhyānas of the Buddhists. Note that the word *nirodha* ‘cessation’ which is common in the main tradition of meditation, is used in the context of the Second Dhyāna, where normally ‘coming to rest’ (*vyuṣṭaṃ / vūpasama*) is used.

Main stream meditation does not end with a mere cessation of all mental activity. In its highest stages there is a complete cessation of all
activity whatever, particularly of breathing. If the cessation of mental activity was identified with the Second Dhyāna, one might expect that cessation of breathing in particular was assigned to a later Dhyāna, preferably the Fourth one. This is confirmed by the list of Successive Cessations (anupubbanirodha; DN III.266; 290; AN IV.409). It reads:

- For one who has reached the First Dhyāna the ideation of objects of sense (kāma) has ceased;
- for one who has reached the Second Dhyāna deliberation and thought (vitakka-vicāra) have ceased;
- for one who has reached the Third Dhyāna joy (pītī) has ceased;
- for one who has reached the Fourth Dhyāna breathing out and breathing in (assāsapassāsa) have ceased;
- for one who has reached the Stage of Infinity of Space the ideation of form has ceased;
- for one who has reached the Stage of Infinity of Perception the ideation of the Stage of Infinity of Space has ceased;
- for one who has reached the Stage of Nothingness the ideation of the Stage of Infinity of Perception has ceased;
- for one who has reached the Stage of Neither Ideation nor Non-Ideation the ideation of the Stage of Nothingness has ceased;
- for one who has reached the Cessation of Ideations and Feelings ideations and feelings have ceased.

We note the fourth item of this list, where the same terms are used as at MN I.242, where Jaina meditation is described (above, chapter I).

If it is true that the early Buddhists (or some of them) made attempts to assimilate the four stages under discussion to the Four Dhyānas, it cannot have escaped their attention that in the Second Dhyāna, where vitarka and vicāra come to rest, joy (pītī) and bliss (sukha) remain together with other feelings which do not disappear until the Third and Fourth Dhyānas. This would imply that after the Stage of Neither Ideation nor Non-Ideation another stage would be required where not only ideations (saṃjñā) but also feelings (vedayita) have stopped. Such a stage exists in the Cessation of Ideations and Feelings (saṃjñāvedayitaniruddha) frequently met with in the texts.
The above assimilation of the four states and what follows them to the Four Dhyānas is clearly not very satisfactory. The differences between the four states and the Four Dhyānas are too great to allow of such an easy assimilation. No wonder that this assimilation was not accepted in the larger part of the Buddhist canon. The alternative, if one of the two groups was not to be discarded, was to place them one after the other. In the nine Successive States (anupūrvavīhāra / anupubbavīhāra)\(^{161}\) we find the following order: first the Four Dhyānas, then the Stage of Infinity of Space, the Stage of Infinity of Knowledge, the Stage of Nothingness, the Stage of Neither Ideation nor Non-Ideation, and finally the Cessation of Ideations and Feelings.

The list obtained was justified with the help of the nine Successive Cessations (see above): in each next stage something more is stopped. The Poṭṭhapāda Sutta of the Dīgha Nīkāya (nr. 9) contains a slightly different justification: the list\(^{162}\) is presented as bringing about the successive cessation of several forms of ideation (DN I.182-84):

- In the First Dhyāna there is cessation of the ideation of objects of sense.
- In the Second Dhyāna there is cessation of the subtle and true ideation of the joy and bliss born from seclusion (vivekajāpītisukhasukhamasaccasaṇṇā).
- In the Third Dhyāna there is cessation of the subtle and true ideation of the joy and bliss born from concentration (samādhijāpītisukhasukhamasaccasaṇṇā).
- In the Fourth Dhyāna there is cessation of the subtle and true ideation of indifference and bliss (upekkhāsukhasukhamasaccasaṇṇā).
- In the Stage of Infinity of Space there is cessation of the ideation of form (rupasaṇṇā).
- In the Stage of Infinity of Perception there is cessation of the subtle and true ideation of the Stage of Infinity of Space (ākāśaṇaṇīcāyatanasukhumasaccasaṇṇā).

\(^{161}\) See e.g. Daśo IX.8; Lamotte, 1970: 1308.
\(^{162}\) Properly speaking, a slightly different list. The final Cessation of Ideations and Feelings is lacking here, and the Stage of Neither Ideation nor Non-Ideation is designated differently. See the next note.
- In the Stage of Nothingness there is cessation of the subtle and true ideation of the Stage of Infinity of Perception (vīññānañcāyatana-sukhumasaccasaññā).
- In the next and final state, simply described as Cessation (nirodha), there is cessation of all ideations.163

The Successive States became quite prominent in the Buddhist canon and are often said to lead to the vanishing of the Intoxicants (āsrava / āsava), i.e., final liberation (e.g., SN 16.9-11; AN 9.34; 35; MN I.159-60; 174-75; II.42-45; MĀC p. 701b12).

7.2.3. If it is true that the four states - from the Stage of Infinity of Space until the Stage of Neither Ideation nor Non-Ideation - were borrowed from main stream meditation in one form or another, we must assume that originally this list of graded exercises represented a road to liberation quite different from the authentic Buddhist one. Moreover, these states must then have been part of a scheme where liberation was not attained until the death of the body. Our idea that these states were borrowed from outside is therefore confirmed by the fact that several Buddhist schools were indeed of the opinion that an alternative road to liberation led through the Stage of Neither Ideation nor Non-Ideation; arhant-ship is here obtained at the end of one’s final existence. These schools are the Vibhajyavādins, Mahīśāsakas, Theravādins164 and the authors of the Śāriputrabhidharmasāstra165 (Bareau, 1957: 248; 1955: 175, 184, 198, 262).

7.2.4. The above arguments make it likely that the four states discussed came into Buddhism from outside. The following, somewhat speculative considerations may support this.

Space (ākāśa) and perception (vīññāna) are the last two in the list of

163. This stage corresponds to the Stage of Neither Ideation nor Non-Ideation rather than to the Cessation of Ideations and Feelings, for, unlike the latter of these two, it does not stop feelings. There is at any rate nothing in the text to indicate this.

164. Bareau gives no reference for the Theravādins. Cf. Pp 13: yassa puggalassa apubbam acaritam āsavapariyādānaḥ ca hoti jīvapariyādānaḥ ca, ayaṃ vuccati puggalō samāsīsi "The person in whose case no sooner does the termination of sinful tendencies take place than the life terminates. Such a person is said to be one who is ‘equal-headed’." (tr. Law, 1924: 20).

six dhātus, the earlier ones being earth, water, fire and wind (see, e.g., SN II.248). This makes it tempting to think that these earlier dhātus could be added before the above four meditational states. Indeed, in AN V.324 and elsewhere\(^\text{166}\) we find the following list of items which can, but should not be used as objects of meditation: (1) earth, (2) water, (3) fire, (4) wind,\(^\text{167}\) (5) Stage of Infinity of Space, (6) Stage of Infinity of Perception, (7) Stage of Nothingness, (8) Stage of Neither Ideation nor Non-Ideation, (9) this world, (10) the world beyond, (11) whatever is seen, heard, thought, known, obtained, searched, pondered over by the mind.

If we leave out of consideration the last three items of this list, we arrive at something similar to what is described in a passage of the Mokṣadharmaparvan, viz. MBh 12.288.113-15. There we find a successive fixation of the mind (dhāraṇā) on earth, ether, water, fire, ahaṅkāra, buddhi, and avyakta\(^\text{168}\) (cf. Bedekar, 1963b: 25-27; Frauwallner, 1953: 142-43; Hopkins, 1901: 351-52; Barnes, 1976: 66). Frauwallner (1953: 143) observed, no doubt correctly, that it was considered that the Yogin who practised these successive fixations was able to go through the process of creation in reverse order.\(^\text{169}\) It remains none the less possible that both these lists - the one of the Mahābhārata and the one of the Aṅguttara Nikāya - derive from a common ancestor. Their divergent developments may have been determined by ontological and other considerations.

7.3. This may be the place to say a few words about the four Brahmic States (brahmavihāra). As far as I know, the practice of these mental states is nowhere criticized in the Buddhist canon. Nor are these states

\(^{166}\) AN V.7-8; 318-20; 321-22; 353-58.

\(^{167}\) Note that these four (six in the case of MĀ) elements are enumerated as objects of meditation in the Smṛtyupasthāna Sūtra (MN I.57-58; DN II.294; MĀ p. 583b 17-23; EĀ p. 568a23-b1). Schmithausen (1976: 252-53, n. 25) suggests that the four elements in this context are not original and derive from passages like MN I.185 f. and 421 f., where they occur in an analysis of rūpa.

\(^{168}\) The text announces seven fixations but appears to give eight. Are we to exclude buddhi, which has the suffix -tas that is so hard to explain in this context, or should we look upon avyakta as belonging to another category?

\(^{169}\) Recall Eliade’s (1967: 107) remark that the Yogin aimed at the state which preceded creation, primordial unity.
immediately recognizable as belonging to main stream meditation. Indeed, it appears that they are not found in the old Hindu and Jaina scriptures. Yet certain passages in the Buddhist canon show that they were known to and practised by non-Buddhists.

The *Saṃyutta Nikāya* (SN V. 115f) and the *Saṃyukta Āgama* (SĀc p. 197 b15f.) contain the story of Buddhist monks who are embarrassed by heretical wanderers. These heretics claim that the teaching of the Buddha does not differ from their own: both teach the four Brahmic States. In response to this allegation the Buddha is presented as saying that his followers practise the Brahmic States until their highest perfection, leading to purity in the case of benevolence (*maitrī / P. mettā*), to the Stage of Infinity of Space (*ākāśāntyāyatana / P. ākāśānañcāyatana*) for compassion (*karunā*), the Stage of Infinity of Perception (*vijñānāntyāyatana / P. viññānañcāyatana*) for joy (*muditā*), the Stage of Nothingness (*ākiñcanyāyatana / P. ākiñcaññāyatana*) for indifference (*upekṣā*). All this merely confirms the main point: the Brahmic States were practised by non-Buddhists.

The *Pathamamettā Sutta* of the *Aṅguttara Nikāya* (AN II. 128f.) does not even try to show the difference between Buddhists and non-Buddhists in their practice of the Brahmic States. The only difference lies in the result. Both Buddhists and non-Buddhists attain to the state of certain gods as a result of these practices, and remain there for a long but finite period of time. After that the non-Buddhists go to hell, or become animals, or ghosts. The Buddhists, on the other hand, reach Nirvāṇa while in that divine state. We may conclude that at least for some time the Brahmic States were practised identically by Buddhists and certain non-Buddhists.

Some Sūtras indicate that their authors considered the Brahmic States older than and inferior to the practices taught by the Buddha. The *Makhādeva Sutta* (MN II.74-83; MĀc p. 511c-515b; EĀc p. 806c-810b)

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170. They are referred to in Umāsvāti’s *Tattvārthadīghama Sūtra* 7.6 (Jacobi, 1906: 523) but not in the Jaina canon (Schubring, 1935: 191).

171. The term *brahmavihāra* is not used here, but the four practices are described.

172. The Pāli text also brings in the Constituents of Enlightenment (*sambojjhaṅga*). These are absent from the Chinese.
relates how king Makhādeva\textsuperscript{173} and his successors abandon the world as soon as they get grey hair, and practise the Brahmic States.\textsuperscript{174} The Buddha explains that he himself was Makhādeva in an earlier birth, but that Makhādeva’s practices brought him not to the end, whereas the practices now taught by the Buddha lead to liberation (MĀC p. 515a23) and Nirvāṇa (MN II.82; EĀC p. 810b12).

The Pāli version of the Mahāgovinda Sutta (DN II.220-52) indicates the same thing. Mahāgovinda practises the four Brahmic States and reaches the world of Brahman. The Buddha explains that he himself was Mahāgovinda, but that his practice was not satisfactory. Only the Noble Eightfold Path (ariya atthaṅgika magga) leads to enlightenment and Nirvāṇa (pp. 250-51). The fact that the Brahmic States are not clearly included in the Chinese (DĀC p. 30b-34b; T 8, p. 207c-210b) and Sanskrit (Mv III.197-224) versions of this story, makes it probable that they were inserted at a relatively late date, perhaps under the influence of the Makhādeva Sutta.

The assimilation of the Brahmic States to three of the four Stages discussed in § 7.2 may give us a clue regarding the origin of these practices. In both the Brahmic States and the four Stages we find a heavy emphasis on infinity. In the four Brahmic States the world is pervaded by the mind which is suffused with benevolence, compassion, joy and equanimity respectively. It seems reasonable to assume a historical connection with the reflection on infinity of the Jainas (see § 7.2.1 and § 3.3, above).

7.4. We see that there is much reason to think that the influence from main stream meditation on Buddhist meditation was already widespread in canonical times. That this was solely due to the relatively small number of active Buddhists as compared with the much larger number of those who practised main stream meditation seems unlikely. Another factor must have been at work. Already early in the history of Buddhism there was uncertainty about the details of the practice taught by the

\textsuperscript{173} The Chinese ( ) presupposes rather ‘Mahādeva’.

\textsuperscript{174} The Chinese versions have which also translates brahmacarya. However, the specifications given in the Ekottara Āgama (p. 808b15-16; c11-12; 809a21; 810a13-14) leave no room for doubt.
Buddha. This explains why the Buddhist canon contains so many contradictions, some of which we have studied above. It also explains why very early disagreement arose about the nature of an arhat (see Bareau, 1957; La Vallée Poussin, 1937b). This uncertainty opened the door to foreign elements which could take the place of original but little understood elements. In this way outside influence could touch the very heart of the teaching of the Buddha. In this light we shall study some other questions.

Before we turn to these questions, let us see what remains that can be considered authentic Buddhist meditation in view of the conclusions of the present chapter. The Four Dhyānas and the subsequent destruction of the intoxicants survive the present analysis easily. I know of no indications that they too must be looked upon as due to outside influence. Moreover, they occur very frequently in the canonical scriptures and already made the impression on other investigators of belonging to the oldest layers of the tradition.175

Closely connected with the Four Dhyānas is the practice of ‘mindfulness’ (smṛti / sati). Mindfulness is mentioned in the description of the Four Dhyānas, but is also independently described in the canon. It is possible that, originally, mindfulness merely concerned the body (Schmithausen, 1976: 253). It may have been borrowed from outside movements, because it appears to be known to Jainism (Schmithausen, 1976: 254). But this is no reason to doubt its role in original Buddhism, for mindfulness is nowhere criticized in the Buddhist canon, nor does it conflict with other practices accepted by the Buddhists.

VIII. Influence on Buddhist meditation (II).

8.1. In the preceding chapter we discussed the influence of main stream meditation on the techniques of Buddhist meditation. In the present chapter we shall examine the extent to which main stream ideas influenced the Buddhist conception of liberation and its commencement.

Recall that main stream asceticism led to liberation after death. Only where ascetic practices were wholly or partly replaced by insight, could the decisive transition take place in this life. Buddhism too promised liberation in this life (as will be shown in § 8.2). This leads us to expect two developments in Buddhism under outside influence: (i) liberation in Buddhism will tend to be postponed to the time after death (§ 8.3); (ii) liberating insight will tend to take an explicit form and a central position (§ 8.4).

8.2. Numerous canonical passages confirm that Buddhism preached liberation in this life, i.e., before death. The Buddha himself is said to have reached liberation at his moment of enlightenment, attaining Nirvāṇa and accomplishing his task at that time (see Bareau, 1963: 72-79). But with respect to others, or in general descriptions, the aim of the religious life is also said to be attained, or attainable, in this life (drṣṭe dhamre / dīṭhe va dhamme). A special case is constituted by the oft-repeated formula: “Soon N. - having himself, in this very life, by means of his intuition witnessed that highest end of the religious life for which sons from good families completely go forth from their house to the state of houselessness - reached [that end] and remained there, and recognized: ‘Birth is destroyed, the religious life has been lived, what had to be done has been done, there is no more of this state [of existence]’.” (in Pāli : ... na cirass’ eva yass’ atthāya kulāputtā sammad eva agārasmā anagāriyāṃ pabbajanti, tad anuttaraṃ brahmacariya-pariyosānaṃ dīṭhe va dhamme sayaṃ abhiññā sacchikatvā upasampajjā vihāsi ‘khīṇā jāti, vusitaṃ brahmacariyaṃ, kataṃ karāṇiyaṃ, nāparaṃ

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176. E.g. DN I.167f.; II.71; MN I.55, 71; SN II. 15, 46; AN I.50; cf. DĀc p. 34a24, p. 103c21; MĀc p. 596a24; SĀc p. 99a22, b7; EĀc p. 811b12; T. 1428, p. 788a4. See also Kumoi, 1969: 209.
The teaching of the Buddha is similarly characterized as ‘belonging to this life’ (sāmdṛṣṭika / sandiṭṭhika) and ‘inviting to come and see’ (chipaśyika / chipassika).\(^{178}\) The attribute akālika, which often occurs along with the preceding two, appears to mean ‘not connected with death’ (Bronkhorst, 1985b) and draws attention to the this-worldly relevance of the message of the Buddha as well. Sometimes these or similar attributes describe Nirvāṇa,\(^ {179}\) which is thus seen to be attainable in this life. Both Nirvāṇa and Arhat-ness (arahatta) are defined as ‘destruction of desire, destruction of hatred, destruction of delusion’ (rāgakkhaya, dosakkhaya, mohakkhaya) in SN IV.251-52, which indicates that the two are identical or, at any rate, related and therefore (also) part of this world.

8.3. The tendency to postpone liberation until after death becomes visible in those canonical passages which distinguish between Nirvāṇa - qualified in Sanskrit and Pāli as ‘without a remainder of upadhi / upādī’ (anupadhiśeṣa / anupādisesa) - and the ‘highest and complete enlightenment’ (anuttara saṁyaksambodhi / saṁmāsambodhi).\(^ {180}\) The former occurs at death, the latter in life.

The Nigrodhakappa Sutta of the Suttanipāta (Sn 343-58) also assigns Nirvāṇa to the time after death. The prose introduction tells us that Nigrodhakappa is aciraparinibbuta “recently entered into Parinirvāṇa”. The first verse states that he is dead (kālam akāsi). And Sn 354 (= Th 1274) asks: “Has he reached Nirvāṇa or is he with a remainder of upādī? Let us hear if he was liberated.” (nibbāyi so ādu sa-upādiseso, yathā vinutto ahu tāṃ supoma).

Some passages, esp. in the Mahāparinirvāṇa Sūtra, speak about the

\(^{177}\) E.g. DN I.177; II.153; SN I.140, 161; AN II.249; III.70; Ud 23; Sn p. 16; p. 111-12; cf. MN I.172, 177; MPS p. 380-82; DĀC p. 104c12-14; p. 25b22-25; p. 39a2-9; SĀC p. 309a16-17; EĀC p. 612b24-26.

\(^{178}\) E.g. DN II.93, 217; MN I.37, 265; SN I.9, 220; AN I.149, 207; Mv III. 200.

\(^{179}\) AN I.158-59; IV.453-54; Ud 37.

\(^{180}\) E.g. DN II.108-09; III.135; AN II.120; IV.313; Ud 85; MPS p. 216-18; cf. DĀC p. 16a8-14; EĀC p. 753c23-26; T. 7, p. 192a1-5.
death of the Buddha as his ‘Parinirvāṇa’.\(^{181}\) (It is understandable that the opinion could arise that the term ‘Parinirvāṇa’ referred to the state after death of the Buddhist saint, ‘Nirvāṇa’ to the state while he was alive. This does not however appear to be correct. The canon also uses the term ‘Parinirvāṇa’ with reference to living men.\(^{182}\) See Franke, 1913: 180n.; Thomas, 1947; Nyanatiloka, 1976: 160-61 (s.v. parinibbāna).

The Dhammasaṅgāṇī (Dhs 1017-18) differentiates between Arhant-ness (upariṭṭhimam arahattaphalam) and Nirvāṇa (asaṅkhata dhātu), disagreeing with SN IV.251-52 discussed above. See C.A.F. Rhys Davids, 1900: 153-54, 342.

There is one canonical passage (It 38-39) where the conflict between Nirvāṇa in this life and Nirvāṇa after death is resolved by distinguishing two kinds of Nirvāṇa: with and without a remainder of upādi (Skt. upadhi; the terms used are sa-upādisesa and anupādisesa);\(^{183}\) the former applies to Nirvāṇa in this life, the latter to Nirvāṇa after death. We saw that the distinction between Nirvāṇa without a remainder of upādi on the one hand, and enlightenment on the other, is more common in the canon. Introducing a ‘Nirvāṇa with a remainder of upādi’ was consequently a rather obvious thing to do. We do not, however, have to know the exact significance of upādi\(^{184}\) in order to discover that the idea of a Nirvāṇa with a remainder of upādi does not agree with the use of the word elsewhere in the canon. An oft-recurring formula describes the two fruits of which certain advanced disciples will obtain either the one or the other: “perfect knowledge in this life, or - in case there is a remainder of upādi - the state of being a non-returner” (diṭṭhe va dhamme aññā, sati vā upādisese anāgāmite).\(^{185}\) Some other passages\(^{186}\) use both the terms anupādisesa and sa-upādisesa with reference to living monks. In Sn 354

\(^{181}\) E.g. DN I.204, II.140; SN V. 260-62; AN IV. 310-11; Ud 63-64; Th 1045; MPS p. 192; T. 5. p. 169a23; T. 6. p. 185b15; T. 7. p. 199b16-19; MĀC p. 515b19.

\(^{182}\) E.g. DN III.55, 97; MN I.235; AN I. 204-05; II.167; Sn 359; SĀC p. 57c8.

\(^{183}\) Note that the Chinese translations, where they translate these terms at all, often skip the word upādi in it: sa-upādisesa corresponds to . See e.g. DĀC p. 16a13; MĀC p. 584b17, 20, 23; p. 752c2; EĀC p. 753c25.

\(^{184}\) For opinions, see Welbon, 1968: 208-20; Bhattacharya, 1968.

\(^{185}\) AN IV. 75-78; 379-81.
(= Th 1274) the Buddha is questioned about the fate of Nigrodhakappa who died: “Has he reached Nirvāṇa or is he with a remainder of upādi?” (see above). We must conclude that the distinction between Nirvāṇa with and without a remainder of upādi, in spite of its later currency (see La Vallée Poussin, 1925: 171-77, 179-80), was initially no more than an attempt to find a middle course between the original idea of Nirvāṇa in this life and the later tendency to place Nirvāṇa after death.

Another solution of the problem of the two Nirvāṇas also came to be adopted. The highest stage of meditation - here nirodhasamāpatti, or saṃjñāvedayitanirodha - is said to be similar to Nirvāṇa, or touching it. (See La Vallée Poussin, 1937b: 213 f.; Schmithausen, 1981: 241, 219 n.67.) This opened the possibility for a Nirvāṇa which is really situated after death but can be anticipated in life.

8.4. The early Buddhists believed in liberation in this life. They must therefore have often been asked which is the insight by which one is liberated. For the mainstream of meditation could only acknowledge liberation in life after one had acquired insight into the nature of the soul (above, ch. V). The Buddhists could not answer by saying that the soul is essentially not involved in action, as their opponents did. A firm tradition maintained that the Buddha did not want to talk about the soul, or even denied its existence.187 Instead they adopted what they considered most essential to the Buddhist doctrine as liberating insight. We shall see that (1) this liberating insight varied along with what was considered most central to the teaching of the Buddha (§ 8.4.1); (2) insight and practice vied with each other, just as they did in mainstream meditation (§ 8.4.2); (3) the Buddhist texts leave scope for the possibility that originally the liberating insight was not described in any explicit form - they even support this to some extent (§ 8.4.3). These three points go a long way to show that the explicit descriptions of the content of liberating insight are not original to Buddhism, and were added under the influence of main

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187. It is possible that original Buddhism did not deny the existence of the soul (Frauwallner, 1953: 217-53; Schmithausen, 1969: 160-61; Bhattacharya, 1973; Pérez-Remón, 1980; Vetter, 1983). One reason why it did not want to talk about it may well be that conceptions of the soul were too closely connected with the methods of liberation described in Part II, above.
stream meditation.

8.4.1. In order to show that liberating insight in Buddhism varied along with what was considered central to the teaching of the Buddha, it is enough to recall some articles by Lamotte (1977, 1980) and esp. Schmithausen (1981). I quote Schmithausen (1981: 211-12):

The principle that Enlightenment and, analogously, Liberating Insight\textsuperscript{188} are essentially characterized (and perhaps rendered effective) by the fact that ... their content must consist of, or at any rate contain, the most fundamental truth, can be observed to have been valid also in later periods, for we find that such concepts also were taken to be constitutive or essential to both as are expressive of what was, later on, regarded to be the most fundamental truth. E.g., in some obviously more or less later descriptions of Enlightenment or Liberating Insight, the Comprehension of the four Noble Truths is supplemented\textsuperscript{189} or even supplanted\textsuperscript{190} by the Comprehension of Origination-in-Dependence (\textit{pratītyasamutpāda}) - in its two forms of \textit{anuloma} and \textit{pratiloma} corresponding to \textit{samudaya}- and \textit{nīrodhasatya}, respectively\textsuperscript{191} -, a fact which is easily understood if we bear in mind that, as an expression of the most fundamental soteriologically relevant truth, \textit{pratītyasamutpāda} seems to have gradually superseded the four Noble Truths. In most of the \textit{Hinayāna} schools, however, it was in its turn later superseded by the doctrine of the non-existence of a substantial self or person (\textit{[pudgala]-nairṛtmya}). Accordingly, it is not surprising to find this new fundamental truth, too, becoming the major content of Liberating Insight, which, e.g., according to one of three

\textsuperscript{188} Schmithausen uses “the term ‘Enlightenment’ with exclusive reference to the (historical) Buddha, and the term ‘Liberating Insight’ either with special reference to his Disciples (śrāvaka), or in a comprehensive sense including both Enlightenment and the Liberating Insight of the Disciples” (1981: 199).

\textsuperscript{189} Schmithausen refers in a footnote to Waldschmidt, 1967: 410f.

\textsuperscript{190} Schmithausen refers to Nobel, 1955: 8 (translated p. 57-59) and texts like SN 12.65.

\textsuperscript{191} Schmithausen refers again to SN 12.65.
alternative explanations found in the Śāriputrābhidharma,\(^{192}\) consists in a realization of all the four Noble Truths under the aspect if ‘Lack of Self’.

Schmithausen (1981: 219 f.) further points at other forms which liberating insight has in the Buddhist canon;\(^{193}\) “that the five Skandhas are impermanent, disagreeable, and neither the Self nor belonging to oneself”;\(^{194}\) “the contemplation of the arising and disappearance (udayabbaya) of the five Skandhas”;\(^{195}\) “the realization of the Skandhas as empty (rittaka), vain (tucchaka) and without any pith or substance (asāraka)”.\(^{196}\)

8.4.2. The competing roles of insight and practice already in canonical Buddhism have been pointed out by La Vallée Poussin in his article “Musīla et Nārada” (1937b; cf. Schmithausen, 1981: 214 f.; Griffiths, 1981). Musīla (in SN II. 115 f.) represents those who know and thereby reach the goal. Nārada is one of those who strive to reach the goal through direct experience. The canon also shows that attempts were made to remove the opposition between these two groups, e.g., in AN III.355 f. La Vallée Poussin further shows that all three schools - of knowledge, of direct experience, and of their combination - survive in later times. These are the same schools which we met in main stream meditation. La Vallée Poussin rightly identifies the group represented by Musīla with sāṁkhya, the group of Nārada with yoga, as defined in the Bhagavad Gītā. We must look upon this parallelism as due to influence

\(^{192}\) At T. 1548, p. 595a3ff. Schmithausen further draws attention to Paṭis II.105: kathā ākārehi cattāri saccāni ekapaṭivedhāni ? catāḥū ākārehi ... : tathaṭṭhena, anattaṭṭhena, ... ; Paṭis-a 594: anattaṭṭhena ti: catunnaṃ saccānaṃ attavirahitattā ... and explains that “in the latter passage, sacca has, of course, to be understood in a collective sense as denoting the totality of those dharmas the nature of which is Suffering, etc.”

\(^{193}\) For later views see Schmithausen, 1981: 240f.

\(^{194}\) This is mentioned at Vin I. 13-14; MN I. 138-39; III. 19-20; 278-80; SN II. 124-25; III. 21-24; 195-98; 223; etc.; cf. further MN I. 500; III. 286-87; SN II. 244-52; etc. All these places have a formula in common which - as Schmithausen (1981: 219-20, n.69) has rightly argued - contains traces to show that originally it belonged in another context, in the stereotyped detailed description of the Path of Liberation, as Schmithausen calls it.

\(^{195}\) AN II. 45.

\(^{196}\) SN III. 140-42.
from main stream meditation on Buddhism. The explanations of the idea that liberation is obtained merely through insight given by La Vallée Poussin - that insight without meditation makes liberation accessible to more than just a few (1937b: 206) - and by Schmithausen - that there was an awareness of the difference of situation between the Buddha’s Enlightenment and the Disciple’s Liberating Insight, and that psychological plausibility was sought (1981: 222) - may add to our understanding, but only after we know that ideas of this type were already exerting an influence from the side of main stream meditation.

Those who emphasized practice did so usually in connection with the Cessation of [all] Ideations and Feelings (saññāvedayitaniruddha / saññāvedayataniruddha). What is particularly interesting is that in certain schools this state came to be looked upon as similar to Nirvāṇa, an anticipation in this life of Nirvāṇa; Nirvāṇa itself, and therefore liberation, was postponed until after death, just as was the case in main stream asceticism. See § 8.3, above.

8.4.3. In the stereotyped detailed description of the path of liberation which often recurs in the Buddhist canon (see Schmithausen, 1981: 203 f.) liberating insight takes place in the Fourth Dhyāna. It is described thus (e.g. MN I.23; cf. DN I. 83-84, 209; MN I. 183-84, 348; AN I. 165; II. 211; etc.):197

Then, when my mind was thus concentrated, pure, cleansed, free from blemish, without stain, supple, ready, firm, immovable, I directed my mind to the knowledge of the destruction of the intoxicants. Then I recognized in accordance with reality ‘this is suffering’, I recognized in accordance with reality ‘this is

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197. so evam samāhite citte parisuddhe pariyođhate anaügane vigatüpakklese mudatühte kamamiyye thile änejjappate äsavānam khayanàyeya cittam abhinnamānesim / so idam dukkhan ti yathābhūtaṃ abbaññāsim ayam dukkhasamudayo ti yathābhūtaṃ abbaññāsim, ayam dukkhanirodho ti yathābhūtaṃ abbaññāsim, ayam dukkhanirodhaminī paramī tatiyapadā ti yathābhūtaṃ abbaññāsim / ime äsavā ti yathābhūtaṃ abbaññāsim, ayam āsavasamudayo ti yathābhūtaṃ abbaññāsim, ayam āsavam etiya yathābhūtaṃ abbaññāsim, ayam āsavam etiya yathābhūtaṃ abbaññāsim, ayam āsavam etiya yathābhūtaṃ abbaññāsim, ayam āsavam etiya yathābhūtaṃ abbaññāsim / tassa me evam jānato evam passato kāmasavā pi cittam vimuccttha, bhavāsavā pi cittam vimuccttha, avijjasavā pi cittam vimuccttha / vimutassim vimuttaṃ iti nānaṃ ahosi / khitā jāti, vusitaṃ brahmacariyaṃ, katho karaniyaṃ, nāpare itthattāya ‘ti abbaññāsim
origin of suffering’, I recognized in accordance with reality ‘this is the cessation of suffering’, I recognized in accordance with reality ‘this is the path leading to the cessation of suffering’. I recognized in accordance with reality ‘these are the intoxicants (āsava)’, I recognized in accordance with reality ‘this is the origin of the intoxicants’, I recognized in accordance with reality ‘this is the cessation of the intoxicants’, I recognized in accordance with reality ‘this is the path leading to the cessation of the intoxicants’. Then, when I knew and saw this, my mind was liberated from the intoxicant of desire, and from the intoxicant of existence, and from the intoxicant of ignorance. In [the mind thus] liberated the knowledge arose that it was liberated. I recognized: ‘Birth is destroyed, the religious life has been lived, what had to be done has been done, there is no more of this state [of existence].’

In many passages this insight is preceded by two other insights, but those must be later additions (see below, § 9.2.7). Consequently we can concentrate on the present passage.

There can be no doubt that this passage does not represent the original account of enlightenment (so also Schmithausen, 1981: 205). The recognition of the intoxicants, their origin, cessation, and the path leading to their cessation is obviously modelled on the pattern of the recognition of suffering, its origin, cessation, and the path leading there. It is tempting to follow Bareau (1963:87) in thinking that the recognition of the intoxicants, their origin, etc., was added later to the text.198 This would also solve problems relating to the origin of the intoxicants (Schmithausen, 1981: 205-06). Yet we may share Schmithausen’s (1981: 206) misgivings about dropping this part, for āsava “seems to be a key term of the whole passage”.

The truth seems to be that the part on the recognition of the intoxicants, their origin, etc., is a bridge linking the recognition of the Four Noble Truths (suffering, its origin, etc.) with the destruction of the intoxicants. This bridge was necessary because destruction of the intoxicants is mentioned just before and after the Four Noble Truths.

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198 Some versions are without it; see Schmithausen, 1981: 205 n. 21.
This bridge - regardless of the question whether it was added by the composer of this passage or later - therefore emphasizes the fact that the Four Noble Truths just do not fit here. They do not fit because the connection between their knowledge and the destruction of the intoxicants is not clear.

But the Four Noble Truths do not fit in this context for another far more serious reason. Recognition of the Four Noble Truths culminates in knowledge of the path leading to the cessation of suffering. This is useful knowledge for someone who is about to enter upon this path, but it is long overdue for someone at the end of the road. Knowledge of the path must and does precede a person commencing upon it. This also applies to the Buddha himself. In the passage which we studied above (§ 1.5, MN I.246-47) we were told that the Bodhisattva remembered how once in his youth, he reached the First Dhyāna and wondered if this could be the road towards enlightenment. The text then continues: “following this memory I had this knowledge: ‘This is really the road towards enlightenment’.” In other words, also the Bodhisattva knew the path he was to traverse, and knowledge of the Four Noble Truths could not thereafter bring him anything new.

We observed that knowledge of the Four Noble Truths must come at the beginning of the path leading to ‘the cessation of suffering’. We find this confirmed in many places in the Buddhist canon. The first sermon which the Buddha is supposed to have preached deals with them in many of its versions (Bareau, 1963: 172 f.; Feer, 1870; Waldschmidt, 1951: 96 f. (176 f.)). Here his listeners are obviously completely uninitiated in the Buddhist doctrine. Elsewhere the Four Noble Truths are often presented as the preaching of the Buddha in a nutshell, as in the following passage (SN V.438; similarly DN I.189; MN I.431; SN II.223; DĀC p.111a21-22; MĀC p.805c2-3): 199

What then, monks, have I taught? ‘This is suffering’; thus, monks, have I taught. ‘This is the origin of suffering’; thus have I taught. ‘This is the cessation of suffering’; thus have I taught.

‘This is the path leading to the cessation of suffering’; thus have I taught.

Here too they constitute what an aspirant must know before he can actually go the path and become liberated.

The Four Noble Truths are specified at a number of places. The specification shows what we knew already, viz., that the Four Noble Truths must be known before one can properly start out upon the path; the reason is that the Four Noble Truths specified contain a description of the path to be traversed. I translate the Pāli version:

- This moreover, monks, is indeed the Noble Truth of suffering. Birth is suffering, union with what is not dear is suffering, separation from what is dear is suffering, that one does not get what one desires is suffering. In short, the five aggregates of grasping are suffering.
- This moreover, monks, is indeed the Noble Truth of the origin of suffering. It is the thirst which leads to renewed existence, is accompanied by enjoyment and passion, finding its delight here and there, viz., thirst for sensual pleasure, thirst for existence, thirst for non-existence.
- This moreover, monks, is indeed the Noble Truth of the cessation of suffering. It is the complete detachment from and cessation of that same thirst, its rejection, renunciation, the liberation from it, the absence of attachment to it.
- This moreover, monks, is indeed the Noble Truth of the path leading to


201 idam kho pana, bhikkhave, dukkham ariyasaccam / jati pi dukkhå, jarå pi dukkhå, vyådhi pi dukkhå, maranam pi dukkhå, appiyehi sampayogo dukkho, piyehi vippayogo dukkho, yam p’yecham na labhati tam pi dukkham / samkhettana, pañci’ppå®a®nakkhandhå®pi dukkha® / idam kho pana, bhikkhave, dukkhasamudayam ariyasaccam / y’ya®m tanhå® ponobbhavi® nandirågasahagatå® tatratatråbhinandini, seyyathi’dam: kåmata®hå®, bhavatåhå®, vibhavatåhå® / idam kho pana, bhikkhave, dukkham ariyasaccam / y’yo tasså yeva tanhå®ya® asesaviråga nirodho, cågo, patimissaggo, mutti, a®llåyo / idam kho pana, bhikkhave, dukkham ariyasaccam / patipadå® ariyasaccam / ayam eva ariyo ått’hå‘kå® maggo, seyyathi’dam: sammåditthå®, sammåsåkkappo, sammåvåcå® / sammåsåkkappo, sammåsåkkappo, sammåvåyå®mo, sammåsåttå®, sammåsåttå® / On the irregular gender of -nirodham and -samudayam, see von Hinüber, 1976: 39 n. 28.
the cessation of suffering. It is the Noble Eightfold Road, viz., right view, right intention, right speech, right action, right livelihood, right exertion, right mindfulness, right concentration.

A number of versions of the account of the Buddha’s first sermon give evidence that the Buddhists themselves did not feel comfortable about recognizing the Four Noble Truths as liberating insight. They put into the mouth of the Buddha some remarks with respect to each of these, to the extent that the Noble Truth of suffering had to be fully known by him, then that it was actually fully known by him; the Noble Truth of the origin of suffering had to be abandoned, then was indeed abandoned; the Noble Truth of the cessation of suffering had to be seen with his own eyes, then it had indeed been seen with his own eyes; the Noble Truth of the path leading to the cessation of suffering had to be practised, then it had actually been practised by him. It is likely that these remarks are later additions to the text. But it can be seen that they change the picture of the Buddha at his moment of enlightenment considerably. No longer does he simply know suffering, its origin, its cessation, and the path leading thereto. He now knows suffering, has abandoned the origin of suffering, has seen with his own eyes the cessation of suffering, and has completed practising the path leading to the cessation of suffering. The ill-fitting ‘liberating insight’ has in this was become something quite different from just an insight. The fact that the texts add that all this was clearly realized by the Buddha does not alter this at all.

The different versions of the first sermon in Benares show another peculiarity, to which Bareau (1963: 178-81) has drawn attention. The versions which belong to Vinaya texts (Vin I. 10-11; Mv III.331f.; T.1421, p. 104b28-c17; T.1428, p. 788a14-b23) and the versions which have apparently been adjusted to or influenced by the Vinaya versions (SN V.421-23; CPS p. 142 f.; SĀC P 103c14f.; T.109; T.110) contain the part dealing with the Four Noble Truths; the versions which belong to Sūtra texts (MN I. 171-73; MĀC p 778a; EĀC p 593b24f.) do not. This seems to indicate that initially those Four Noble Truths were not part of

202 Vin I. 11; SN V. 422; CPS p. 146-48; T. 1421 p. 104c7-17; T. 1428 p. 788a16-b14; cf. SN V. 424-25, 436; Mv III. 332-33.
the sermon in Benares, and consequently probably not as central to Buddhism as they came to be. We may surmise that the concise formulation of the teaching of the Buddha in the shape of the Four Noble Truths had not yet come into being, not necessarily that the contents of this teaching deviated from what they were meant to express.\footnote{Note that \textit{Dhammapadā} 191 expresses the same truth in different words: \textit{dukkham dukkhasamuppādaññ, sukhassā ca atikkamaññ / ariyaññ c’āṭṭhaṅkikaññ maggaññ, dukkūpasamagāminanñ}. Cf. Feer, 1870: 418f.} If then the Four Noble Truths did not yet exist when the primitive version of what came to be known as the \textit{Dharmacakrapravartana Sūtra} was composed, we can be sure that in that time they were not considered as constituting the insight which immediately preceded and brought about liberation. Let us be clear about it that we are not sure that the Four Noble Truths had not yet been formulated in earliest Buddhism. But the indications in that direction which we possess go a long way toward undermining the idea that these Four Noble Truths constituted liberating insight in earliest Buddhism.

If then, in all probability, neither the Four Noble Truths nor any of the other, later, specifications of liberating insight which we find in the Buddhist scriptures played this role in earliest Buddhism, how could they come to fill this place? One answer we know already: it is likely that the Buddhists were often asked what their liberating insight was like because they believed in liberation in this life. It may be, however, that another factor aided this development. The Buddhist texts often speak about ‘insight’ (\textit{prajñā / paññā}) as something immediately preceding liberation\footnote{E.g. DN I. 206, II. 81, 91; AN II. 1-2, III. 15-16, IV. 105-06; It 51; Th 634; MPS p. 160, 228; DĀ p. 12a20f., p. 13a3-4; MĀ p. 486c23f.; T. 6 p. 178b5-6; T. 1421 p. 135b7.} or characterize the teaching of the Buddha as especially concerning \textit{sīla} (‘morality’), \textit{samādhi} (‘concentration’) and \textit{prajñā} (‘insight’), to which sometimes \textit{vimukti} (‘liberation’) is added.\footnote{See Schmithausen, 1981: 216, and note 33 below.} This may have made it plausible to the Buddhists themselves that the Buddhist doctrine knew some ‘liberating insight’ as well which had to be specified. The choice fell on the Four Noble Truths and on the other contents which we have seen were subsequently given to this insight.
What I propose can be expressed more specifically. Perhaps the passages which now contain a description of ‘liberating insight’ as consisting in the Four Noble Truths etc., originally merely made a short reference to *prajñā*. Later tradition inserted the Four Noble Truths etc. in the place of *prajñā* wherever possible. Such a replacement was not however possible in the contexts where liberation comes about while there is Cessation of Ideations and Feelings (*saññā- / saññāvedayita-nirodha*). There is properly speaking no place for such an insight here because there are no ideations (see Schmithausen, 1981: 216-17; La Vallée Poussin, 1937 b: 220). The replacement was not made and the older short reference to *prajñā* - which originally belonged after the description of the Four Dhyānas - survived only here.

This proposal, though hypothetical, explains the facts which confront us in the extant canon. However, it raises another question. If *prajñā* was originally not intended to refer to the Four Noble Truths etc., what then was it? And whatever it was, does not this term clearly point to some kind of liberating insight?

The answer to these questions must be that *prajñā* referred to some unspecified and unspecifiable kind of insight. The reason to think so is as follows. If my reconstructions up to now are correct, *prajñā* became necessary at the stage where the aspirant had reached the Fourth Dhyāna. It is not in accordance with the line of approach adopted in this book to try and specify what kind of psychic state this fourth Dhyāna - or any of the other Dhyānas - is. It will be agreed, to use very general terms, that it must be a state of consciousness different from what we call normal. After reaching the fourth Dhyāna the next step consists in the ‘destruction of the intoxicants (āsavā/āsrava)’. I have little doubt that this phrase ‘destruction of the intoxicants’ sounded almost as mysterious to the early listeners to the Buddha’s words as it sounds to us, the reason being that it apparently refers to an inner-psychic process, the conditions for which are not fulfilled until the fourth Dhyāna has been reached. This means that the aspirant had to find his way to the most crucial and

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207 Since Cessation of Ideations and Feelings appears to be a borrowed element in Buddhism (ch. VII, above), its mention in descriptions of liberation must be looked upon as a later adjustment of an earlier text. This explains the puzzling mention of insight (*prajñā*) in a state without ideations.
decisive steps of the process which he was undergoing while in a state of changed consciousness! One does not need to refer to psychiatric literature in order to know that many altered states of consciousness rather have the tendency to make a person lose his way. All this makes it plausible that the aspirant who had reached the fourth Dhyāna could do with, or rather could not do without, an insight into his psychic state and its possibilities. This, I propose, is prajñā.208

If this proposal is correct, it is not without consequences for the way the Buddha must have taught his advanced disciples. General statements - such as the Four Noble Truths etc. - would not be of help to them, but rather personal advice, adjusted to the needs of each person. It is therefore in direct support of the above proposal that the two main Sūtras which record the ‘first sermon’ of the Buddha without mentioning the Four Noble Truths, continue in a way which leaves no doubt regarding the personal nature of the Buddha’s instruction (MN I. 173; similarly MĀc 778a3-5; cf. Bareau, 1963: 183f.):209

I could indeed, monks, convince the monks belonging to the group of five. Monks, I instructed two monks, [while] three monks went for alms. What the three monks who had gone for alms brought with them, we six lived on that. Monks, I instructed three monks, [while] two monks went for alms. What the two monks who had gone for alms brought with them, we six lived on that.210

I do not claim that this passage embodies a memory of an historical event. It does, however, appear to preserve the idea of how the early

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208 This seems confirmed by, or at any rate in agreement with, phrases like āsavānam khayo paññāya sacchikaranīyo (DN III. 230; AN II. 183; cf. DĀc p. 51a12); paññāya ca me / c’assa disvā āsavā parikkhayam agamansu / parikhīnā honti (e.g. MN I. 160, 175; AN IV. 448, 453; cf. MĀc p. 582a29; p. 701b12; see Schmithausen, 1981: 216 n.55); paññāparibhāvitaṃ cittaṃ sammad eva āsavehi vimuccati (e.g. DN II. 81, 91; cf. MPS p.160, 228; DĀc p. 12a21-23).

209 asakkhiṃ kho ahaṃ bhikkhave pañcavaggīye bhikkhū saññāpetum / dve pi sudām bhikkhave bhikkhū ovdāmi, tayo bhikkhū piṇḍāya caranti / yam tayo bhikkhū piṇḍāya caritvā āharanti tena chabbaggo yāpema / tayo pi sudām bhikkhave bhikkhū ovdāmi, dve bhikkhū piṇḍāya caranti / yam dve bhikkhū piṇḍāya caritvā āharanti tena chabbaggo yāpema /

210 Note that according to the Nīdānakathā (p. 82) four of the five monks are each instructed individually, while the remaining four go for alms. See Waldschmidt, 1951: 96 (176).
monks conceived what the Buddha’s instruction had been like.

It is no doubt significant that the versions of the ‘first sermon’ which do mention the Four Noble Truths - the ones which occur in Vinaya texts or are influenced by them - do not contain the above episode (CPS p.142 f.; T. 1421, p. 104b-105a; SN V. 421-24) or preserve part of it in a context which completely changes the meaning of it (Vin. I.13; T. 1428, p. 789a). The five monks, moreover, become enlightened while the Buddha is still preaching. This shows that the accounts which include the Four Noble Truths had a completely different conception of the process of liberation than the one which includes the Four Dhyānas and the subsequent destruction of the intoxicants. This too supports our thesis that the Four Noble Truths were inserted later in the description of liberation by way of the Four Dhyānas and the destruction of the intoxicants. This modified description represents a hybrid of two views of the matter: according to one view an insight into the Four Noble Truths is sufficient for enlightenment; according to the other view liberation is rather attained by way of the Four Dhyānas and the destruction of the intoxicants. We cannot but be struck, once again, by the parallelism with main stream meditation, where we also find insight alone, practice alone, and the combination of both insight and practice as different ways to reach the goal. It is reasonable therefore to suspect influence from that side.

We can sum up the results of this section by stating that there is good reason to think that the Four Noble Truths did not constitute liberating insight in the earliest period of Buddhism. However, they were apparently considered to do so before any of the other ‘liberating insights’ which we find specified in the canon took their place. We must conclude that if the earliest Buddhist tradition acknowledged the existence of any liberating insight at all - and it possibly did - this insight remained unspecified. One of the main reasons why it came to be specified must have been that in main stream meditation liberation in life was always accompanied by an explicit ‘liberating insight’.
IX. The origin of Buddhist meditation.

9.1. We have seen that Buddhist meditation formed a tradition different from the meditation and ascetic practices found in Jainism and in many Hindu scriptures. There is little reason to doubt that this main stream of asceticism existed before the beginnings of Buddhism, i.e. before the historical Buddha. It is a far more interesting question, however, whether Buddhist meditation existed before the Buddha. This will be investigated in the present chapter.

9.2. Nothing like Buddhist meditation is, understandably, referred to in early Jaina literature. Vedic literature is for the most part silent about any form of meditation. Not until the oldest Upaniṣads do we find any references to it. The earliest sentence\textsuperscript{211} that is of interest to us is \textit{Brhadāranyaka Upaniṣad} (BĀU) 4.4.23: \textit{tasmād evamvic chānto dānta uparatas titikṣuḥ samāhits\textsuperscript{212} bhūtvātmāṁ evātmānam, paśyati} “Therefore, knowing this, having become calm, subdued, quiet, patiently enduring, concentrated, one sees the soul in oneself.”

It is most probable that this sentence refers to main stream meditation. However, its brevity and consequent lack of information leave this to some extent undecided. In order to invalidate the opinion that perhaps this sentence refers to an earlier form of meditation of the Buddhistic type, I shall try to show that this sentence is later than the beginnings of Buddhism, i.e., later than the Buddha. I shall present a number of arguments, of varying force, in support of this.\textsuperscript{213}

\textsuperscript{211} Chāndogya Upaniṣad 8.15 has ... ātmani sarvendriyāni sampratisthāpy[a] ... “having concentrated his senses upon the soul”. This, if it refers to meditation at all, then clearly to that of the main stream. Taittiriya Upaniṣad 2.4 identifies a number of abstract things with the parts of a person. Here the phrase occurs: \textit{yoga ātmā}. This is most naturally translated: “exertion is the body”. There is no reason whatever, contextual or otherwise, to think that \textit{yoga} here refers to anything like meditation. The word \textit{yoga} is not attested in that sense until rather late; even the entry \textit{yuja samādhau} in Pāṇini’s Dhātupātha (IV.68) was added after Patañjali (Bronkhorst, 1983: § 1).

\textsuperscript{212} Thus the Kāṇva version. The Mādhyandina version has \textit{sraddhāvittah}.

\textsuperscript{213} I am of course looking forward to the definitive study announced by M.Witzel (e.g. StII 13/14, 1987, p.407 n.96).
9.2.1. My first argument is based on Horsch, 1966: 391f. I shall briefly and in a somewhat modified way restate Horsch’s view.

Sūtra 4.3.105 of Pāṇini’s Astādhyāyī reads: *puruṣaprotkṣu brāhmaṇakalpeśu [tena proktam 101, niṇīḥ 103] “In the case of Brāhmaṇa and Kalpa works uttered by ancient [sages], [the taddhita suffix] niṇī is [semantically equivalent to] tena proktam (‘uttered by him’).” Katyāyana restricts the scope of this sūtra in his first and only vārttika on it (vol.II, p.326, 1.12-13): *puruṣaprotkṣu brāhmaṇakalpeśu yājñavalkyaōdibhyah pratiṣedhas tulyakālatvāt “A prohibition [of P. 4.3.105:] puruṣaprotkṣu brāhmaṇakalpeśu [must be stated] after yājñavalkya etc., because [they are] of the same time.” Patañjali explains (1.14-16): *puruṣaprotkṣu brāhmaṇakalpeśv ity atra yājñavalkyaōdibhyah pratiṣedho vaktavyah / yājñavalkāni brāhmaṇāni / saulabhāṇīti / kim kāranam / tulyakālatvāḥ / etany api tulyakālāṇīti // We learn from this that, according to Patañjali, the Brāhmaṇa works uttered by Yājñavalkya, rather than Yājñavalkya himself, are meant to be considered ‘of the same time’ in this vārttika.

The sense requires, in spite of Kātyāyana, that the Brāhmaṇa works uttered by Yājñavalkya are of the same time as Pāṇini. We do not have to take such a remark by Kātyāyana very literally. It is doubtful whether Kātyāyana was well informed about Pāṇini’s time, for tradition had not even been able to preserve knowledge regarding certain essential features of the Astādhyāyī (see Kiparsky, 1980; Bronkhorst, 1980). We must rather understand from this vārttika that Kātyāyana was still aware of the recent origin of the ‘Brāhmaṇa works uttered by Yājñavalkya’.

But Kātyāyana must also have been aware that these Brāhmaṇa works were ascribed to an ancient sage, for otherwise this vārttika would serve no purpose in the context of P. 4.3.105 which is about ‘Brāhmaṇa and Kalpa works uttered by ancient sages’. What Kātyāyana must have had in view was a Brāhmaṇa work recently composed and ascribed to Yājñavalkya, where in reality Yājñavalkya was an ancient sage who could not have composed this work.

This description fits BĀU 3-4 very well. Since Pāṇini does not use the term Upaniṣad in connection with Vedic literature, and divides Vedic

214. I translate as proposed by Wezler (1975: 5 etc.)
literature in *mantra, brāhmaṇa* and *kalpa* (cf. Thieme, 1935: 67f.), his use of the word *brāhmaṇa* is wide and fit to cover the BĀU. This is all the more true since the BĀU is, indeed, the last part of the *Sātapatha Brāhmaṇa* (ŚB 14.4-9). Moreover, the subsections of the BĀU are called *brāhmaṇa* in their colophons. The reason that BĀU 3-4 must be meant by Kātyāyana, rather than any other text, is that only here Yājñavalkya is clearly the dominating person. Yājñavalkya is mentioned elsewhere, primarily in ŚB 1-4 and 11-13, further *Jaiminiya Brāhmaṇa* 1.19, 23; 2.76; *Śāṅkhāyana Āraṇyaka* 9.7 and 13.1, but nowhere as the sole dominating figure. Moreover, the BĀU is one of the youngest parts of the ŚB.

Horsch (1966: 396) further shows that the compilatory nature of the ŚB was still known to Patañjali and the *Mahābhārata*. This further corroborates that BĀU 3-4 is late.

The facts (i) that Pāṇini does not make an exception for the *yājñavalkāṇi brāhmaṇāṇi*, (ii) that Kātyāyana indicates that he considers these recent, and (iii) that Patañjali still knows the compilatory nature of the ŚB, allow of the conclusion that BĀU 3-4 is later than Pāṇini and but little earlier than Kātyāyana. Patañjali lived probably in the middle of the second century B.C. (Cardona, 1976: 263-66). If we assume that Kātyāyana wrote a century earlier, BĀU 3-4 very well fits in the time after the death of the Buddha, even if we accept this to have taken place as late as 370 B.C. (Bechert, 1982).

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215. Patañjali - in a verse on P. 4.2.60 - appears to have known a work named *Sañvipath*, which may have contained sixty of the present one hundred Adhyāyas of the ŚB. Weber (1850b: 185n.) assumed that these are the sixty Adhyāyas of the first nine books of the ŚB. But Minard (1968) argues for the sixty Adhyāyas contained in books I-V (35) and XI-XIII (25) of the Madhyandina recension.

216. *Mahābhārata* 12.306.16 (where Yājñavalkya speaks) reads:

\[
\text{tattātā śātapathāṁ kṛtstam sarahasyam sasamgraham /} \\
\text{cakre saparīśaṁ ca hasena paramena ha //}
\]

Here Yājñavalkya is said to have composed the whole of the ŚB. In BĀU 6.5.3 (*ādityāmāṇi sukāṇi yajūṁśi vājasaneyena yājñavalkyaṁākhyāyante*), Yājñavalkya is said to have declared the sacrificial formulas of the Vājasaneyi school. Patañjali’s *yājñavalkāṇi brāhmaṇāṇi* may therefore cover all the later portions of the ŚB, not just, but certainly including, BĀU 3-4. See Weber, 1850a: 57n.; Goldstücker, 1861: 146f.

217. The section on Pāṇini’s acquaintance with the Vedic Samhitās (§9.2.2.), which followed in the first edition of this book, has now been superseded by Bronkhorst, 1991.
9.2.3. Further evidence regarding the late date of the BĀU, and of the later chapters of the ŚB as well, can be derived from a closer inspection of the figure of Yājñavalkya.

Pronouncements of Yājñavalkya occur repeatedly in ŚB 1-4. There is no reason to doubt that he was an authority on ritual, along with other ritualists. He appears again in ŚB 11-13, but often in a legendary context: a number of times he is depicted as debating with king Janaka of Videha. Moreover, since these parts of the ŚB are younger than its beginning (Eggeling, 1882: xxixf.; Weber, 1876: 130f.), we may assume that, at this time, Yājñavalkya had become a legendary person.218 This is confirmed by the fact that later again (in the BĀU and the Mahābhārata) Yājñavalkya’s fame had reached such proportions that he is said to have declared the sacrificial formulas (yajus) and composed the ŚB (see note 6). This development is parallel to the one of Śākalya, who, really being the maker of the Padapāṭha of the Rgveda, came to be considered the person who had ‘seen’ the Veda (see below).

The parallel development of Yājñavalkya and Śākalya is of special significance for the chronological problem we are investigating, as follows. At ŚB 2.5.1.2 Yājñavalkya’s opinion is contrasted with that of the rc, and therefore of the Rgvedins. A way of visualizing this disagreement would be to describe a debate between Yājñavalkya and Śākalya. And indeed, we find such a debate described twice over, at ŚB 11.6.3 and BĀU 3.9.1-26. Both times the debate ends in the utter defeat and consequent death of Śākalya.219 The important fact is that the disagreement between the followers of Yājñavalkya and the Rgvedins could not be visualized in this way until after Śākalya had become the most important representative of the Rgveda, rather than merely the representative of one of its versions and the maker of its Padapāṭha.

What we know about the development of the legend of Śākalya can be summarized as follows: Pāṇini’s Aṣṭādhyāyī and Yāska’s Nirukta know him as an early grammarian and as the maker of the Padapāṭha of

218. This is more extensively established in Horsch, 1966: 380f.
219. The Rgvedins perhaps took revenge by not mentioning Yājñavalkya in their Kausitaki Upaniṣad, in spite of mentioning Uddālaka Aruṇi and Śvetaketu who occur in the BĀU (Esnoul, 1968: 280).
the *Ṛgveda*. In Patañjali’s *Mahābhāṣya* he has become the redactor of the *Ṛgveda Samhitā* (Bronkhorst, 1981: 142-43, 147), and apparently the most important representative of the *Ṛgveda*. In the *Anuvākānukramaṇī* Śākalya is even said to have seen the Veda (Bronkhorst, 1982b: §4). The legend of the debate between Yājñavalkya and Śākalya seems to fit best at a time closer to Patañjali than to Pāṇini, i.e., closer to 150 B.C. than to 350 B.C.220

9.2.4. We turn to a question which is directly related to our chronological observations. The ŚB, including the BĀU, is one of the late Vedic texts which preserve Vedic accents. Both were composed in a time when Vedic accents were still in use. Also the language described by Pāṇini contains Vedic accents as an integral part. If then the origin of Buddhism is earlier than (portions of) the BĀU, can it be that the earliest layers of Buddhist literature contain indications that Vedic accent was still used?

An affirmative answer to this question has been given by Lévi (1915, esp. p. 426-47), in a study where he shows, on the basis of Vinaya texts of a variety of schools,221 that in an early period the tendency existed to use Sanskrit *with Vedic accent* in the recitation of Buddhist texts. Lévi thinks that this accent could not have been transposed mechanically from the sacred texts of the Veda onto the sacred texts of Buddhism and concludes (1915: 447): “Les premier essais de littérature canonique iraient donc rejoindre l’époque des derniers textes accentués du canon védique: le Brāhmaṇa, l’Āranyaka des Taittirīya et le Śatapatha Brāhmaṇa.”

Lévi argues his case on the basis of texts taken from the Vinaya work *Skandhaka*. This allows us - with Frauwallner (1956b: 62-63) - to be more precise about the period when Vedic accents were still in use. The *Skandhaka* was composed, according to Frauwallner (1956b: 67), shortly before or after the second council, which is at least 40 years after

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220 This is the date which best seems to fit the evidence studied by Hinüber, 1989: 34-35.

the demise of the Buddha (Bechert, 1982: 36). Parts of the BĀU may therefore be as late as this period. The fact that the bhāṣika accent used in the ŚB is later than the accent system described by Pāṇini (Kiparsky, 1982: 74) agrees well with the above results.

9.2.5. Perhaps conclusions can be drawn from the fact that BĀU 2.1222 features a Kṣatriya named ‘Ajātaśatru’. Ajātaśatru is approached by Dṛptabālāki Gārgya, who proposes to tell about Brahman. Ajātaśatru offers thousand (cows) in response, and compares himself to Janaka, the former king of Videha. Apparently also Ajātaśatru was a king. Our text describes him as kāśya, which can be taken to mean that he ruled over Kāśi.

The name223 Ajātaśatru occurs nowhere in Vedic literature except here in the BĀU and in the parallel version in Kauḍūtaka Upaṇiṣad 4. It is, however, well-known from Buddhist literature. Ajātaśatru (Pāli ‘Ajātasattu’) is there described as the son of king Bimbisāra, from whom he seized the throne eight years before the death of the Buddha (Malalasekera, 1937-38: I: 31-35, s.v. Ajātasattu).

A serious difficulty is that the Buddhist texts depict Ajātaśatru as king of Magadha, not Kāśi. He is not, to be sure, entirely without connection with Kāśi. He is said to have fought battles in Kāśi against king Prasenajīt (Pasenadi) of Kosala and to have come in the possession of a village in Kāśi (Malalasekera, 1937-38: I: 33). Ajātaśatru later reputedly battled and defeated king Cetaka of Vaiśāli, who was joined, among others, by the gaṇarājas of Kāśi (Lamotte, 1958: 100-01).

The discussion between Gārgya and Ajātaśatru in the BĀU (and in the Kauḍūtaka Up.) is clearly legendary. This means that if there ever was a king Ajātaśatru of Kāśi, he must have lived a considerable time before this discussion was laid down, long enough, perhaps, to make a confusion between Kāśi and Magadha possible. If, further, this Ajātaśatru is identical with the king who ruled over Magadha during the

222. Almost the same episode, with the same actors (Bālāki for Dṛptabālāki), is found at Kauḍūtaka Upaṇiṣad 4 (= Śāṅkhāyana Aranyaka 6).

223. The word ajātaśatru ‘whose enemies are unborn, having no enemies’ occurs in several Vedic Samhītās, but not as a name.
last years of the Buddha’s life, we can be sure that this part of the BĀU was composed a considerable time after the Buddha.

9.2.6. BĀU 2.4 and BĀU 4.5 give two versions of a discussion between Yājñavalkya and one of his wives, Maitreyī. The former version appears to be the older one. Hanefeld (1976: 71-115) has argued that two independent texts underlie it, one (BĀU 2.4.5-6, 12 \([na\ pretya]\) - 14) dealing with the \(ā\text{tman}\), the other (BĀU 2.4.7-12 \([vinaṣyati]\)) dealing with \textit{mahad bhūtam}. This latter concept has a universal-cosmic aspect, in that \textit{mahad bhūtam} is said to be the origin of all literary texts (BĀU 2.4.10). At the same time it has an individual aspect, viz. \textit{vijñāna} ‘discerning knowledge’: BĀU 2.4.12 describes the \textit{mahad bhūtam} as a mass of discerning knowledge (\textit{vijñānaghana}). The ‘Great Being’ (\textit{mahad bhūtam}) apparently unites a universal-cosmic and an individual aspect.

But classical Sāṃkhya unites these two aspects in its \textit{mahān} / \textit{buddhi} as well, whereas the Sāṃkhya texts in the \textit{Mahābhārata} do not, or hardly, do so (Frauwallner, 1925: 200f. (76f.)). Hanefeld (1976: 114-15) raises the question as to whether or not the older Upaniṣads in their present form must be dated much later than has generally been supposed.

9.2.7. We come to an important point. Buddhism presupposes a belief in transmigration determined by one’s preceding (mental or physical) behaviour or state. The BĀU, on the other hand, presents such a belief as something new. At BĀU 3.2.13 Yājñavalkya takes Jāratkārava Ārtabhāga apart to inform him, in secret, about \textit{karman}. “What they said was \textit{karma} (action). What they praised was \textit{karma}. Verily, one becomes good by good action, bad by bad action.” (tr. Hume, 1931: 110). Similar remarks occur at BĀU 4.4.5. A more primitive idea seems to prevail at BĀU 6.2.16. Does this not show that the BĀU represents an earlier phase in the development of these ideas, and that it is consequently older than

\[\text{224.} \] This point of view was accepted by Hoernle (1907: 106) and, it seems, by Lassen (Weber, 1850b: 213).

\[\text{225.} \] See Schmithausen (1986: 205), who points out that craving (\textit{trṣṇā}) etc., rather than \textit{karman}, is said to be responsible for suffering and rebirth in numerous canonical texts.
the beginning of Buddhism?

Not necessarily. The BĀU originated in surroundings quite different from those of early Buddhism. The former was part of an esoteric movement confined to Brahmins who dwelt in villages; the latter centred in the cities (cf. Horsch, 1966: 400). What is more, Jainism, as much as Buddhism, presupposes a belief in transmigration determined by one’s preceding behaviour or state (cf. Malvania, 1981). But Jainism may have existed, in the form preached by Pārśva (Pkt. Pāsa), as many as 250 years before Mahāvīra (Schubring, 1935: 24f.), which is certainly earlier than the BĀU. Therefore it is not possible to see in the passages on transmigration in the BĀU evidence that this Upaniṣad preceded the Buddha. Rather, they may have been attempts to sanctify a belief which was anyhow irresistibly gaining adherents among the Brahmins.

There is some reason to think that the early Buddhists were confronted with people who did not believe in transmigration of the kind described:

The majority of versions of the long account of the enlightenment of the Buddha describe three insights: memory of earlier lives; knowledge of the births and deaths of beings; knowledge regarding the destruction of the intoxicants. Only the third insight has an obvious connection with liberation, which consists in the destruction of the intoxicants. The first two insights make the impression of having been added to the text which underlay these versions, and which was therefore without these first two insights.

And indeed, one version of the long account of the Buddha’s liberation survives in which only the knowledge regarding the destruction of the intoxicants precedes final liberation: a Sūtra of the Sarvāstivādins (MĀc p. 589c14-23). A closer study of all these parallel versions - undertaken by Bareau (1963: 81f.) - confirms that the long

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226. Jaini (1980: 225-29) thinks that certain ‘inconsistencies’ of the Jaina doctrine may point to an earlier linear-evolutionary scheme similar to that of the Ājivikas, and asks (p. 227-28): “Is it possible that, for the Jainas, the doctrine of karma represents a relatively late (albeit prehistorical) accretion, a set of ideas imposed upon [that linear-evolutionary scheme]?" Even if this is indeed the case, we must date this ‘accretion’ well before Mahāvīra.

227. MN I. 22-23, 117, 247-49; EĀc p. 666b22-c20; T. 1421, p. 102c18-20; T. 1428 p. 781b5-c11. These passages have been translated and discussed by Bareau (1963: 75f.), whom I mainly follow.
account of the Buddha’s liberation originally made no mention of his earlier lives and of the knowledge of the births and deaths of beings.

Schmithausen (1981: 221-22, n. 75) comes to the same conclusion, also basing himself on texts which describe the way to salvation for others than the Buddha. The Madhyama Ágama (T. 26), Schmithausen observes, seems to have fewer accounts with memory of earlier lives and knowledge of the births and deaths of beings, than without. Schmithausen further points at the difference in tense in the description of this memory and of the knowledge of the birth and death of beings (present tense), and everywhere else in the account (aorists).

Why then were these first two insights added? The reason must be sought in the circumstance that what the Buddha realized in his moment of liberation cannot but be the most essential in Buddhism (see § 8.4, above). The memory of earlier lives and the knowledge of the births and deaths of beings may therefore have been added in order to press a point which was considered essential to the teaching of the Buddha. There can be no doubt that this point is the belief in transmigration determined by one’s earlier behaviour or state.

The faculty to remember former lives is not, in most of Buddhist literature, confined to Buddhist sages (Demiéville, 1928). This seems to indicate that soon belief in transmigration had become common to Buddhists and all those they were confronted with. But in such a time the addition of the memory of former lives and of the knowledge of the births and deaths of beings to the account of the Buddha’s liberating insight would be inexplicable. We must rather assume that this addition took place when such a belief had not yet become common to all.228 Among those who were not yet fully convinced we may have to count the Brahmins. These had to wait until new ‘old’ scriptures like the BĀU gave them free way to accept this belief.

In this connection it must be pointed out that the Buddhist canon knows a few characters who deny transmigration and the moral efficacy of acts. One is Pāyāsi, appearing in the Pāyāsi Sutta (DN II. 316f.; cf. 228. At least twice the Jaina canon mentions the memory of former lives, but not together with the knowledge of the births and deaths of beings. It seems less concerned with establishing the correctness of rebirth. See Samavāya 10.2, and Tatia and Kumar, 1980: 37, 39.
Dāc p. 42bf.). Then there are some of the six heretic teachers, in particular Ajita Kešakambalin and Pūraṇa Kāśyapa (Malalasekara, 1937-38: I: 37; Basham, 1951: 10-26; Vogel, 1970: 20-21). It is, however, unlikely, at least in the case of Pāyāsi, that we must see in his opinion a leftover from early times. Pāyāsi’s opinion is described as very exceptional, not held by anyone known to his opponent Kumāra Kassapa. Further, in the Jaina version of the story of Pāyāsi - there Paesi - in the Rāyapaseṇājja, the second Uvāṇa of the Jaina canon, this opinion is not ascribed to Paesi; see Leumann, 1885b: 467-539.

9.2.8. A possible counterargument against some of the preceding arguments will be that the language of the BĀU still contains Vedic features, and must therefore be older than classical Sanskrit, older also than the grammar of classical Sanskrit which is Panini’s Asṭādhyāyī. This counterargument can be answered by pointing out that there is reason to believe that Vedic and classical Sanskrit were used for some time side by side. Since this point has been discussed elsewhere (Bronkhorst, 1982c), I need not dwell upon it here.

9.3. The preceding observations have made it clear that no traces of a pre-Buddhistic form of ‘Buddhist meditation’ survive in the non-Buddhist literature of India. What do the Buddhist scriptures say in this regard?

9.3.1. We have become acquainted with a number of descriptions of non-Buddhist religious practices in the Buddhist canon in the course of this book. None of them ascribe to outsiders what we have come to regard as authentic Buddhist meditation.

In this connection it deserves notice that the ideas in the canon usually ascribed to the ‘six heretics’ contain nothing regarding meditation (see Basham, 1951: 10-26; Vogel, 1970).229

The Buddhist canon tells us that the Buddha learned the Stage of Nothingness and the Stage of Neither Ideation nor Non-Ideation from

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229 The opinions ascribed to these heretics may have been put together on the basis of different sources; see Basham, 1951: 25, 218-19; Norman, 1976a: 120-21.
two teachers, Árāda Kālāma and Udraka the son of Rāma. Since the two stages which they allegedly taught him are not part of authentic Buddhist meditation (see ch. VII, above), we cannot draw any conclusions regarding pre-Buddhistic ‘Buddhist meditation’ from this account.

9.3.2. If then the Buddhist scriptures contain no reliable information that the Buddha got his meditational techniques from someone else, they contain some very clear passages that claim that the Buddha discovered these techniques himself.

First among these is the passage in which the Buddha to be remembers how he reached the First Dhyāna while still a child (§ 1.5, above). On the basis of this memory he is then said to have discovered the path leading to liberation.

Second come the passages where the Buddha is said to have made his discoveries ‘among the things (dharma) which had not been heard of before’. The phrase pubbe ananussutesu dhammesu cakkhum udāpādi, nānām udāpādi, paññā udāpādi, vijjā udāpādi, āloko udāpādi and its equivalents in other languages occur in many different contexts. In the ‘first sermon’ it applies to the Four Noble Truths and consequently to the path of liberation discovered by the Buddha. Since this appears to be the oldest context to which the phrase applies, we must again conclude that the path taught by the Buddha, including his method of meditation, was considered a new discovery by his early followers.

9.4. We can sum up our findings regarding the origin of Buddhist meditation as follows. None of the early scriptures of India, whether Buddhist or non-Buddhist, contain any indication that the Buddhist form of meditation existed prior to the beginnings of Buddhism. Some passages in the Buddhist canon, on the other hand, describe the Buddha as an innovator, also where the technique of meditation is concerned.

230. ānarum anuśrutesu dharmesu / pubbe anuśrutesu dharmesu. See CPS p. 144-48; Mv III. 332-33; Vin I.11; SN II. 10-11, 105; IV. 233-34; V. 178-79; 258, 422; AN III.9; cf. SĀC p. 103c-104a; T. 1428, p. 788 a-b. T. 1421, p. 104c7 etc. interprets, no doubt incorrectly, ‘things (dharma) which had not before been heard of by me’.

231. It is a ‘pericope’. For an explanation and application of this useful concept see Griffiths, 1983.
There seems little reason to doubt that Buddhist meditation was introduced by the founder of Buddhism, i.e., by the historical Buddha.
X. Pratyekabuddhas, the Sutta Nipāta, and the early Saṅgha.

10.1. The previous chapter has made it clear that the early Buddhist tradition supports the view that the method of salvation preached by the Buddha was new and unknown before him. Unfortunately this point of view was not retained in the Buddhist tradition. On the one hand the historical Buddha came to be looked upon as one in a chain of Buddhas. On the other hand, a second category of Buddhas came to be accepted - the Pratyekabuddhas (P. Paccekabuddha) - who obtained enlightenment without the help of a Buddha (Samyaksambuddha, P. Sammāsambuddha, contrasted with Pratyekabuddha), and did not preach the doctrine; they were supposed to have lived in periods not covered by the preaching of a Samyaksambuddha, i.e., before Śākyamuni.

The acceptance of Pratyekabuddhas conflicts with our assumptions in a way which demands attention. The Pāli canon, it is believed, preserves utterances of Pratyekabuddhas in the Khaggavisāṇa Sutta of the Sutta Nipāta. This belief has essentially been accepted in a recent study by Wiltshire (1990), who further argues that the Pratyekabuddha tradition in Buddhism preserves the memory of the time before Śākyamuni.

There is no doubt that the Khaggavisāṇa Sutta is old. It is commented upon in the canonical Culla Niddesa. At the same time, it contains an unmistakable reference to the Fourth Dhyāna in Šn 67. Does this mean that the four Dhyānas were already known before Śākyamuni?

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232. Wiltshire (1990:17) takes care to state that he regards the Gāthās of the Khaggavisāṇa Sutta “as shedding light conceptually on [Pratyekabuddhas]”.

233. vippūṭhikatvāṁ sukhāṁ dukhāñ ca
pubbe va ca somanadomanassam
laddhāṁ upekkhāṁ samathāṁ visuddhāṁ
eko ca khaggavisāṇakappo
“Turning one’s back on bliss and pain,
and earlier already on cheerfulness and dejection.
Obtaining pure indifference and calm,
one should walk alone like the horn of a rhinoceros.”
Compare this with the description of the Fourth Dhyāna in § 1.5, above.
The answer to this question must be negative. There is no evidence that the Khaggavisāṇa Sutta is pre-Śākyamuni. Rather, this Sūtra contains a clear indication that it is later than ‘our’ Buddha: it refers to him. Sn 54cd reads:234 “Observing the word of Ādiccabandhu, one should walk alone like the horn of a rhinoceros.” Ādiccabandhu ‘kinsman of the Ādicca family’ (Fausböll, 1881: 8) is “[a]n often-used epithet of the Buddha” (Malalasekara, 1937-38: I: 245). In Sn 423 - to take but one example - the Buddha specifies the family to which he belonged as follows:235 “Ādiccas by lineage, Śākiyas by birth; from that family I have wandered forth, oh king, not longing for sensual pleasures.” The Khaggavisāṇa Sutta must therefore have been composed after, or at the earliest during the preaching of the Buddha.

How then could it be thought of as being composed by Pratyekabuddhas? The commentators obviously invented this explanation in order to be able to keep the Sutta without having to draw the consequences.236 We must conclude that here again we have no reason to think that the Four Dhyānas existed before Śākyamuni.

10.2. Why were the later Buddhists hesitant to accept the Khaggavisāṇa Sutta as part of the post-Śākyamuni tradition? The answer is not difficult. The Khaggavisāṇa Sutta celebrates the lonely wanderer. The later Buddhist monk, on the other hand, was part of a community of monks, and lived as a rule in a monastery. Solitary life was no longer common.

But the Khaggavisāṇa Sutta constitutes evidence that in the early days of Buddhism monks did often live alone. Other parts of the canon confirm this. The solitary life is often praised in the Sutta Nipāta, Dhammapada, Thera Gāthā, and elsewhere.237 Life in monasteries seems

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234. ādiccabandhussa vaco nisamma eko care khaggavisānakappo.
235. ādicca nāma gottena, sākiya nāma jātiyā tambā kula pabbajito ’mhi rāja na kāme abhipathayaṃ
237. Cf. Nakamura, 1979: 574-75. Przyluski (1926: 292) surmises that solitary ascetics primarily joined Buddhism in western regions, whereas in the east groups of monks travelled with a teacher. He derives support from the 12th Khandhaka of the Cullavagga (Vin II. 299) where āraññakas are found to be numerous in the west, no mention of them being made in the east, at the time of the Second Council.
to be still rather uncommon in the time the Vinaya work called *Skandhaka* was composed (Frauwallner, 1956b: 121), i.e., at least forty years after the death of the Buddha (p. 117 above). This same work prescribed that “the monk should ... live under trees” (Frauwallner, 1956b: 74). Life in monasteries probably developed out of the habit to spend the rainy season at one place (Olivelle, 1974; Dutt, 1962: 53f.). Before this took place, and perhaps also to some extent simultaneously with it, followers of the Buddha led a wandering and often solitary life.

Works like the *Sutta Nipāta*, *Dhammapada* and *Thera Gāthā* derived wholly or in part from these early wanderers. This is confirmed by the fact that these works or parts of them are known to be among the oldest portions of the Buddhist canon.238 The language of parts of the *Sutta Nipāta* is archaic (Fausböll, 1881: xi-xii). The *Aṭṭhaka Vagga*, *Pārāyaṇa* and *Khaggavisāṇa Sutta* - all part of the *Sutta Nipāta* - are commented upon in the *Niddesa*, itself considered a canonical work. The *Arthavargīyāṇi Sūtāṇi* (= *Aṭṭhaka Vagga*) are referred to in all the versions corresponding to the original *Skandhaka* (Frauwallner, 1956b: 149; Lévi, 1915: 40f-17; Bapat, 1951: Intr. p. 1-2). Other early enumerations often include *Pārāyaṇa*, *Satyadṛṣṭa* (*Satyadrśa*), *Munigāthā*, *Śailagāthā*, probably all of them corresponding to parts of the *Sutta Nipāta*, and *Dharmapada*, *Thera* (*Sthavira*) *Gāthā*239 (Lamotte, 1956: 258-61; 1957: 346-47).

10.3. If then the *Sutta Nipāta* and other collections of verses arose in circles where solitary wandering was held in high esteem, one might expect that these works in particular are likely to show traces of outside influence. Wanderers are more exposed to such influence than monks who reside in monasteries among their likes.

Many of the verses in these works are such that they would be acceptable to Buddhists and non-Buddhists alike. They cannot help us to find outside influence. Some verses of the *Sutta Nipāta* however do show such influence:

238. Bechert (1961: 43f.) argues for a long and complicated history of the origin of the *Thera* and *Theri Gāthā*.

239. On the correspondence of *Thera* and *Sthavira Gāthā* see Bechert, 1961: 10-12.
The Dvayatānupassanā Sutta (Sn 724-65) enumerates a number of items - many of them also occur in the Prañītāyasamutpada - which cause suffering. Three of them are: ārambha ‘effort’, āhāra ‘food’, iñjita ‘movement’ (Sn 744-51). These three, like the other ones, have to be suppressed in order to prevent further suffering. Suppression of effort, food and movement sounds much like the asceticism we encountered in Jainism and Hinduism; the use of ārambha as a synonym of karman is familiar from the Jaina texts we studied in chapter III, above. Asceticism (tapas) is often approvingly referred to (Sn 77; 267; 284; 292; 655). Sleep is disapproved of (Sn 926).240

The presence of borrowed elements in the Sutta Nipāta and other collections of verses may be part of the reason why the canonicity of these works - though old - remained uncertain (Lamotte, 1956; 1957).

240. Main stream asceticism includes restriction of breathing, as we know. This is possibly meant in Sn 1090-91, where a question and answer regarding the one without desire, thirst and doubt is translated as follows by Fausbøll (1881: 202-03): “Is he without breathing or is he breathing ...? ... He is without breathing, he is not breathing ...” (nirāsaso so uda āsasāno ... nirāsaso so na so āsasāno ...). This translation can be defended by deriving āsasa and āsasāna from ā-svās. However, most scholars take the sense of these verses differently, either by accepting a v.l. (nirāsayo; āsamāna) or by interpreting the words in another way (see CPD s.v. āsasa, āsasāna).

Dixit (1978: 86-92) argues that “there are Suttanipātā passages which throw interesting light on certain technical concepts of Jainism, concepts which obviously are not current among Buddhists” (p. 87). He concludes that “the presumption is strengthened that the two traditions were particularly close kins in the beginning” (p. 92). The Sutta Nipāta does not share many lines with the oldest books of the Jainas (Bollée, 1980).
Conclusion

XI. The position and character of early Buddhist meditation.

11.1. The results of this study can be briefly restated as follows: in the ancient Indian religious movements other than Buddhism there was a tradition of asceticism and meditation which can be described and understood as direct and consistent answers to the belief that action leads to misery and rebirth. In this tradition some attempted to abstain from action, literally, while others tried to obtain an insight that their real self, their soul, never partakes of any action anyhow. Combinations of these two answers were also formed.

The Buddhist scriptures criticize this tradition repeatedly. Yet practices and ideas connected with this tradition appear to have made their way into the Buddhist community. Some of these practices and ideas even came to occupy rather central positions in the Buddhist tradition. Practices of this kind include the Eight Liberations, or at any rate the last five steps of them, which also occur in other contexts in the Buddhist canon; and the Brahmic States. Among the ideas which influenced Buddhism, the gradual postponement of liberation to the time after death, and the prominence of an explicit liberating insight must be mentioned.

11.2. We have come as far as philology could take us, it seems. For a further understanding of Buddhist meditation, philology will probably not be of much help. An altogether different approach may be required to proceed further. Such a different approach does not fall within the scope of the present book. I may return to it in another study.
### Abbreviations

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<td>AMg.</td>
<td>Ardha Māgadhī</td>
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